



- 2D compressible sheet: inviscid, self-gravitating
- Surface density  $\Sigma(x, y, t)$
- 2D pressure  $P(x, y, t)$ 
  - Relate to vertically integrated quantities  $\int \rho dz, \int p dz$   
but only a model, not derivable exactly from 3D equations

- Basic equations:

$$\frac{\partial \Sigma}{\partial t} + \nabla \cdot (\Sigma \mathbf{u}) = 0$$

$$\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} + 2\boldsymbol{\Omega} \times \mathbf{u} = -\nabla \Phi - \nabla \Phi_{d,m} - \frac{1}{\Sigma} \nabla P$$

- Disc potential  $\Phi_d(x, y, z, t)$  satisfies  $\nabla^2 \Phi_d = 4\pi G \Sigma \delta(z)$
- Then evaluate in midplane:  $\Phi_{d,m}(x, y, t) = \Phi_d(x, y, 0, t)$
- Assume barotropic relation  $P = P(\Sigma)$  for simplicity

- Solve Poisson's equation in Fourier domain:

$$\tilde{\Sigma}(k_x, k_y, t) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \Sigma(x, y, t) dx dy \quad \text{etc.}$$

$$\nabla^2 \Phi_d = 4\pi G \Sigma \delta(z)$$

$$\Rightarrow \left( -k^2 + \frac{\partial^2}{\partial z^2} \right) \tilde{\Phi}_d = 4\pi G \tilde{\Sigma} \delta(z) \quad k = (k_x^2 + k_y^2)^{1/2}$$

$$\Rightarrow \tilde{\Phi}_d = -\frac{2\pi G \tilde{\Sigma}}{k} e^{-k|z|} \quad (k \neq 0) \quad \text{so that} \quad \left[ \frac{\partial \tilde{\Phi}_d}{\partial z} \right]_{0-}^{0+} = 4\pi G \tilde{\Sigma}$$

$$\Rightarrow \tilde{\Phi}_{d,m} = -\frac{2\pi G \tilde{\Sigma}}{k}$$

- $k = 0$  component gives no horizontal force anyway

- Conservation of potential vorticity / “vortensity”:

$$\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} + 2\boldsymbol{\Omega} \times \mathbf{u} = -\nabla \Phi - \nabla \Phi_{d,m} - \frac{1}{\Sigma} \nabla P$$

- Use identity  $(\nabla \times \mathbf{u}) \times \mathbf{u} = \mathbf{u} \cdot \nabla \mathbf{u} - \nabla(\frac{1}{2}|\mathbf{u}|^2)$  :

$$\frac{\partial \mathbf{u}}{\partial t} + [(2\boldsymbol{\Omega} + \nabla \times \mathbf{u}) \times \mathbf{u}] = \nabla(\dots) \quad \text{since } P = P(\Sigma)$$

$$\frac{\partial}{\partial t}(\nabla \times \mathbf{u}) + \nabla \times [(2\boldsymbol{\Omega} + \nabla \times \mathbf{u}) \times \mathbf{u}] = \mathbf{0}$$

- Use identity  $\nabla \times (\mathbf{A} \times \mathbf{B}) = \mathbf{B} \cdot \nabla \mathbf{A} - \mathbf{A} \cdot \nabla \mathbf{B} + \mathbf{A}(\nabla \cdot \mathbf{B}) - \mathbf{B}(\nabla \cdot \mathbf{A})$  :

$$\begin{aligned} \left( \frac{\partial}{\partial t} + \mathbf{u} \cdot \nabla \right) (2\boldsymbol{\Omega} + \nabla \times \mathbf{u}) &= (2\boldsymbol{\Omega} + \nabla \times \mathbf{u})(\nabla \cdot \mathbf{u}) \quad \text{since 2D} \\ &= -(2\boldsymbol{\Omega} + \nabla \times \mathbf{u}) \frac{1}{\Sigma} \left( \frac{\partial}{\partial t} + \mathbf{u} \cdot \nabla \right) \Sigma \end{aligned}$$

$$\Rightarrow \frac{Dq}{Dt} = 0 \quad \text{where } q = \frac{2\boldsymbol{\Omega} + (\nabla \times \mathbf{u})_z}{\Sigma}$$

- Conservation of potential vorticity / “vortensity”:

$$\frac{Dq}{Dt} = 0 \quad \text{where} \quad q = \frac{2\Omega + (\nabla \times \mathbf{u})_z}{\Sigma}$$

- Recall  $\mathbf{u} = -Sx \mathbf{e}_y + \mathbf{v}$ :

$$\left( \frac{\partial}{\partial t} - Sx \frac{\partial}{\partial y} + \mathbf{v} \cdot \nabla \right) q = 0 \quad q = \frac{2\Omega - S + (\nabla \times \mathbf{v})_z}{\Sigma}$$

- Unlike incompressible 2D case, vortex dynamics not the whole story
- Vortical disturbances are coupled to acoustic ones

- Linear stability of uniform 2D self-gravitating sheet

$$\frac{\partial \Sigma}{\partial t} + \nabla \cdot (\Sigma \mathbf{u}) = 0$$

$$\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} + 2\boldsymbol{\Omega} \times \mathbf{u} = -\nabla \Phi - \nabla \Phi_{d,m} - \frac{1}{\Sigma} \nabla P$$

$$\nabla^2 \Phi_d = 4\pi G \Sigma \delta(z)$$

$$\Phi = -\Omega S x^2$$

↓

- Basic state:  $\Sigma = \text{cst}$ ,  $\mathbf{u} = -Sx \mathbf{e}_y$
- Linearized equations for perturbations  $\Sigma'$ ,  $\mathbf{v}$ , etc.:

$$\left( \frac{\partial}{\partial t} - Sx \frac{\partial}{\partial y} \right) \Sigma' + \Sigma \nabla \cdot \mathbf{v} = 0$$

$$\left( \frac{\partial}{\partial t} - Sx \frac{\partial}{\partial y} \right) \mathbf{v} - Sv_x \mathbf{e}_y + 2\boldsymbol{\Omega} \times \mathbf{v} = -\nabla \Phi'_{d,m} - \frac{1}{\Sigma} \nabla P'$$

$$\nabla^2 \Phi'_d = 4\pi G \Sigma' \delta(z)$$

$$P' = v_s^2 \Sigma'$$

↓

sound speed  $v_s$

- Solutions are shearing waves:

$$\Sigma'(\mathbf{x}, t) = \text{Re} \left\{ \tilde{\Sigma}'(t) \exp[\mathbf{i}\mathbf{k}(t) \cdot \mathbf{x}] \right\} \quad \text{etc.}$$

- Amplitude equations:

$$\frac{d\tilde{\Sigma}'}{dt} + \Sigma \mathbf{i}\mathbf{k} \cdot \tilde{\mathbf{v}} = 0$$

$$\frac{d\tilde{v}_x}{dt} - 2\Omega\tilde{v}_y = -\mathbf{i}k_x \left( \tilde{\Phi}'_{d,m} + v_s^2 \frac{\tilde{\Sigma}'}{\Sigma} \right)$$

$$\frac{d\tilde{v}_y}{dt} + (2\Omega - S)\tilde{v}_x = -\mathbf{i}k_y \left( \tilde{\Phi}'_{d,m} + v_s^2 \frac{\tilde{\Sigma}'}{\Sigma} \right)$$

$$\tilde{\Phi}'_{d,m} = -\frac{2\pi G\tilde{\Sigma}'}{k}$$

- Vortensity perturbation  $\tilde{q}' = \frac{\mathbf{i}k_x\tilde{v}_y - \mathbf{i}k_y\tilde{v}_x}{\Sigma} - \frac{(2\Omega - S)\tilde{\Sigma}'}{\Sigma^2}$

satisfies  $\frac{d\tilde{q}'}{dt} = 0$  as expected [exercise]



- Consider axisymmetric waves:  $k_y = 0$ ,  $k_x = \text{cst}$ ,  $k = |k_x|$
- Amplitudes  $\propto e^{-i\omega t}$

$$-i\omega\tilde{\Sigma}' + \Sigma ik_x\tilde{v}_x = 0$$

$$-i\omega\tilde{v}_x - 2\Omega\tilde{v}_y = -ik_x \left( v_s^2 - \frac{2\pi G\Sigma}{|k_x|} \right) \frac{\tilde{\Sigma}'}{\Sigma}$$

$$-i\omega\tilde{v}_y + (2\Omega - S)\tilde{v}_x = 0$$

- Multiply second equation by  $i\omega$  and eliminate  $\tilde{\Sigma}'$  and  $\tilde{v}_y$  :

$$\omega^2\tilde{v}_x - 2\Omega(2\Omega - S)\tilde{v}_x = k_x^2 \left( v_s^2 - \frac{2\pi G\Sigma}{|k_x|} \right) \tilde{v}_x$$

- Deduce dispersion relation for “density waves”:

$$\omega^2 = \kappa^2 - 2\pi G\Sigma|k_x| + v_s^2 k_x^2$$

- Also vortical solution  $\omega = 0$ ,  $\tilde{v}_x = 0$  : zonal flow / geostrophic flow



- Dispersion relation for density waves:

$$\omega^2 = \kappa^2 - 2\pi G\Sigma |k_x| + v_s^2 k_x^2$$

inertial

acoustic

(restoring forces)

self-gravity

(destabilizing)

- “Acoustic-inertial waves”
- Disc is unstable to axisymmetric disturbances if  $\omega^2 < 0$  for some  $k_x$
- $\omega^2$  is minimized with respect to  $|k_x|$  when

$$0 = -2\pi G\Sigma + 2v_s^2 |k_x| \quad \Rightarrow \quad |k_x| = \frac{\pi G\Sigma}{v_s^2}$$

$$(\omega^2)_{\min} = \kappa^2 - \frac{(\pi G\Sigma)^2}{v_s^2} = \kappa^2 \left( 1 - \frac{1}{Q^2} \right)$$

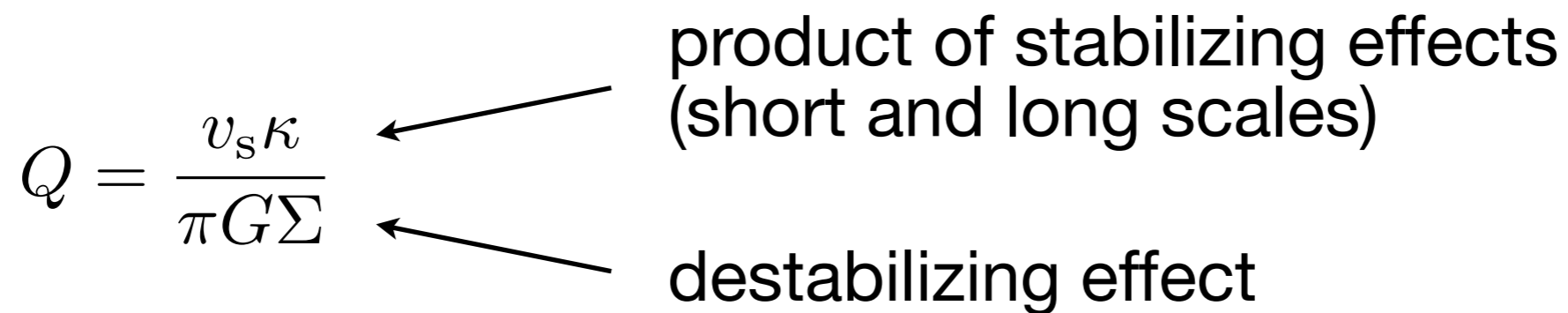
- Gravitational instability if  $Q < 1$ , where  $Q = \frac{v_s \kappa}{\pi G\Sigma}$   
(Toomre stability parameter)

- Gravitational instability if  $Q < 1$ , where  $Q = \frac{v_s \kappa}{\pi G \Sigma}$
- Toomre stability parameter  $Q$ :
  - An inverse measure of self-gravity
  - A measure of temperature

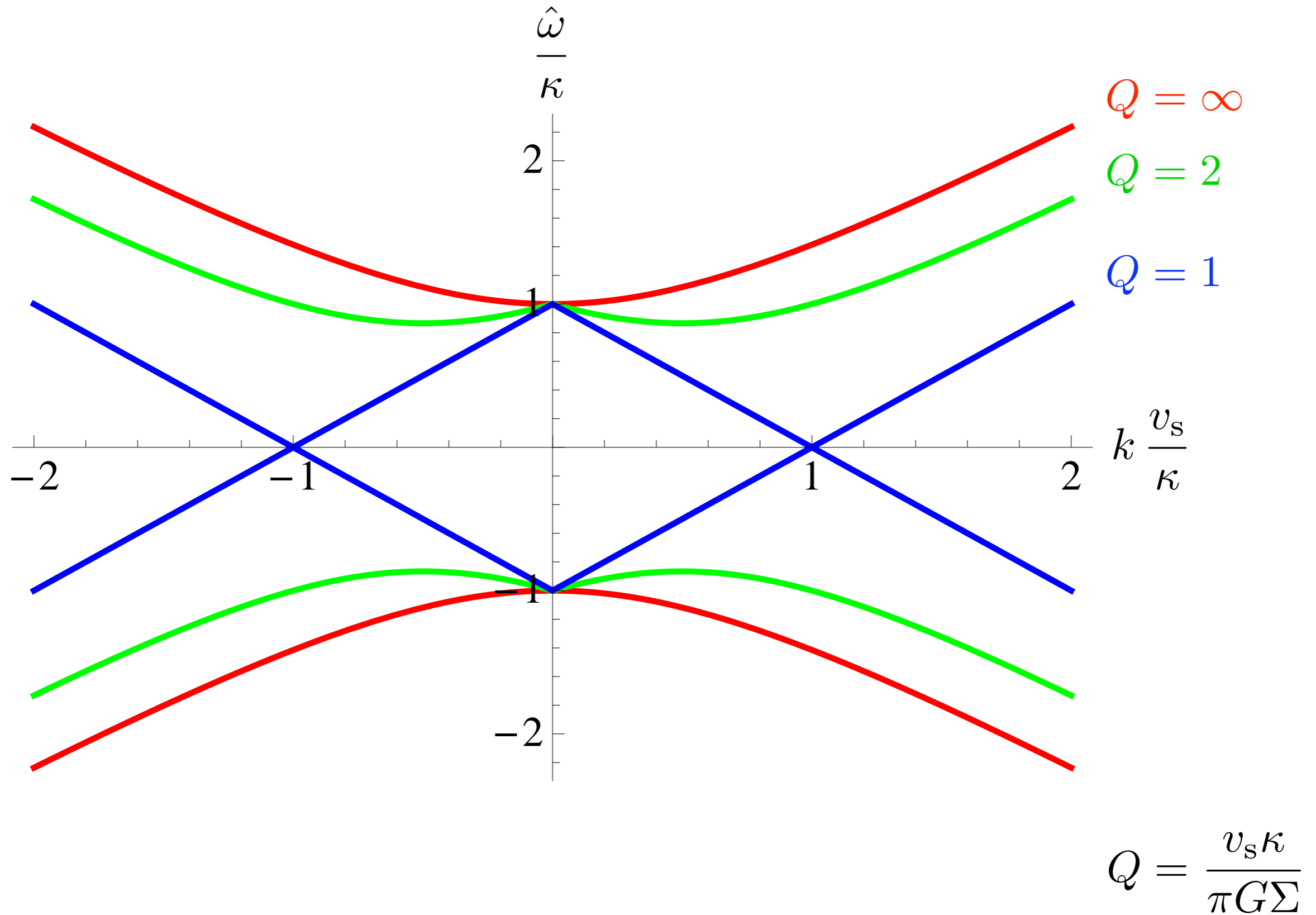
$$Q = \frac{v_s \kappa}{\pi G \Sigma}$$

product of stabilizing effects  
(short and long scales)

destabilizing effect



# 2D compressible dynamics in shearing sheet



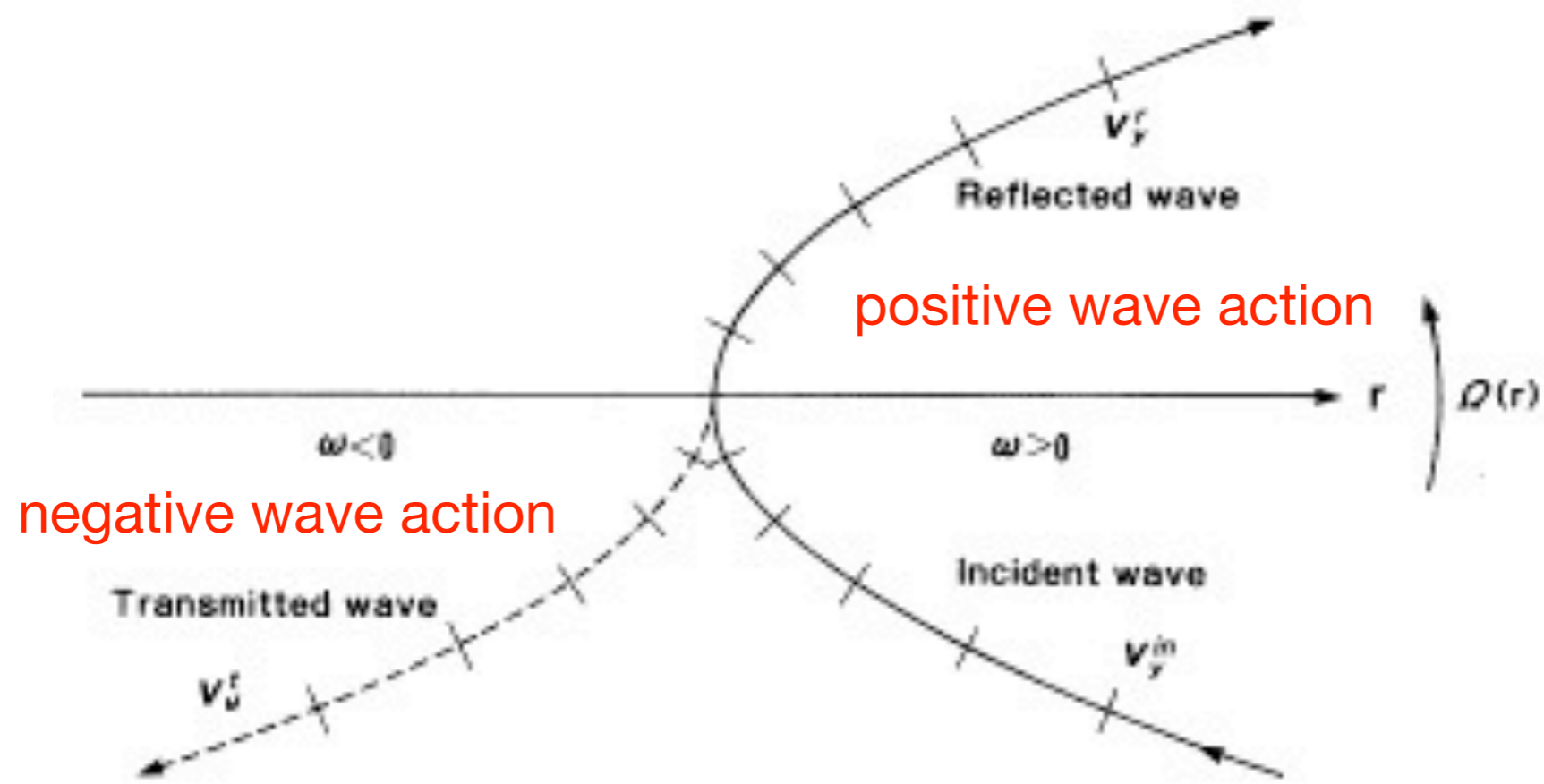
Nakagawa & Sekiya (1992)

Non-vortical perturbations

Oscillating at ends of swing

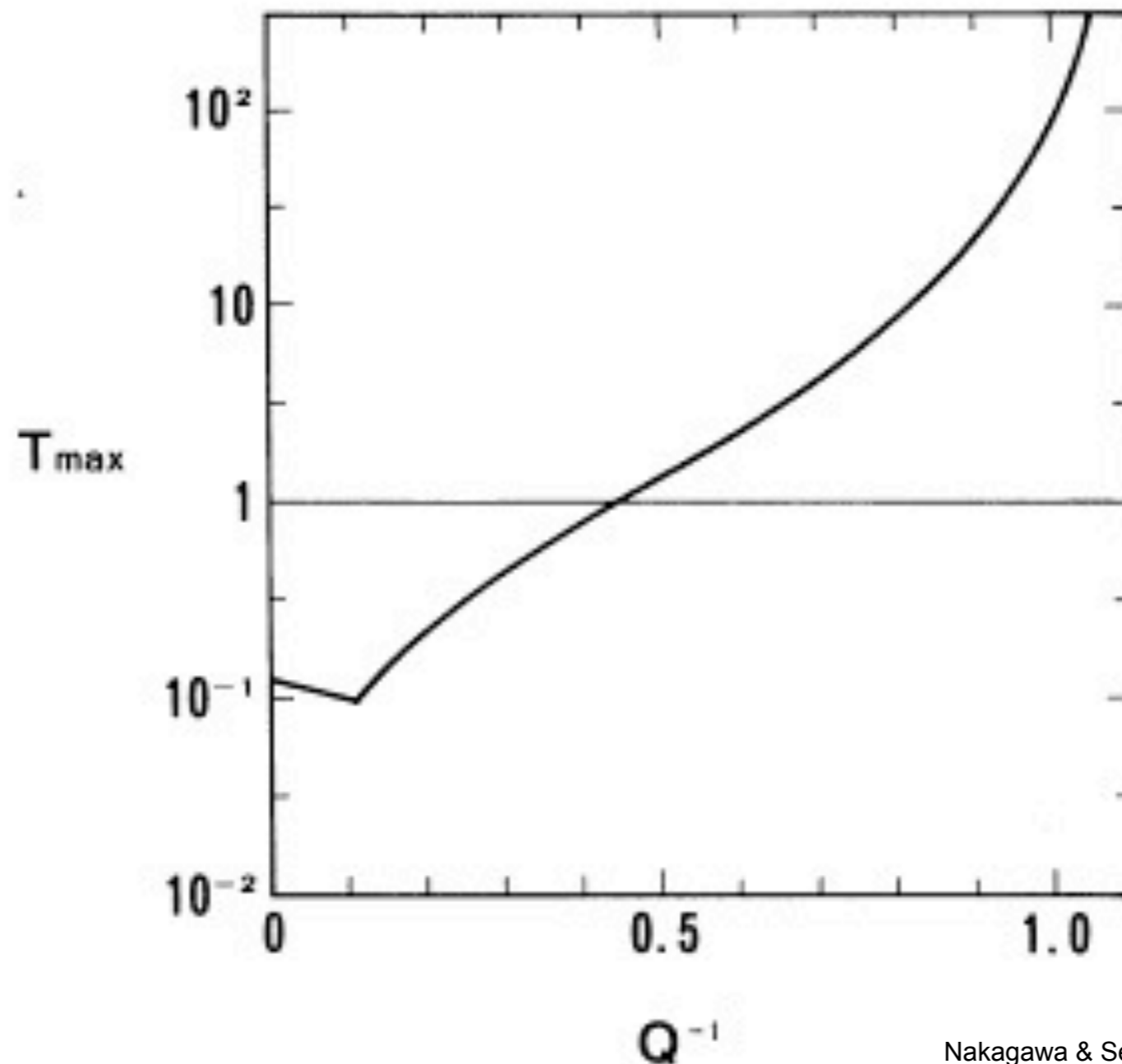
Over-reflection due to wave action conservation

$$|I|^2 = |R|^2 - |T|^2$$



Maximum transmission coefficient

Swing amplification due (mainly) to self-gravity

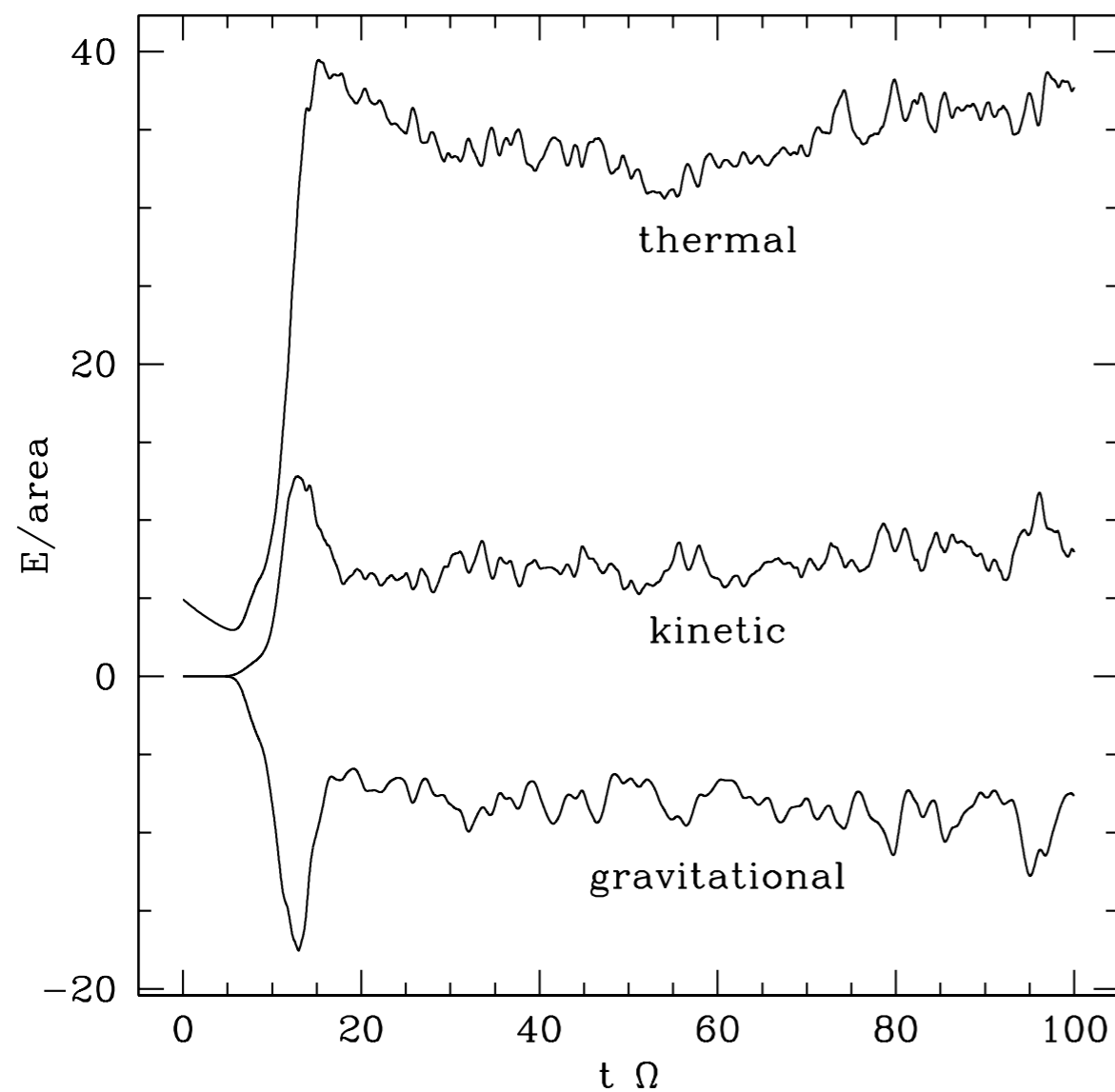


Nakagawa & Sekiya (1992)

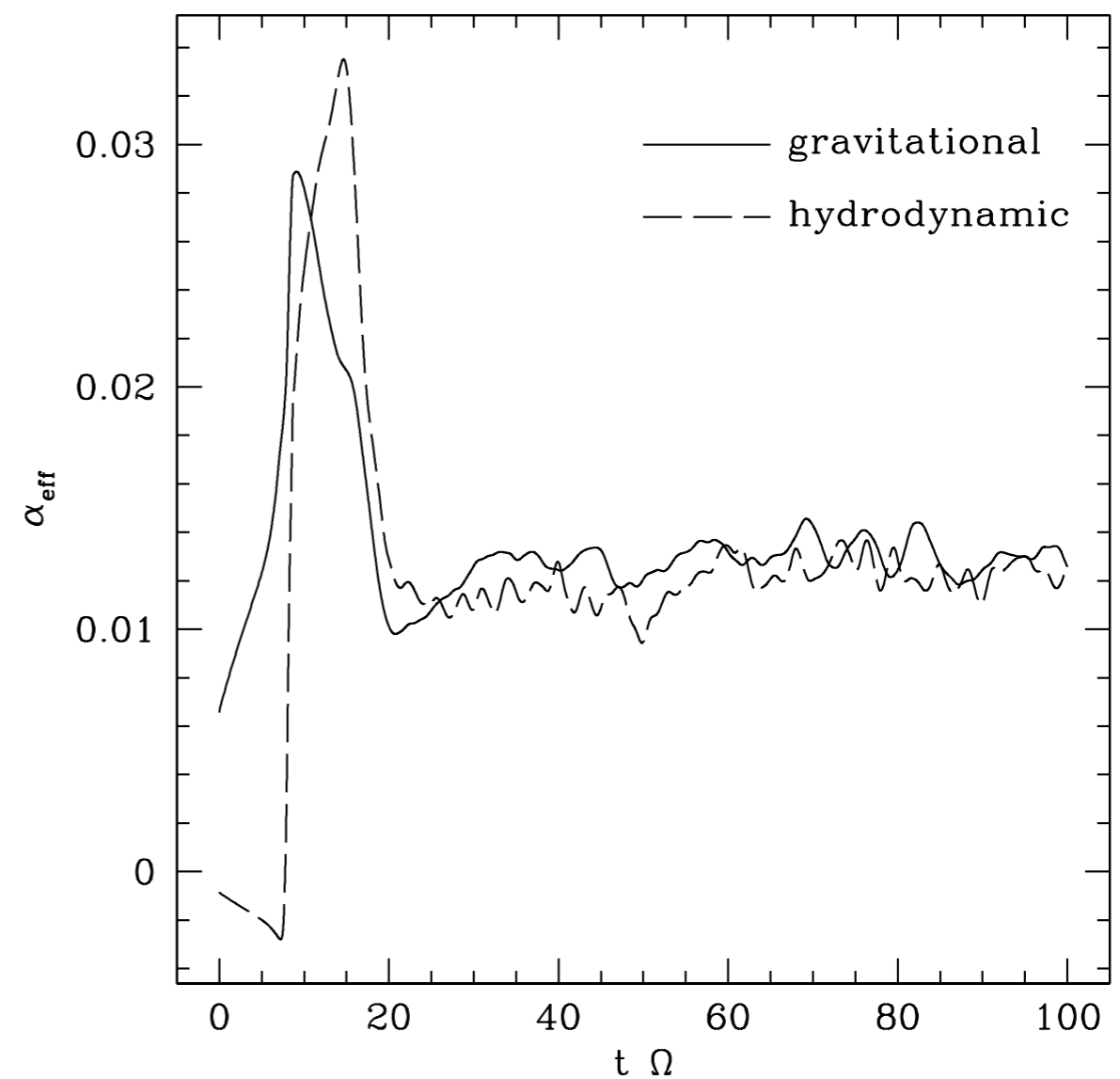
- Occurrence of gravitational instability:
  - If  $Q < 1$ , disc tends to form rings  
(axisymmetric instability, exponential growth)
  - If  $1 < Q \lesssim 1.5$ , disc tends to form spiral waves or clumps  
(non-axisymmetric instability, transient growth)
- Since  $Q \propto v_s \propto T^{1/2}$ , thermostatic regulation is possible:  
instability  $\rightarrow$  motion  $\rightarrow$  dissipation (shock/viscous)  $\rightarrow$  heating
- Two possible outcomes of gravitational instability:
  - Fragmentation: formation of gravitationally bound objects  
(clumps...moonlets / planets / stars)
  - Gravitational turbulence: sustained activity of non-axisymmetric density waves (e.g. “self-gravity wakes” in Saturn’s rings)
- Efficient cooling promotes fragmentation, or enhances the efficiency of gravitational turbulence, since cooling balances viscous heating

## Nonlinear outcome in razor-thin disc with heating and cooling (Gammie 2001)

energy

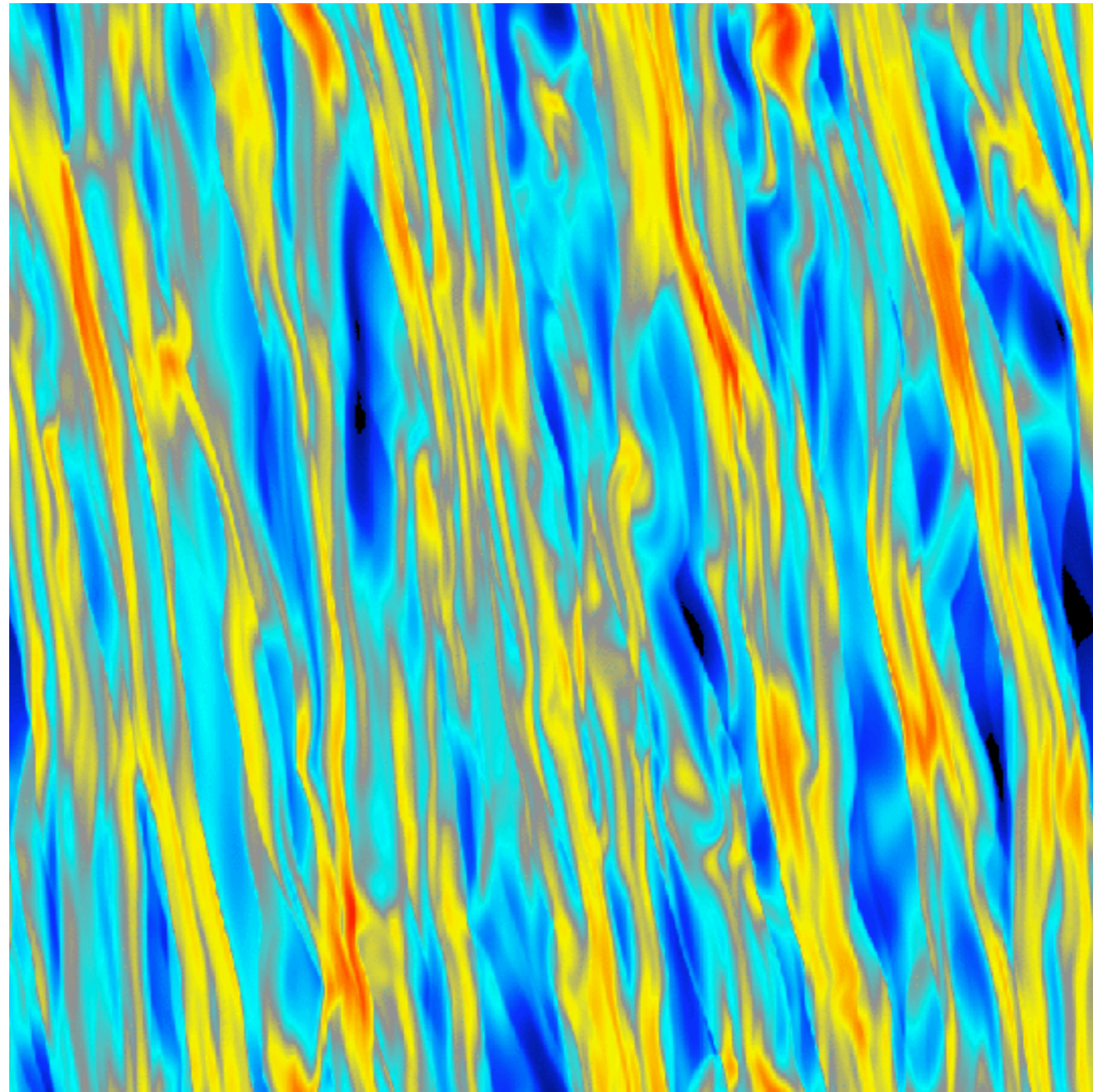


angular momentum transport



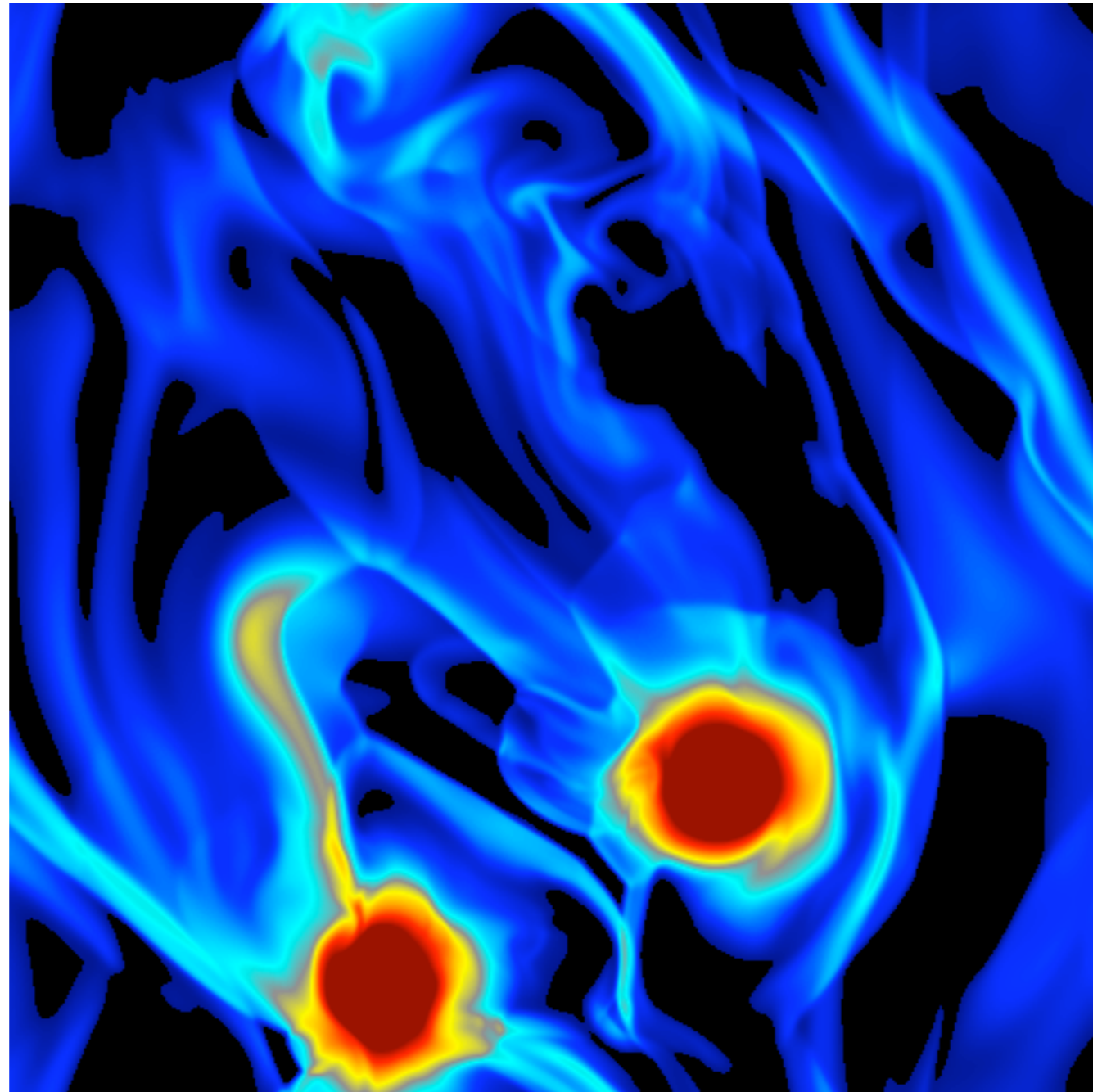


## Gravitoturbulent state (slow cooling)



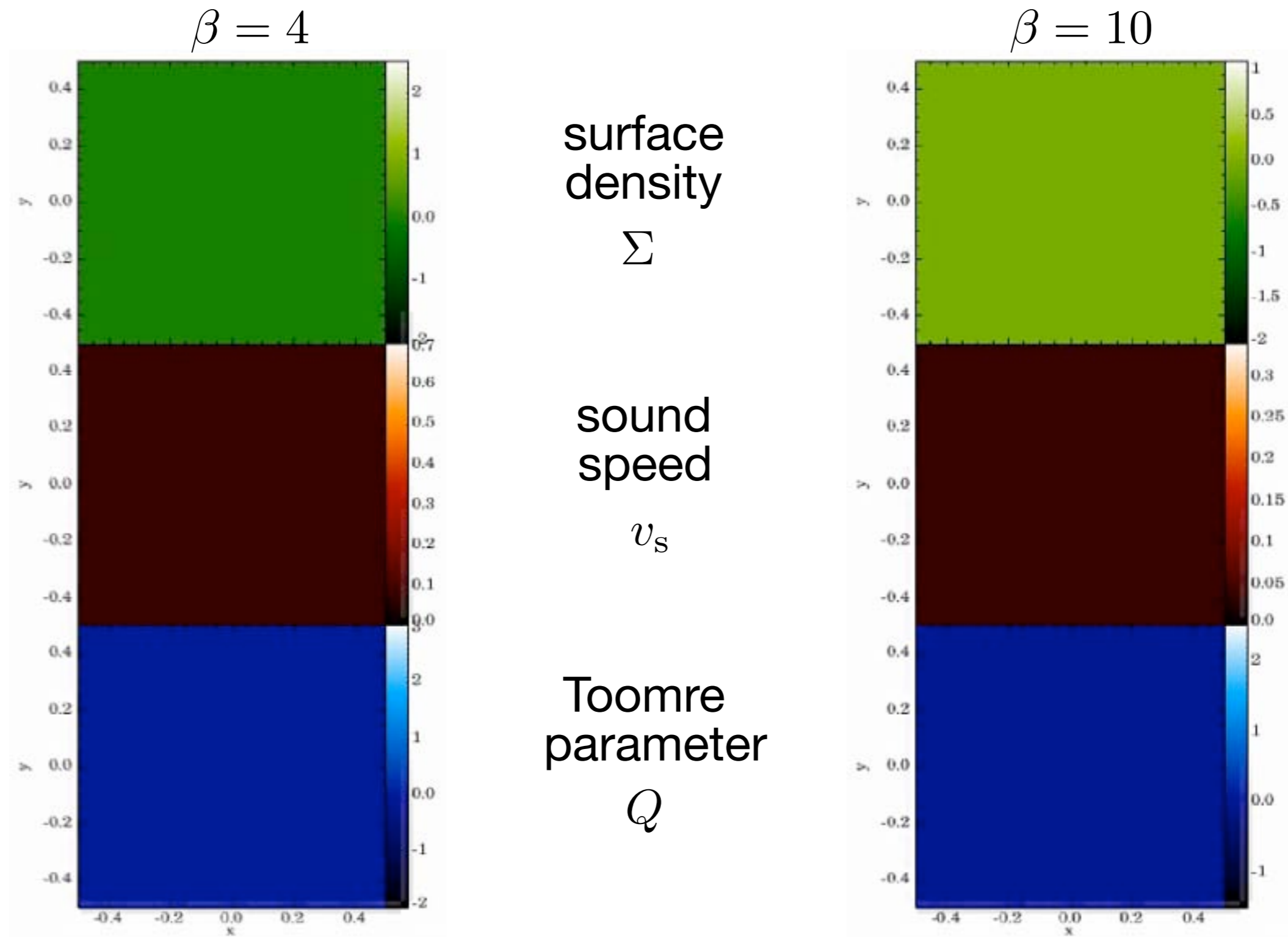
Gammie (2001)

## Fragmentation (rapid cooling)



Gammie (2001)

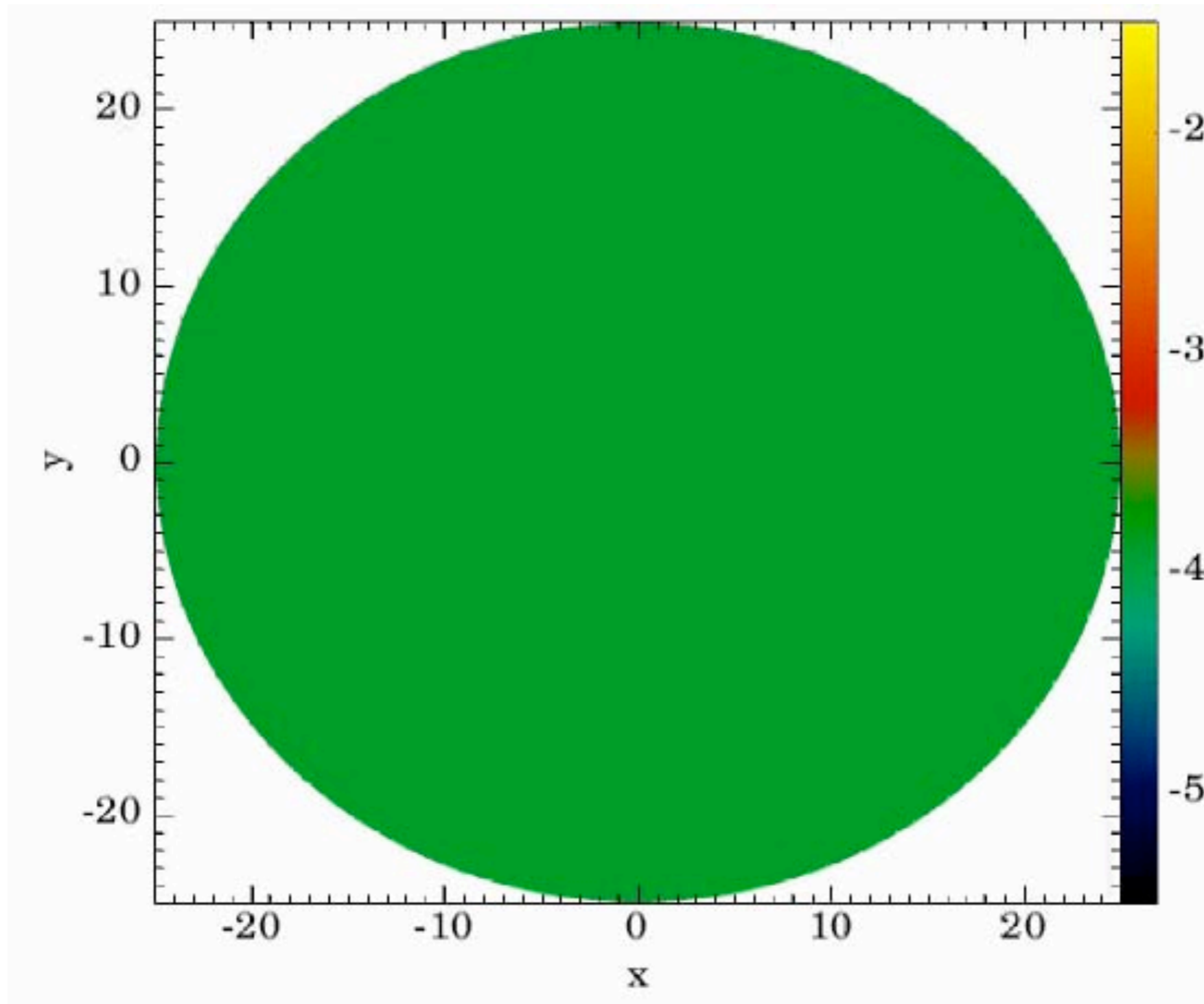
- 2D nonlinear simulation with cooling time  $\beta/\Omega$  (S.-J. Paardekooper)



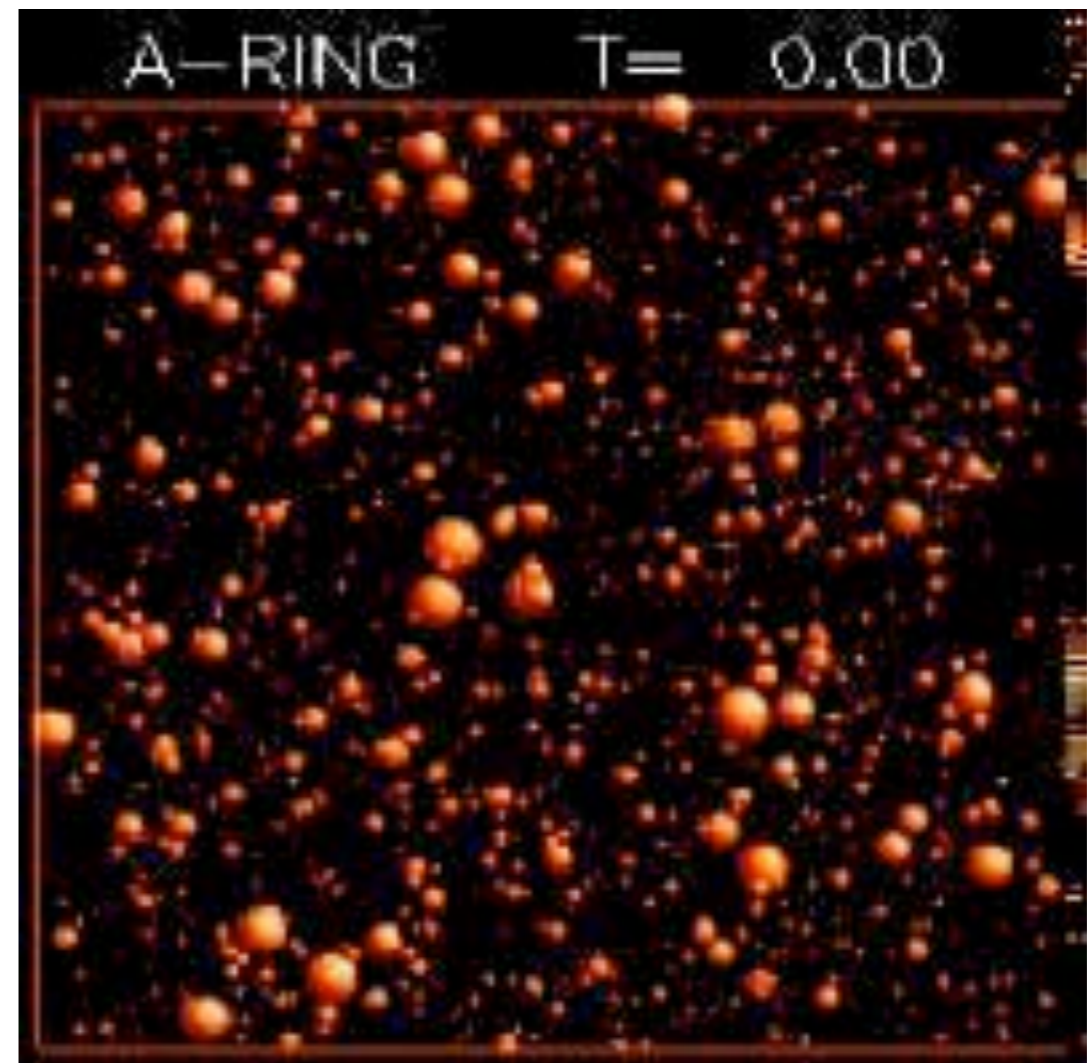
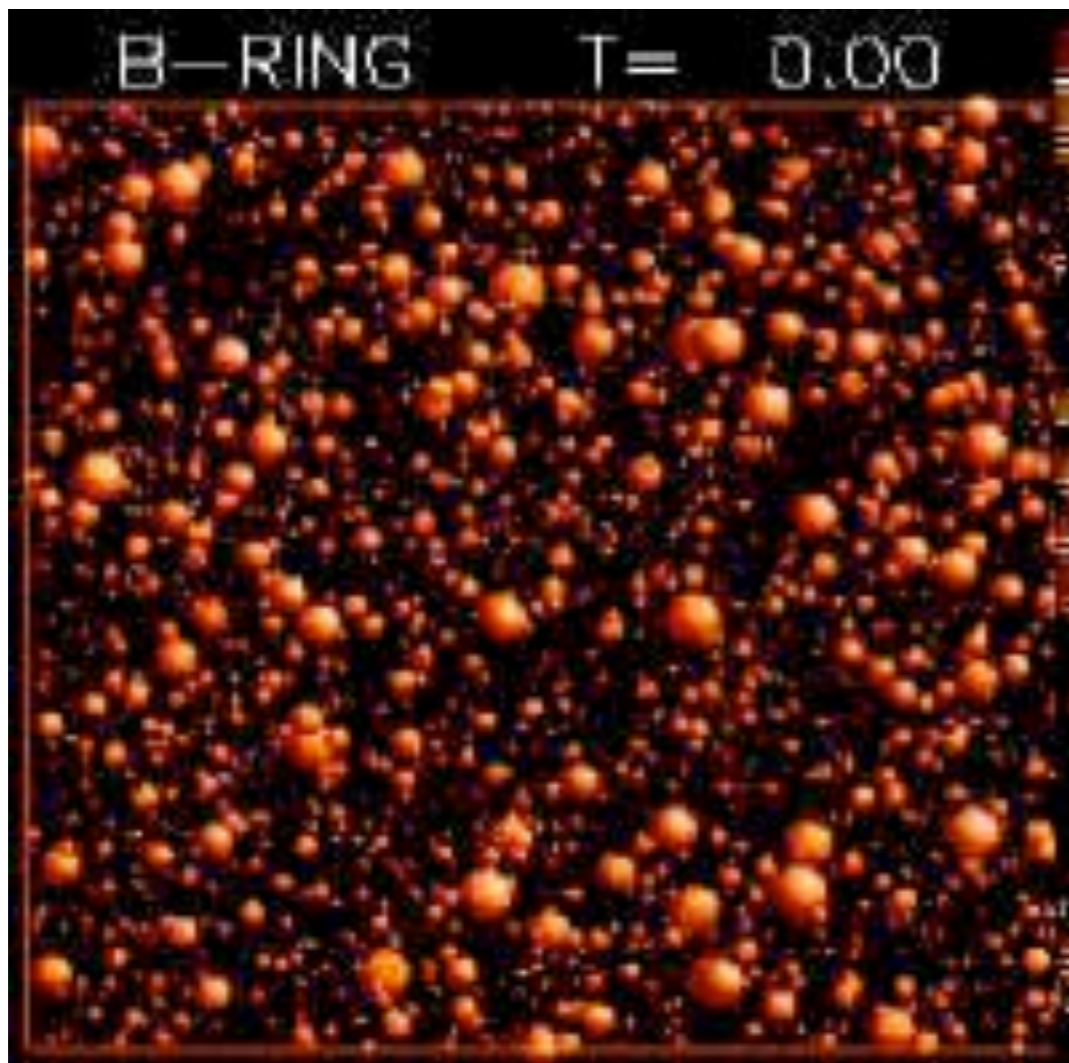


- 2D global simulation with cooling (S.-J. Paardekooper)

$$\beta = 10$$

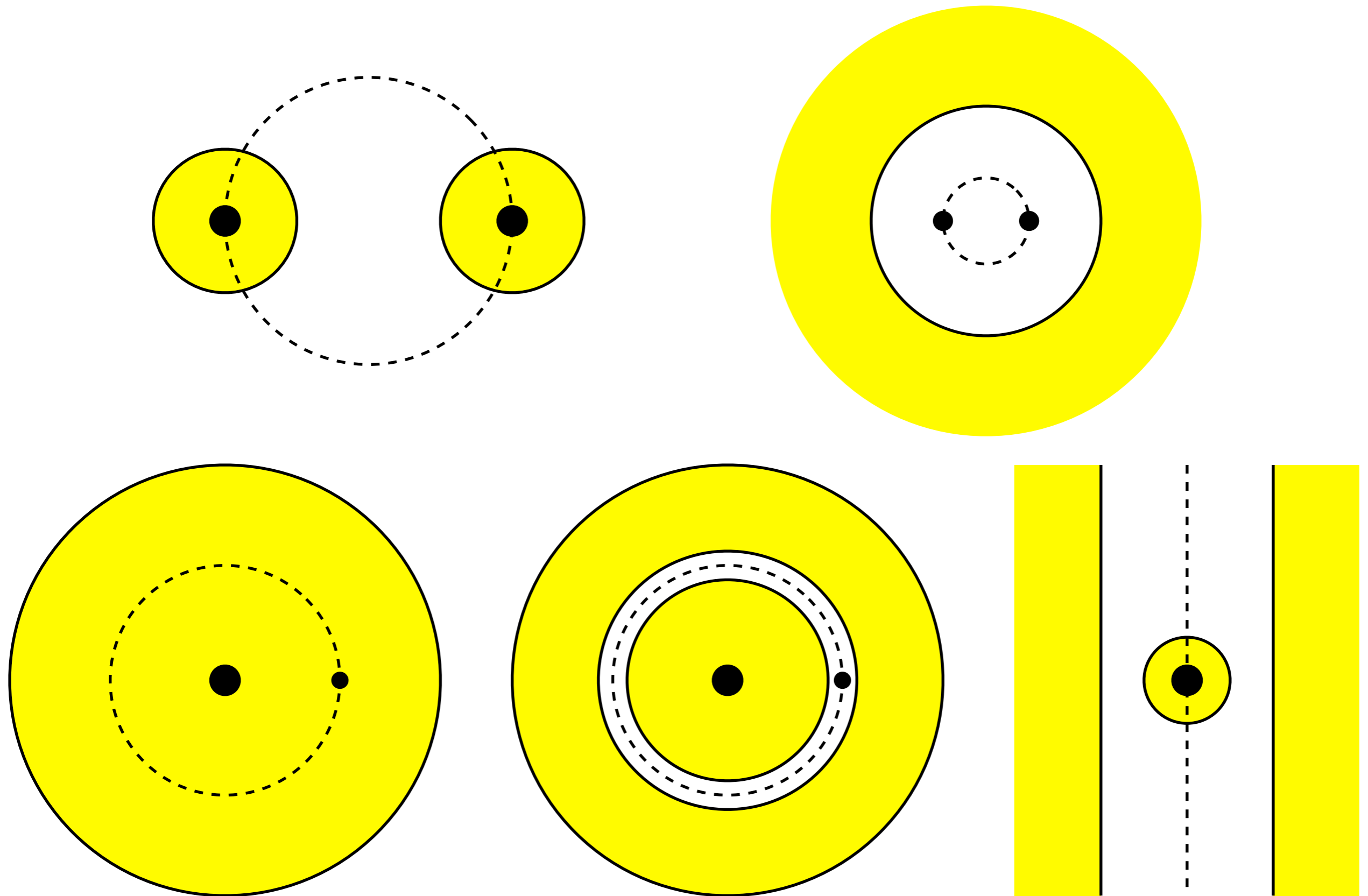


- 3D simulations of Saturn's rings (inelastically colliding particles)  
(Salo 1992)



- Common problem:
  - Orbiting companion, e.g. on circular orbit within disc
  - Gravitational (rather than hydrodynamic) interaction with disc
  - Perturbs orbital motion and excites waves
  - Calculate exchanges of energy and angular momentum
  - Determine orbital evolution of satellite (migration, etc.)

# Satellite-disc interaction





- Test particle dynamics in  $xy$  plane, in local approximation (fluid dynamics more difficult, but results are similar in some ways)

$$\ddot{x} - 2\Omega\dot{y} = 2\Omega Sx - \frac{\partial\Psi}{\partial x}$$
$$\ddot{y} + 2\Omega\dot{x} = -\frac{\partial\Psi}{\partial y}$$

- Satellite on circular orbit at reference radius ( $x_s = y_s = 0$ ):

$$\Psi = -GM_s(x^2 + y^2)^{-1/2}$$

$$\ddot{x} - 2\Omega\dot{y} = 2\Omega Sx - \frac{\partial\Psi}{\partial x}$$

$$\ddot{y} + 2\Omega\dot{x} = -\frac{\partial\Psi}{\partial y}$$

- General solution in absence of satellite potential:

$$\ddot{x} = -4\Omega^2\dot{x} + 2\Omega S\dot{x} = -\kappa^2\dot{x}$$

$$\Rightarrow x = x_0 + A_r \cos \kappa t + A_i \sin \kappa t = x_0 + \text{Re} [A e^{-i\kappa t}]$$

$$y = y_0 - Sx_0 t - \frac{2\Omega}{\kappa} \text{Re} [iA e^{-i\kappa t}]$$

- Guiding centre  $(x_0, y_0 - Sx_0 t)$
- Complex epicyclic amplitude  $A = A_r + iA_i$

- To express “orbital elements” in terms of position and velocity:

$$x = x_0 + \operatorname{Re} [A e^{-i\kappa t}]$$

$$\dot{x} = \operatorname{Re} [-i\kappa A e^{-i\kappa t}] = \kappa \operatorname{Im} [A e^{-i\kappa t}]$$

$$\ddot{x} = -\kappa^2 \operatorname{Re} [A e^{-i\kappa t}]$$

$$\Rightarrow A e^{-i\kappa t} = -\frac{\ddot{x}}{\kappa^2} + \frac{i\dot{x}}{\kappa}$$

$$\Rightarrow A = \left[ -\frac{2\Omega}{\kappa^2} (\dot{y} + Sx) + \frac{i\dot{x}}{\kappa} \right] e^{i\kappa t}$$

$$x_0 = x + \frac{\ddot{x}}{\kappa^2} = x + \frac{2\Omega}{\kappa^2} (\dot{y} + Sx) = \frac{2\Omega}{\kappa^2} (\dot{y} + 2\Omega x)$$

- Canonical  $y$  momentum (per unit mass):

$$p_y = \dot{y} + 2\Omega x = \frac{\kappa^2}{2\Omega} x_0 = \text{cst}$$

- Energy (per unit mass):

$$\varepsilon = \frac{1}{2}(\dot{x}^2 + \dot{y}^2) - \Omega S x^2$$

- Use  $\kappa^2 |A|^2 = \dot{x}^2 + \frac{4\Omega^2}{\kappa^2} (\dot{y} + Sx)^2$  :

$$\begin{aligned}\varepsilon &= \frac{1}{2} \kappa^2 |A|^2 - \frac{2\Omega^2}{\kappa^2} (\dot{y} + Sx)^2 + \frac{1}{2} \dot{y}^2 - \Omega S x^2 \\ &= \frac{1}{2} \kappa^2 |A|^2 - \frac{\Omega S}{\kappa^2} (\dot{y} + 2\Omega x)^2 \\ &= \frac{1}{2} \kappa^2 |A|^2 - \frac{\Omega S}{\kappa^2} p_y^2 = \text{cst}\end{aligned}$$

- In the presence of a satellite potential:

$$\dot{p}_y = -\frac{\partial \Psi}{\partial y}$$

$$\varepsilon + \Psi = \text{cst}$$

$$\begin{aligned} \dot{A} &= \left[ -\frac{2\Omega}{\kappa^2} (\ddot{y} + S\dot{x}) + \frac{i\ddot{x}}{\kappa} - \frac{2i\Omega}{\kappa} (\dot{y} + Sx) - \dot{x} \right] e^{i\kappa t} \\ &= \left[ -\frac{2\Omega}{\kappa^2} (\ddot{y} + 2\Omega\dot{x}) + \frac{i}{\kappa} (\ddot{x} - 2\Omega\dot{y} - 2\Omega Sx) \right] e^{i\kappa t} \\ &= \left( \frac{2\Omega}{\kappa^2} \frac{\partial \Psi}{\partial y} - \frac{i}{\kappa} \frac{\partial \Psi}{\partial x} \right) e^{i\kappa t} \end{aligned}$$

- Consider the unperturbed “circular” orbit ( $A = 0$ )

$$x = x_0 = cst$$

$$y = -Sx_0t$$

- Calculate  $\Delta A$  in linear approximation:

$$\dot{A} = \left( \frac{2\Omega}{\kappa^2} \frac{\partial \Psi}{\partial y} - \frac{i}{\kappa} \frac{\partial \Psi}{\partial x} \right) e^{i\kappa t} \quad \Psi = -GM_s(x^2 + y^2)^{-1/2}$$

$$= GM_s(x^2 + y^2)^{-3/2} \left( \frac{2\Omega y}{\kappa^2} - \frac{ix}{\kappa} \right) e^{i\kappa t}$$

$$\approx -i \frac{GM_s}{\kappa x_0^2} (1 + S^2 t^2)^{-3/2} \left( 1 - i \frac{2\Omega}{\kappa} St \right) e^{i\kappa t}$$

$$\Delta A = \int_{-\infty}^{\infty} \dot{A} dt$$

$$= -i \frac{GM_s}{\kappa x_0^2} \int_{-\infty}^{\infty} (1 + S^2 t^2)^{-3/2} \left( \cos \kappa t + \frac{2\Omega}{\kappa} St \sin \kappa t \right) dt$$





# Satellite–disc interaction

- Long before and after the encounter,  $\Psi \rightarrow 0$
- Since  $\varepsilon + \Psi$  is exactly conserved,  $\Delta\varepsilon = 0$  in the encounter

- But  $\varepsilon = \frac{1}{2}\kappa^2|A|^2 - \frac{\Omega S}{\kappa^2}p_y^2$ , so  $\Delta(p_y^2) = \frac{\kappa^4}{2\Omega S}\Delta(|A|^2)$

- Assume a “circular” orbit before the encounter:

$$A = 0, \quad p_y = \frac{\kappa^2}{2\Omega}x_0$$

- Then, after the encounter:

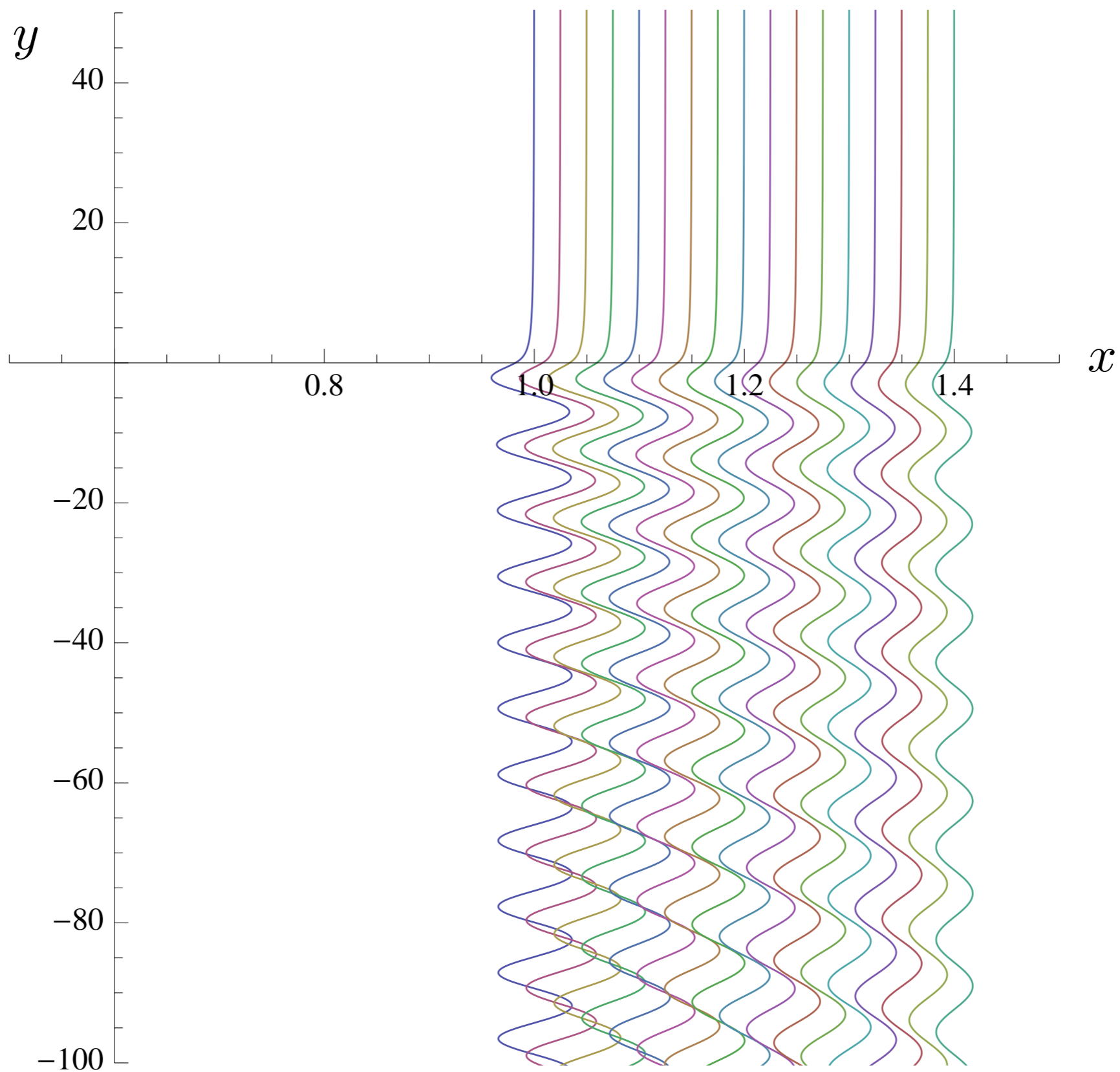
$$A \approx -iC \frac{GM_s}{\kappa S x_0^2}, \quad p_y^2 \approx \frac{\kappa^4}{4\Omega^2}x_0^2 + \frac{\kappa^4}{2\Omega S} \left( C \frac{GM_s}{\kappa S x_0^2} \right)^2$$

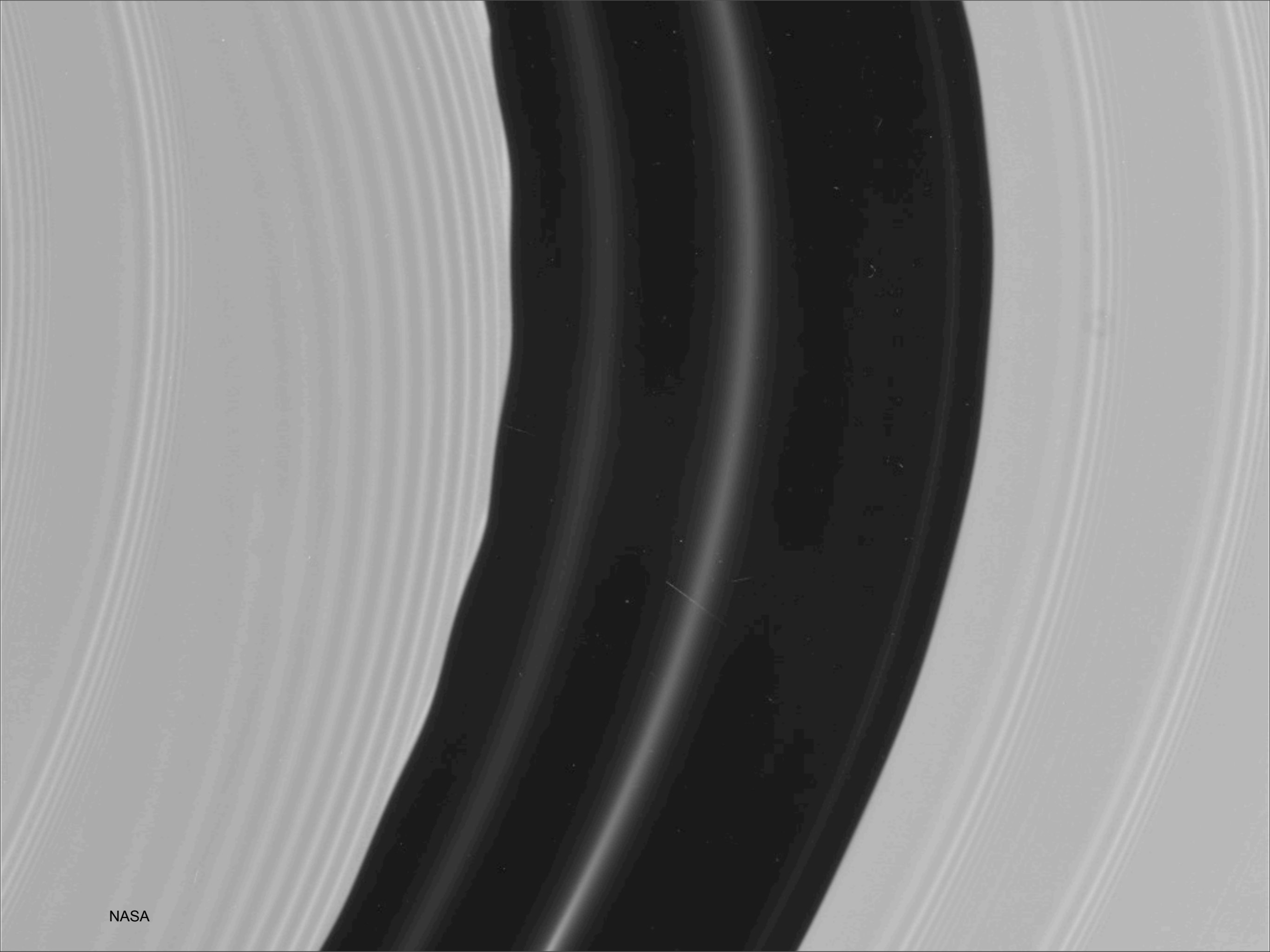
$$\Rightarrow p_y \approx \frac{\kappa^2}{2\Omega}x_0 + \underbrace{\frac{(CGM_s)^2}{2S^3 x_0^5}}_{\Delta p_y \text{ correct to second order}}$$

$\Delta p_y$  correct to second order

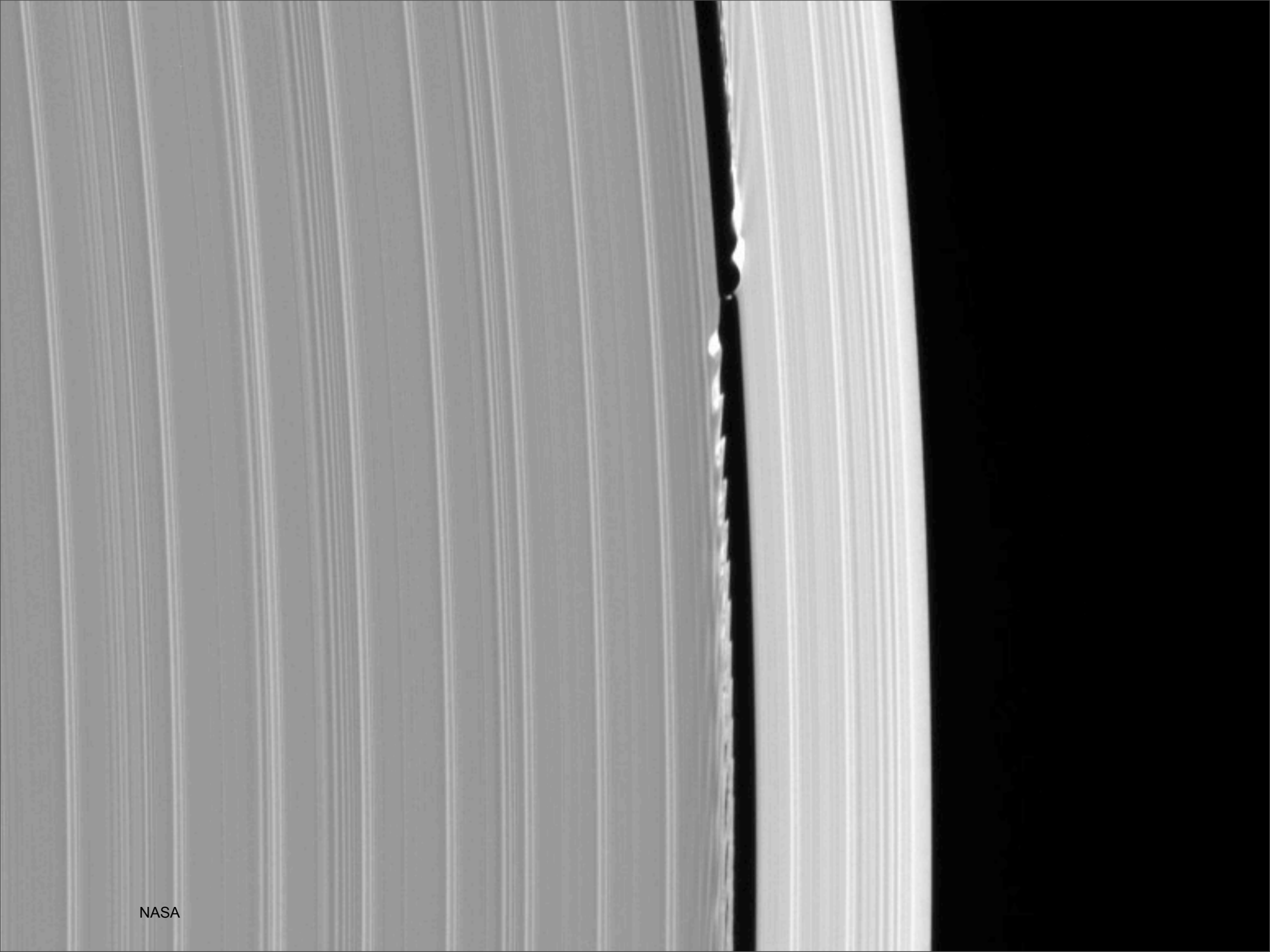
- Irreversibility / dissipation implicit in assuming circular initial orbit

# Satellite-disc interaction



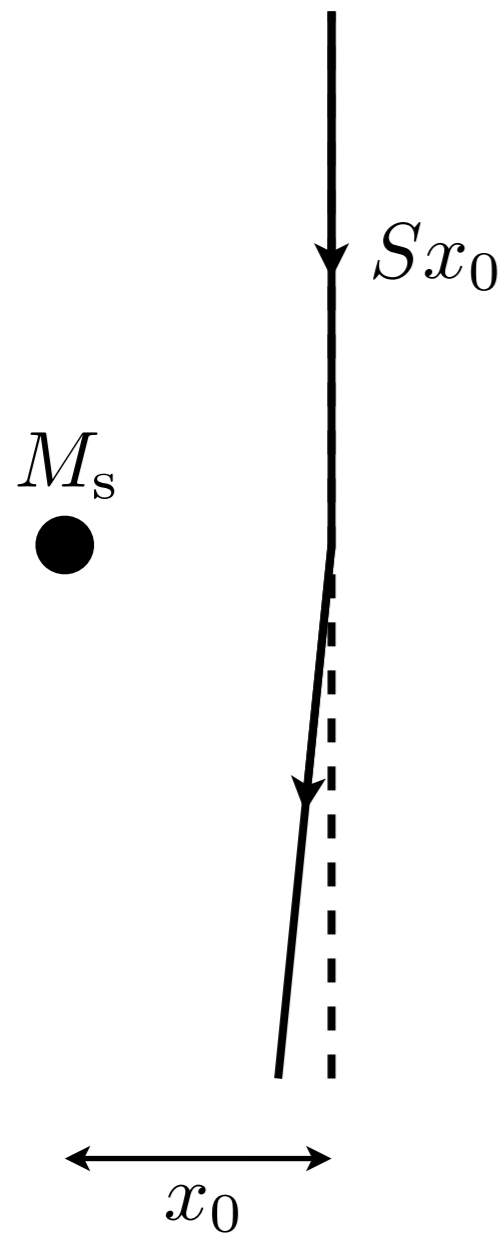


NASA



NASA

- Simplified version: “impulse approximation”



$$\Delta v_{\perp} \approx \frac{GM_s}{x_0^2} \frac{1}{S}$$

$$\Delta(v_{\perp}^2) + \Delta(v_{\parallel}^2) = 0 \quad (\text{energy})$$

$$\left(\frac{GM_s}{Sx_0^2}\right)^2 + 2Sx_0\Delta v_{\parallel} \approx 0$$

$$\Delta v_{\parallel} \approx -\frac{(GM_s)^2}{2S^3x_0^5} \quad (\text{lacks } C^2 \text{ factor})$$

- $y$  force on disc per unit  $x$  at location  $x$  :

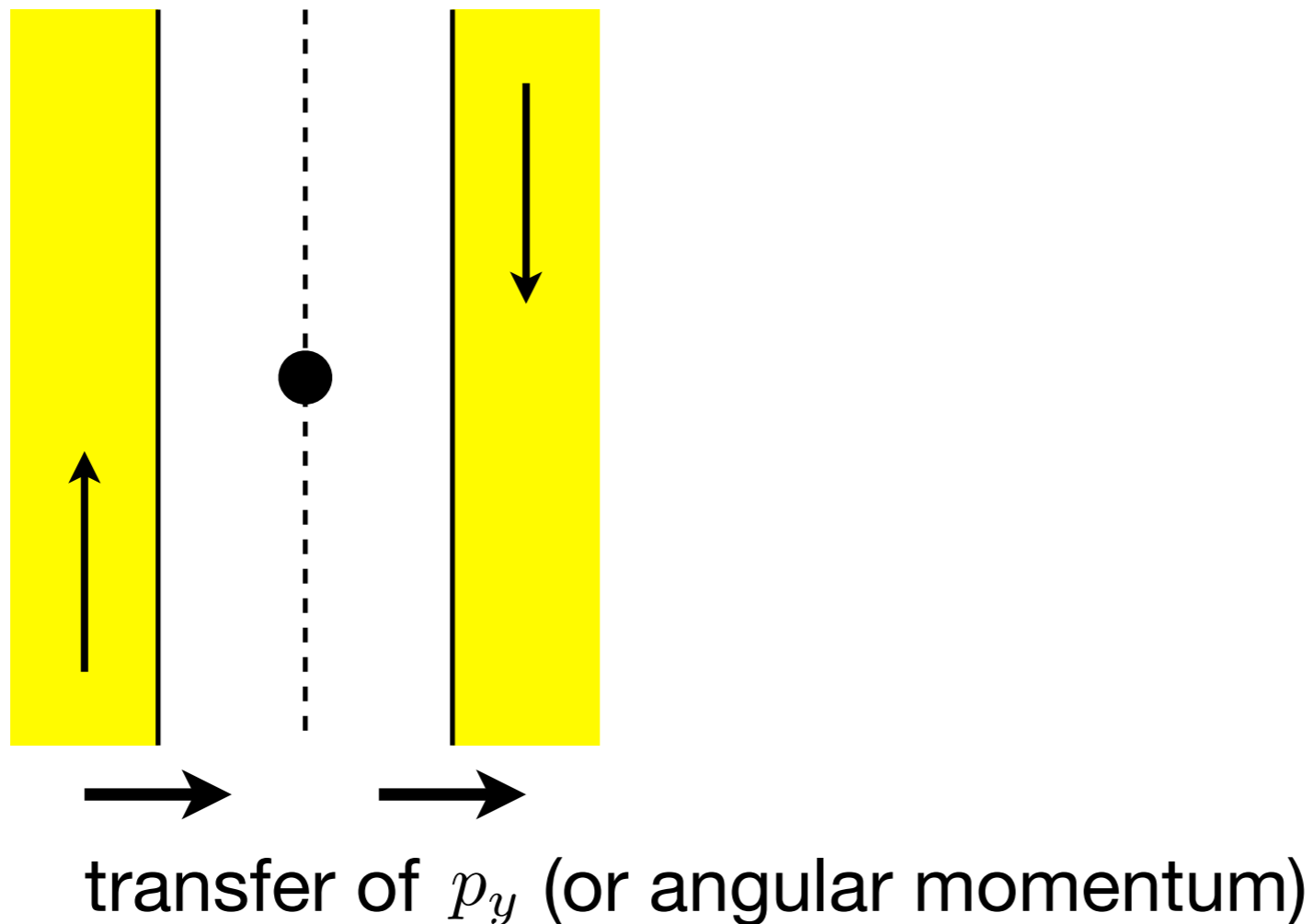
$$= \frac{(CGM_s)^2}{2S^3x^5} \Sigma |Sx|$$

↑            ↑  
          encounter rate  
          surface density

$$\propto x^{-4} \text{sgn}(x)$$

- Torque per unit radius is the same  $\times r_0$
- Satellite experiences an equal and opposite torque
- Effect is of second order in  $M_s$
- Similar result for density waves (response of a fluid disc)
- $x^{-4}$  divergence is moderated within  $|x| \lesssim H$  (or Hill radius)

- Gravitational interaction is “repulsive”!



- One-sided torque leads to gap opening if  $M_s$  large enough and  $\nu$  small enough
- Asymmetry leads to net torque on satellite and to migration (usually inwards)



- Now include periodic nature of  $y$  coordinate ( $L_y = 2\pi r_0$ ):

$$\begin{aligned}\dot{A} &= \left( \frac{2\Omega}{\kappa^2} \frac{\partial \Psi}{\partial y} - \frac{i}{\kappa} \frac{\partial \Psi}{\partial x} \right) e^{i\kappa t} \\ &= F(t) e^{i\kappa t} \\ &= \sum_{n=-\infty}^{\infty} f_n e^{-in\omega t} e^{i\kappa t}\end{aligned}$$

$$T = \frac{2\pi r_0}{S|x_0|}$$

$$\omega = \frac{2\pi}{T} = \frac{S|x_0|}{r_0}$$

- Add damping of epicyclic motion:

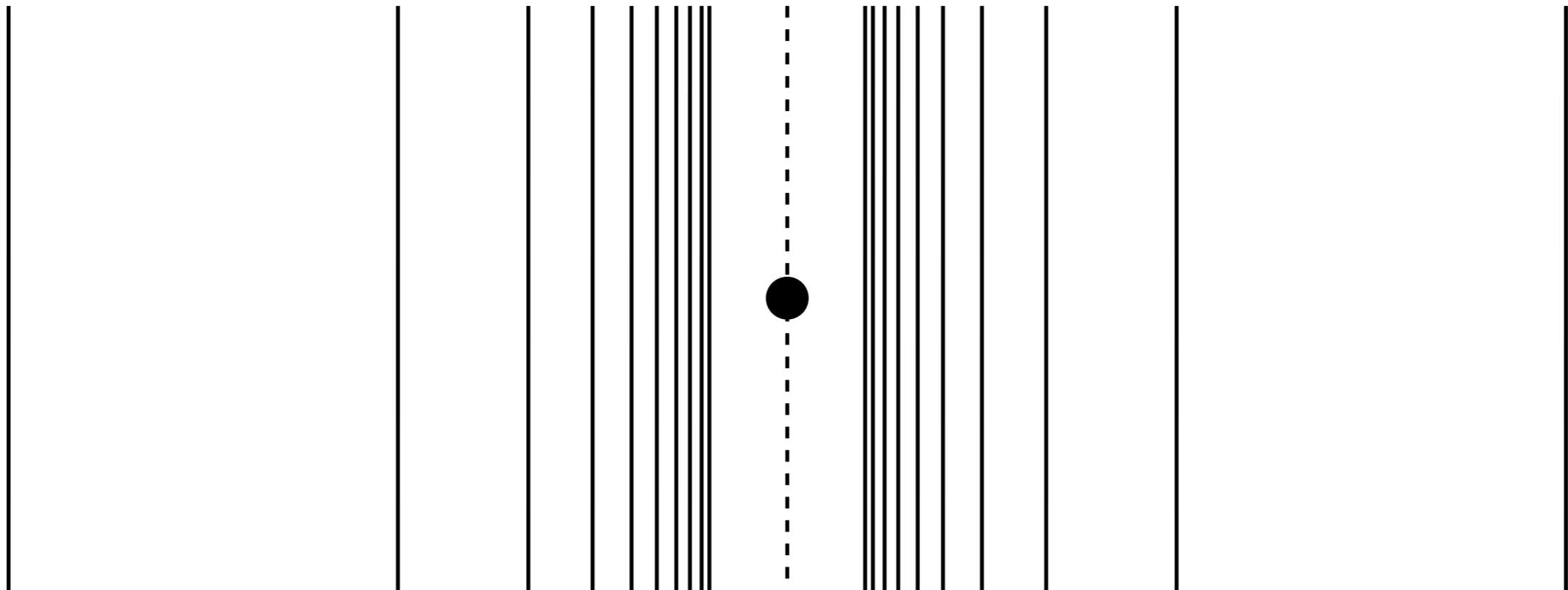
$$\dot{A} = \sum_{n=-\infty}^{\infty} f_n e^{-in\omega t} e^{i\kappa t} - \gamma A$$

- Long-term response:

$$A = \sum_{n=-\infty}^{\infty} \frac{if_n e^{-in\omega t} e^{i\kappa t}}{(n\omega - \kappa) + i\gamma}$$

- “Lindblad resonances” where  $\frac{x}{r_0} = \frac{1}{n} \frac{\kappa}{S}$ , resolved by damping

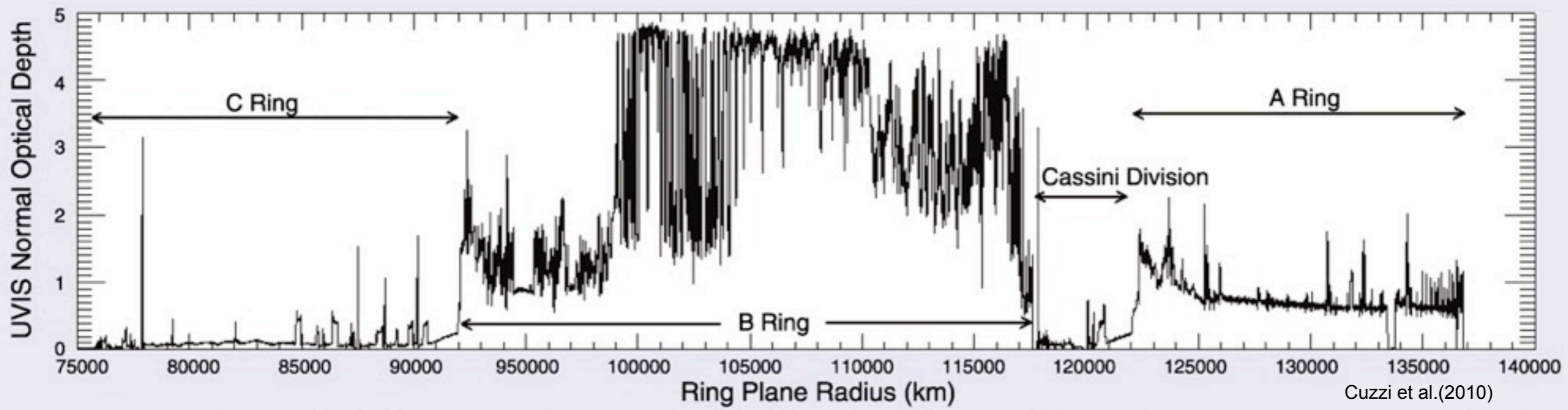
- Lindblad resonances:  $\frac{x}{r_0} = \frac{1}{n} \frac{\kappa}{S}$



- In a Keplerian disc, LRs correspond to orbital commensurabilities

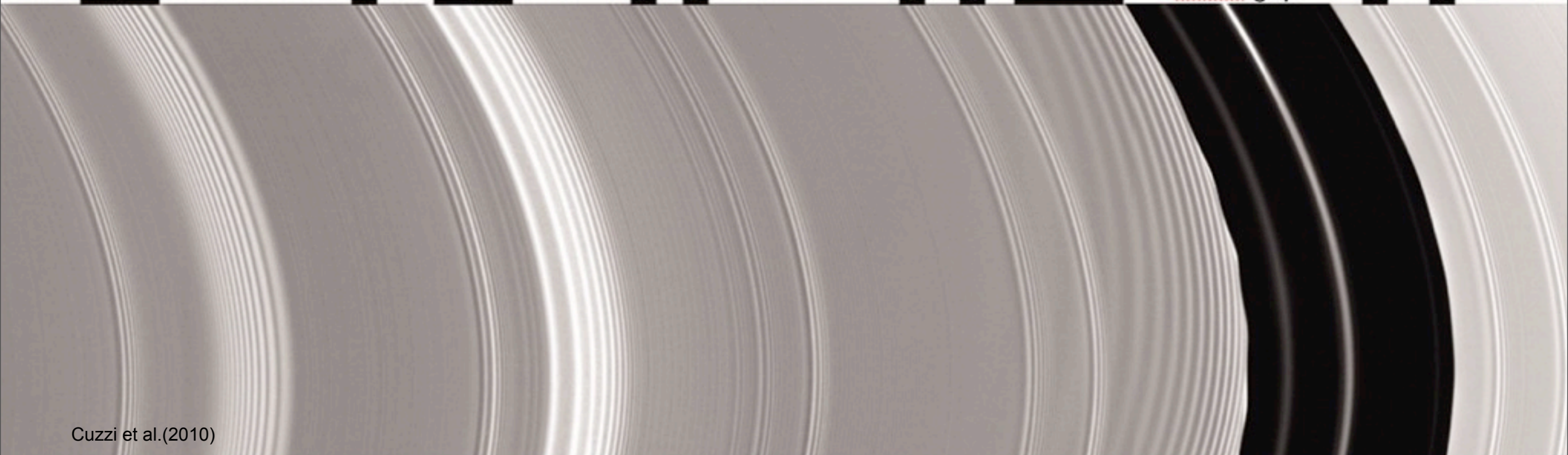
$$\frac{\Omega}{\Omega_0} = \frac{n}{n-1}$$

- In a fluid disc, density waves are launched there  
(wave emission resolves singularity in response)



Cuzzi et al.(2010)

Mi 5:3 BW      Pr13:12    Mi 5:3 DW      Ja11:9    Pr14:13      Pa11:10    Pr15:14      Pan wake      Pa12:11    Pr17:16  
 Pa10:9      Encke gap



Cuzzi et al.(2010)

# reference1

- NASA
- Nakagawa, Y. & Sekiya, M, 1992: Wave action conservation, over-reflection and over-transmission of non-axisymmetric waves in differentially rotating thin discs with self-gravity, MNRAS, 256, 685-694
- Gammie, C. F. , 2001: Nonlinear Outcome of Gravitational Instability in Cooling, Gaseous Disks, ApJ, 553, 174-183
- Paardekooper, S.-J. , unpublished

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