

# Tropical tropopause dynamics observed from a decade of GPS radio occultation data

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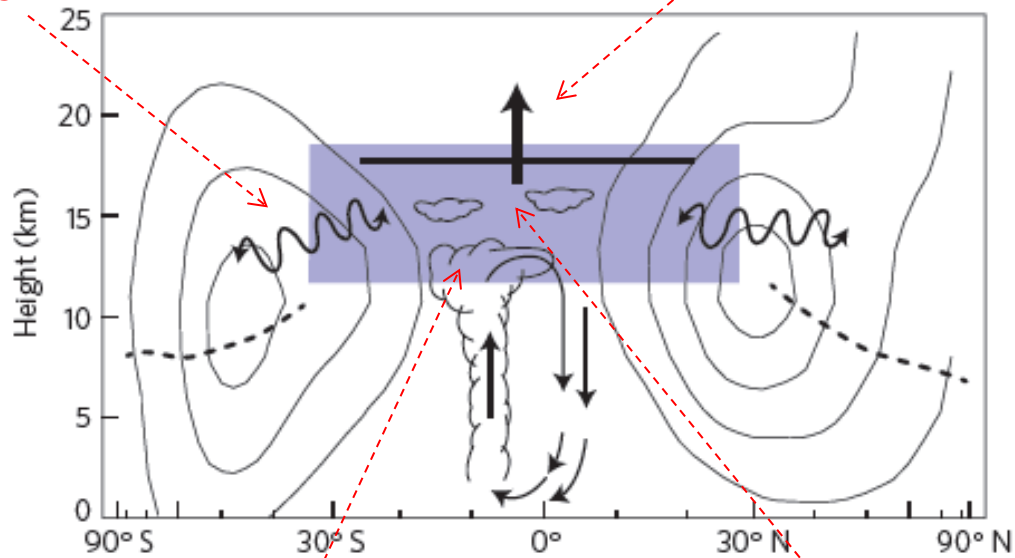


# Transport near the tropical tropopause layer (TTL)

TTL sets 'boundary condition' for global stratosphere  
Region with complex balances:

two-way mixing  
from baroclinic eddies  
and monsoons

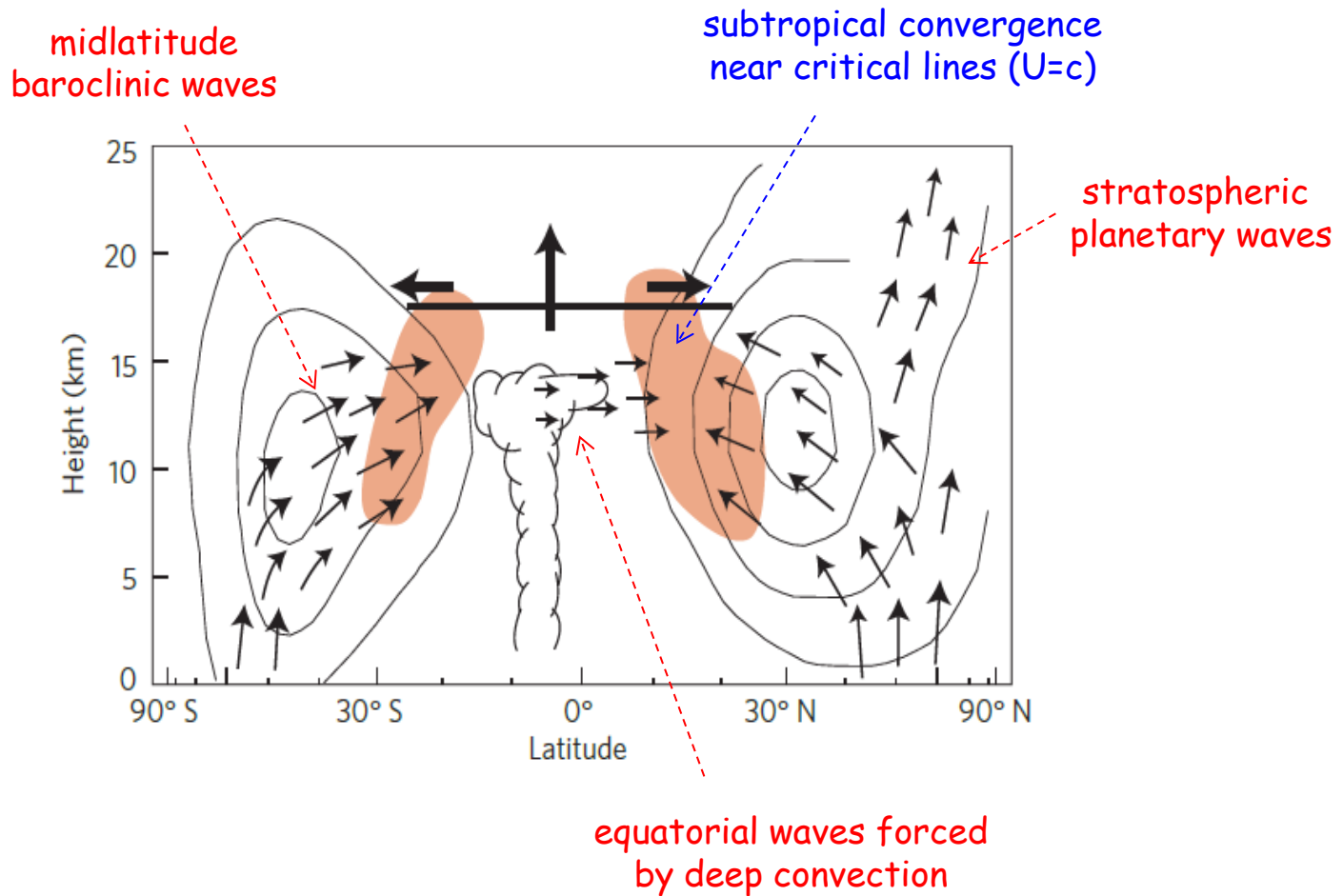
tropical upwelling influences  
temps, ozone and stratospheric H<sub>2</sub>O



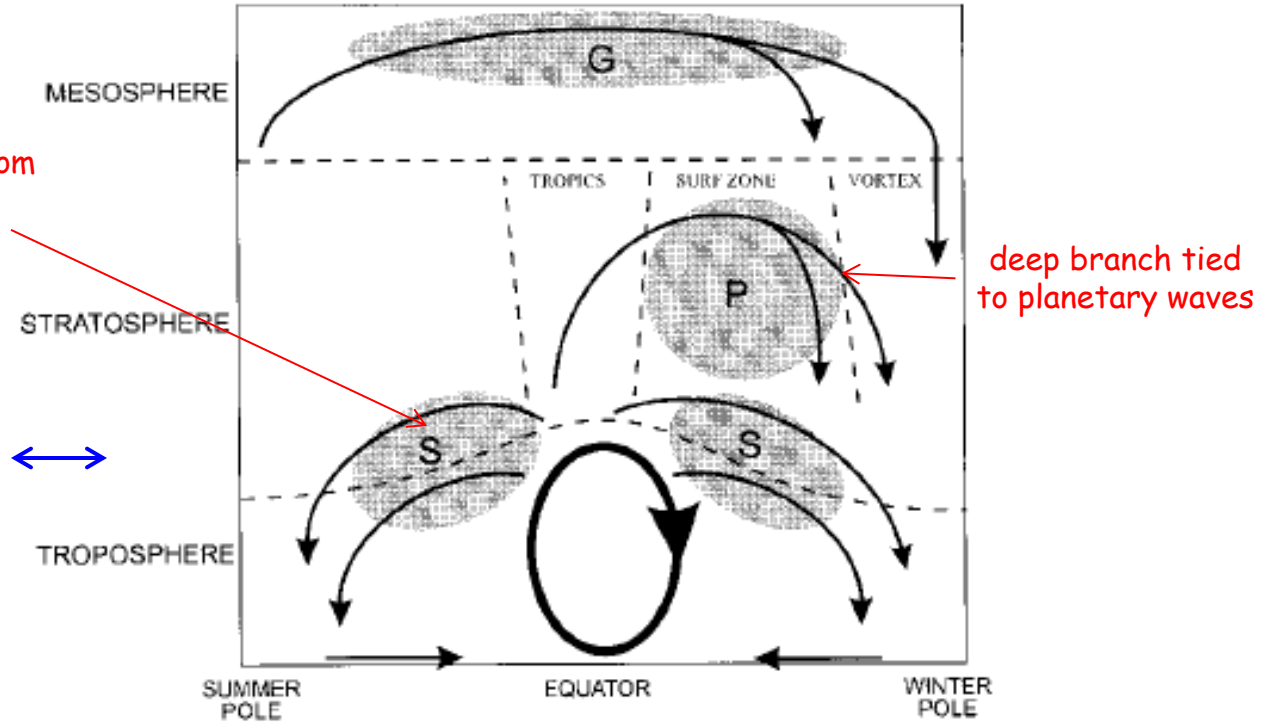
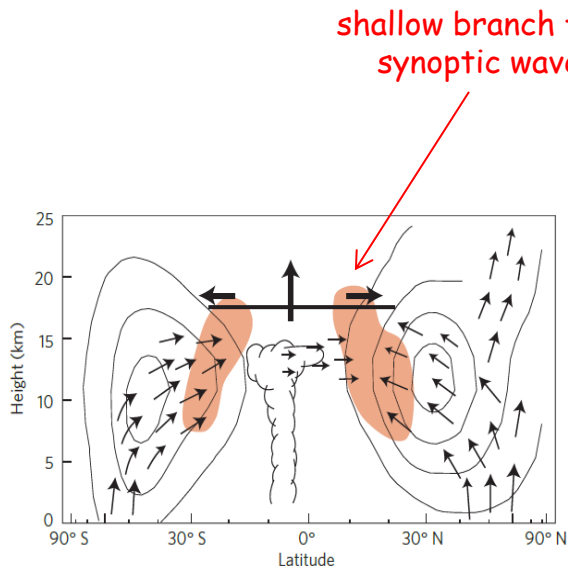
deep convection impacts  
from below

cirrus and  
climate impacts

# Dynamical forcing of tropical upwelling



# Deep and shallow branches of Brewer-Dobson circulation



Randel and Jensen, 2013,  
Nat. Geosci.

Plumb (2002); also Birner and Bonish, 2011

# Stratospheric H<sub>2</sub>O is controlled by tropical cold point temperatures

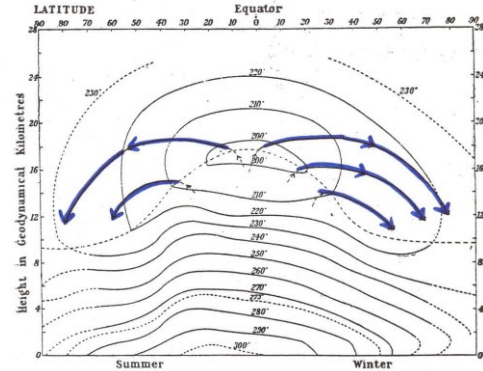
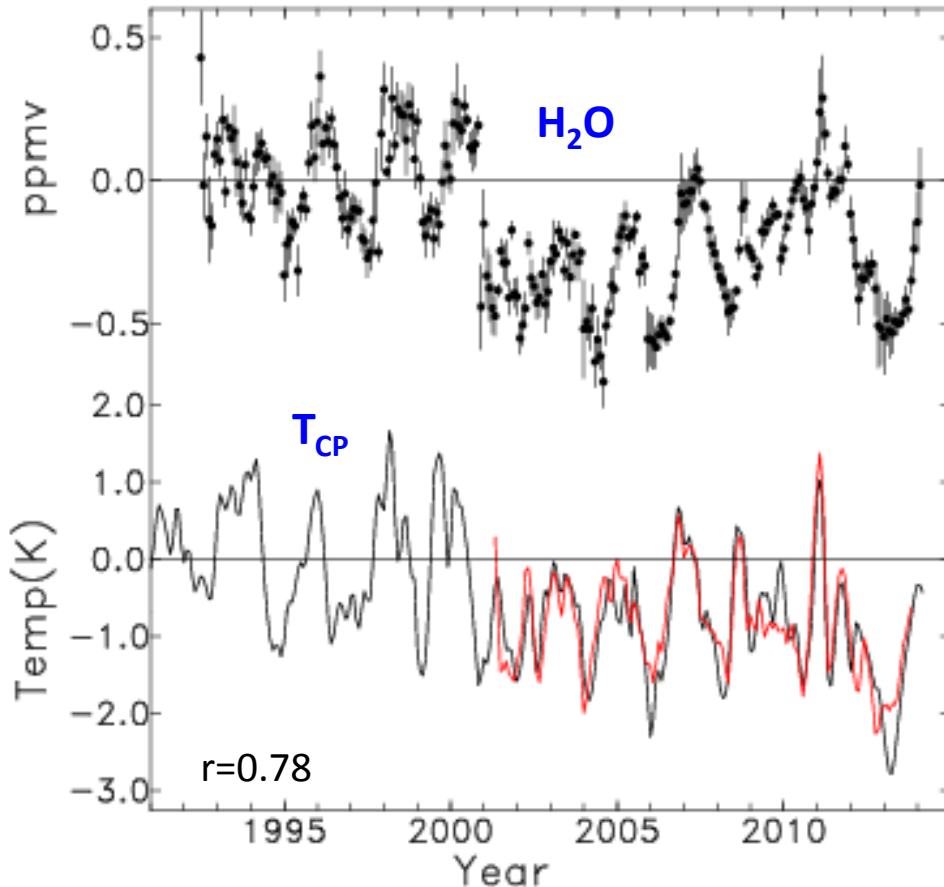


FIG. 5. A supply of dry air is maintained by a slow mean circulation from the equatorial tropopause.

## Interannual changes during 1992-2014



← near-global mean (60° N-S) water vapor at 82 hPa from combined HALOE-MLS data

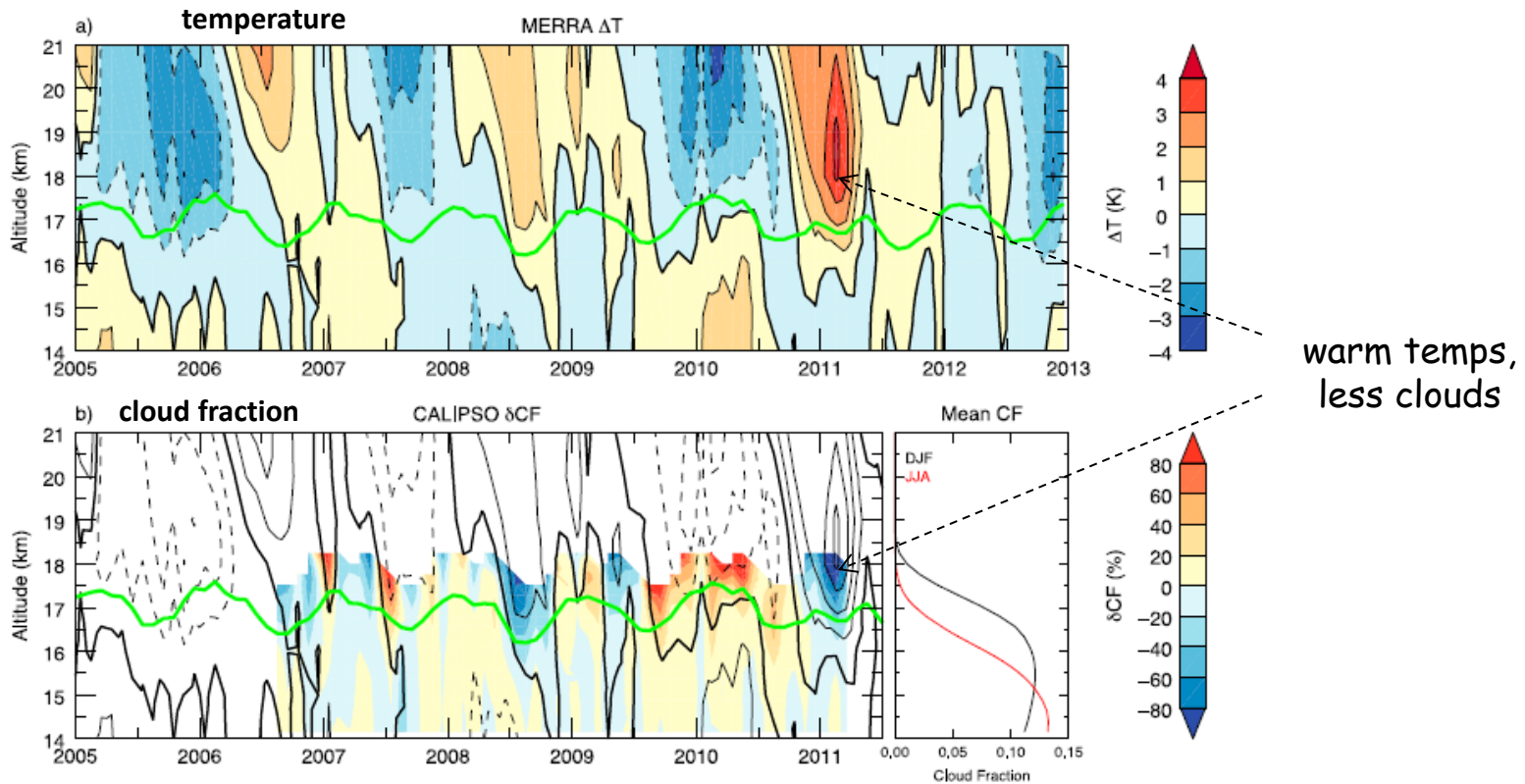
← cold-point tropical tropopause temperatures

black: radiosondes  
red: GPS (after 2001)

# Interannual variability of tropical tropopause layer clouds

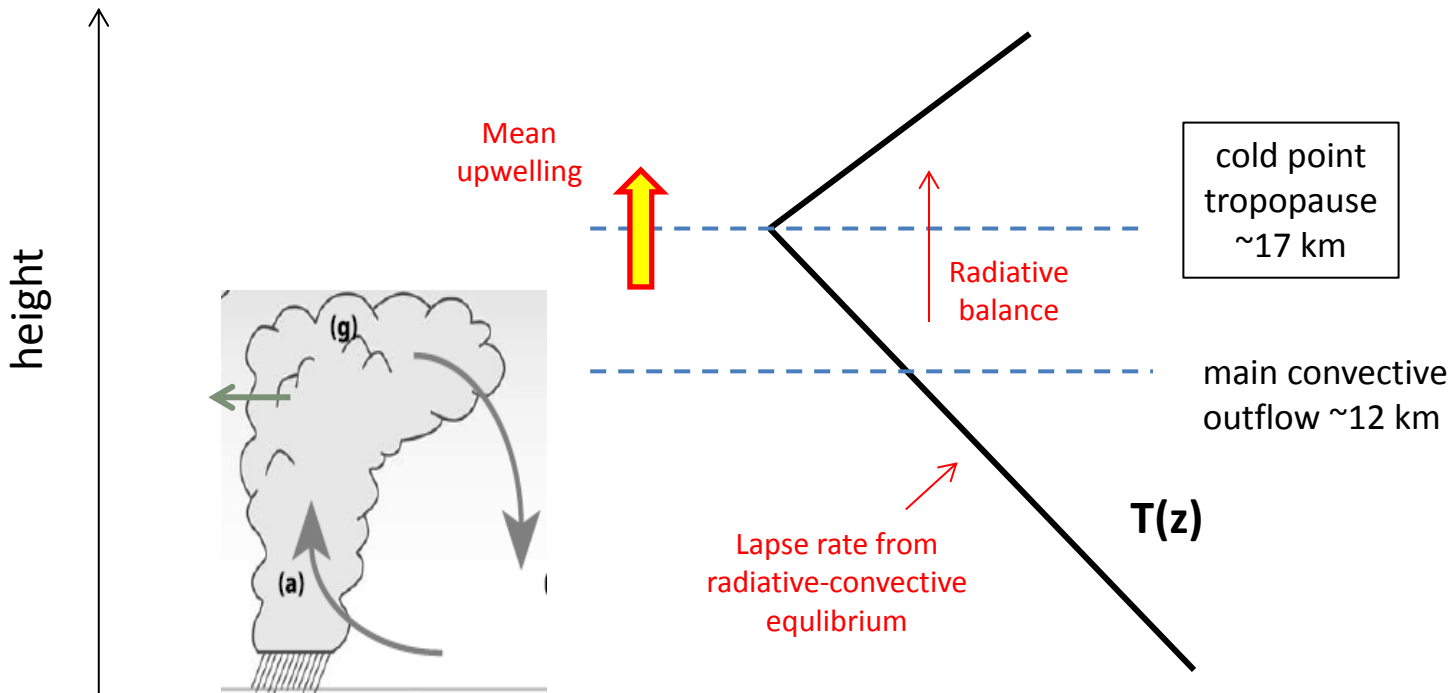
Sean M. Davis,<sup>1,2</sup> Calvin K. Liang,<sup>3</sup> and Karen H. Rosenlof<sup>1</sup>

GRL, 2013



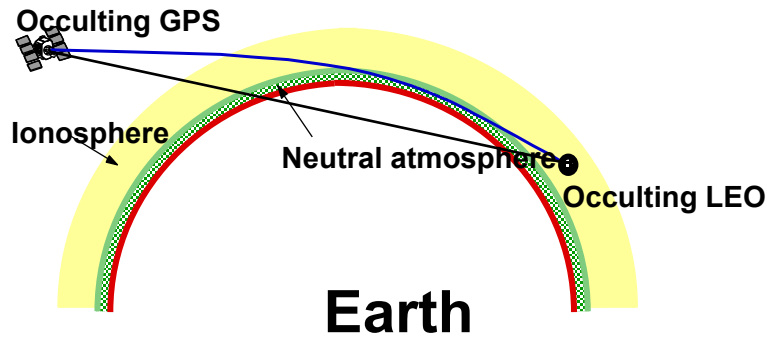
# What controls variability of the cold-point tropopause?

- Convection or tropospheric temperatures?
- Dynamically-forced upwelling?



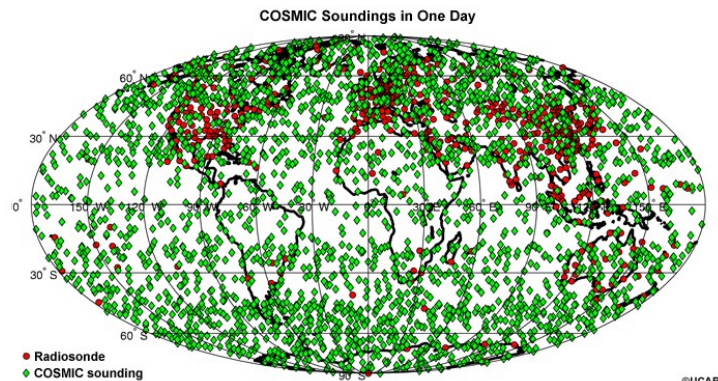
# GPS radio occultation

Basic measurement principle: Deduce atmospheric properties based on precise measurement of phase delay



## Utility of GPS Radio Occultation:

- Long-term stability
- All-weather operation
- High vertical resolution (< 1 km)
- High accuracy: Averaged profiles to < 0.1 K



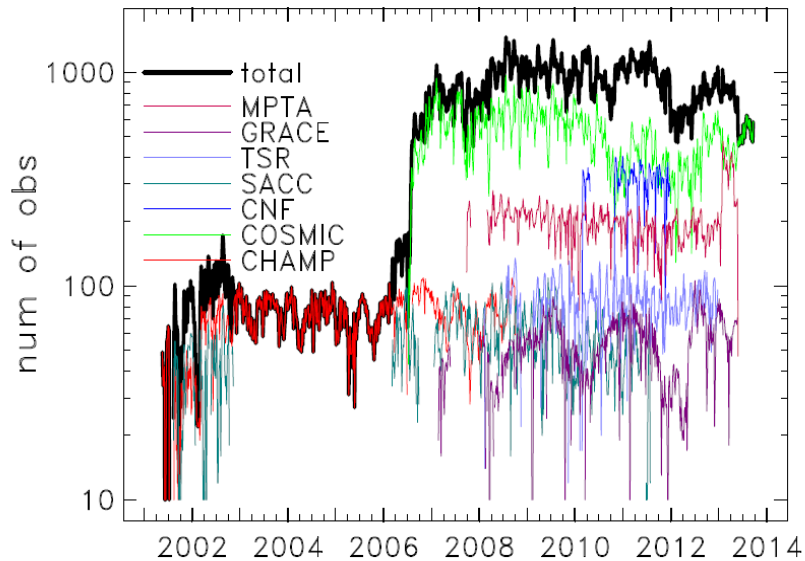


## Using GPS data to understand variability of tropical temperature:

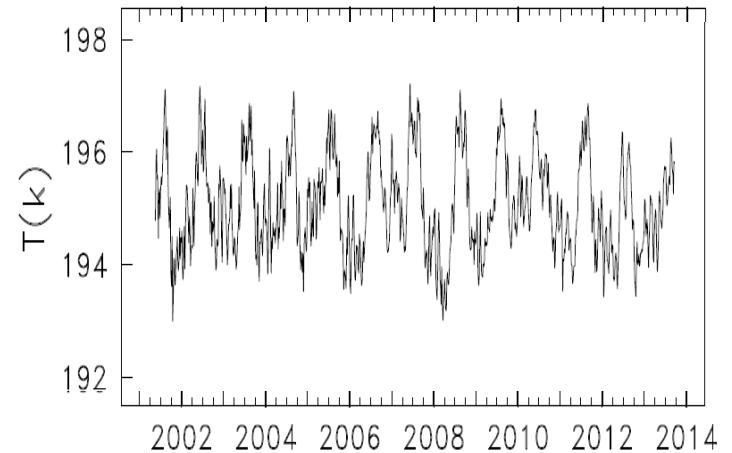
- Construct a global, zonal average data set from GPS observations
- 5-day (pentad) averages for 2001-2013 (over 12 complete years)

total > 6,200,000 occultations

### Number of obs / pentad for 10° N-S



### Example: 16 km, 10° N-S



Choose to analyze zonal averages because they are governed by a relatively simple equation:

TEM  
thermodynamic  
balance

$$\frac{\partial \bar{T}}{\partial t} = -\cancel{\bar{v}^* \frac{1}{a} \frac{\partial \bar{T}}{\partial \phi}} - \bar{w}^* S + \bar{Q} - e^{z/H} \left[ \cancel{e^{-z/H} \left( \bar{v}' T' \frac{\bar{T}_y}{S} + \bar{w}' T' \right)} \right]_z .$$



$$\frac{\partial \bar{T}}{\partial t} = -\bar{w}^* S + \bar{Q}$$

approximate  
balance in tropics

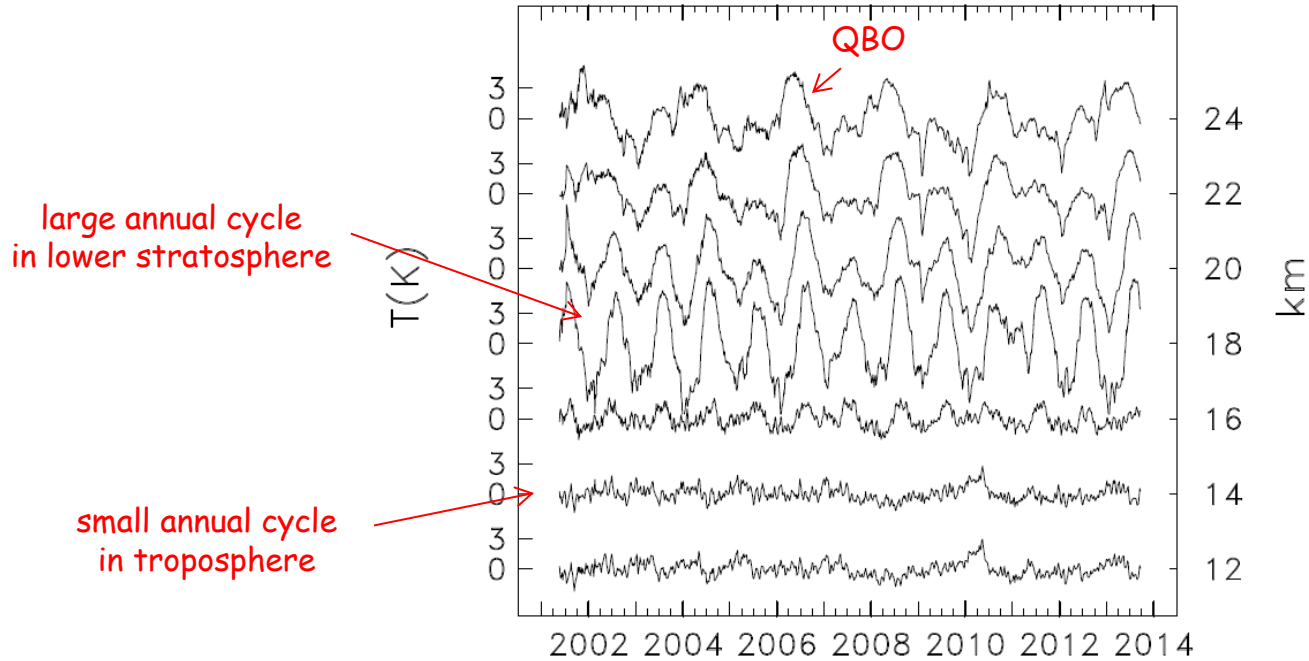


$$\frac{\partial \bar{T}}{\partial t} + \bar{w}^* S = -\alpha(\bar{T} - \bar{T}_e)$$

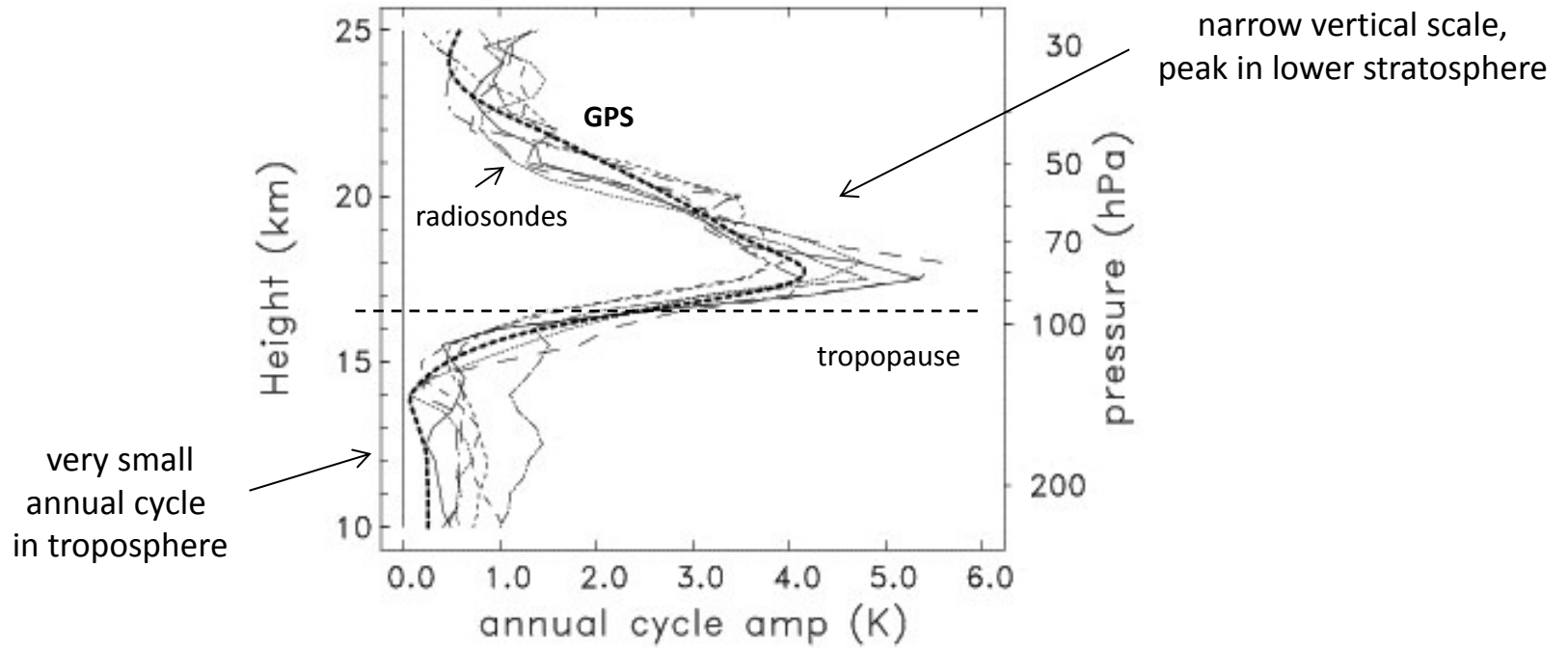
linear damping  
approximation  
(in stratosphere)

# Tropical variability for 10° N-S

Zonal mean temperature



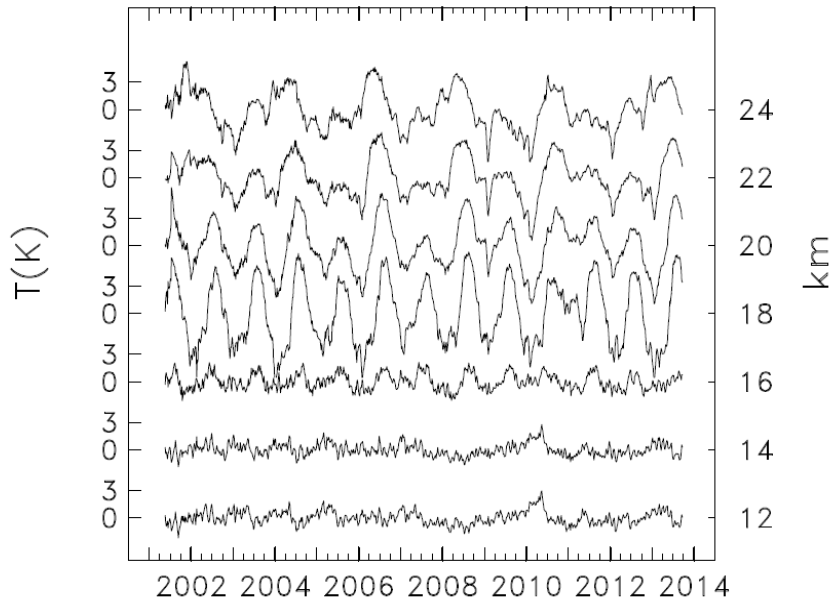
## Amplitude of the tropical annual cycle in temperature



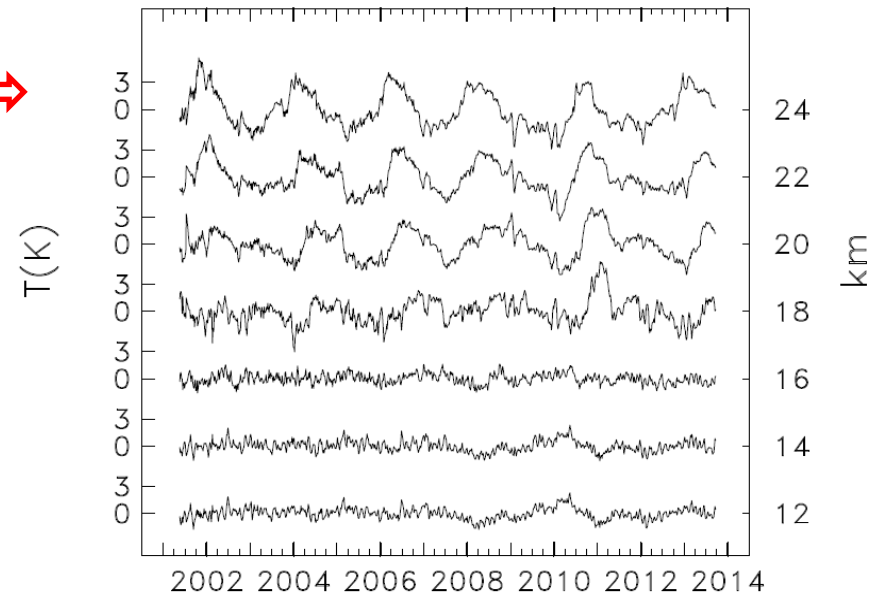
'raw' time series

remove seasonal cycle

Zonal mean temperature

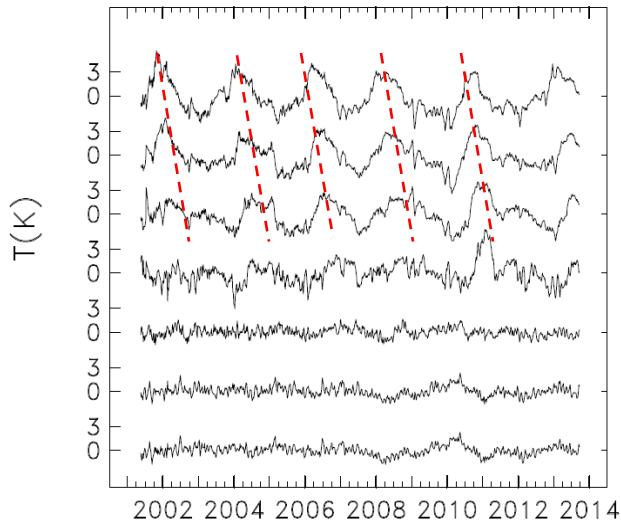


Deseasonalized anomalies

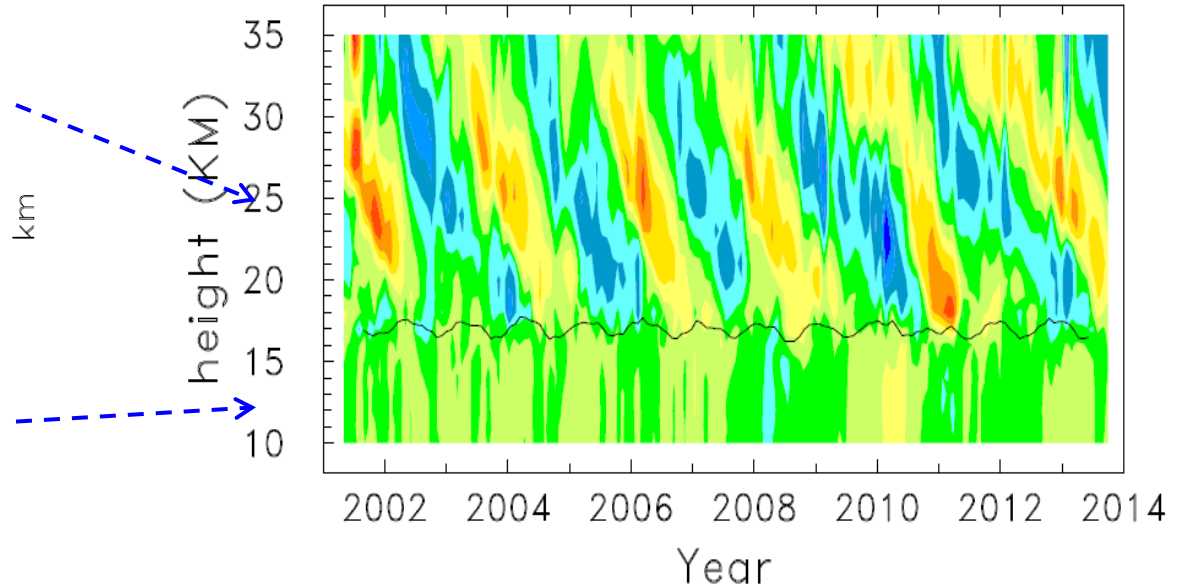


# QBO is the large interannual signal in the stratosphere

Deseasonalized anomalies



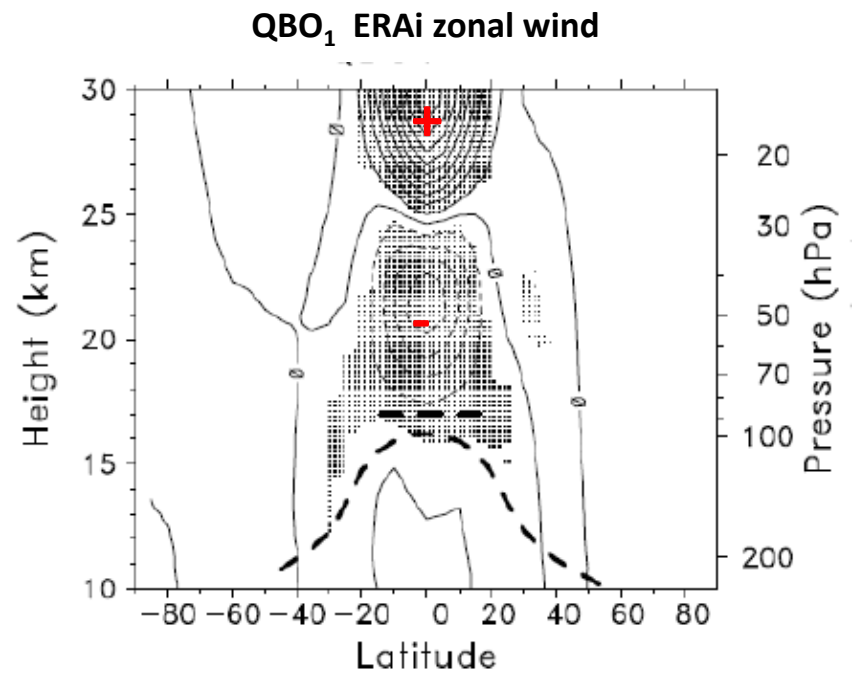
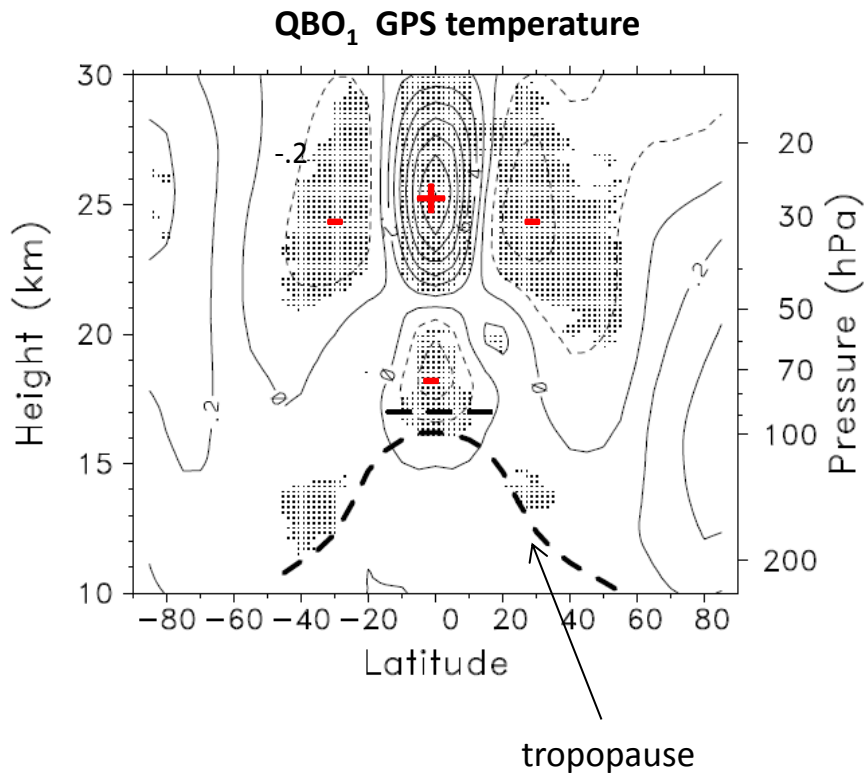
Temp anomalies 10° N-S



# Regression fits of QBO and ENSO 2001-2013

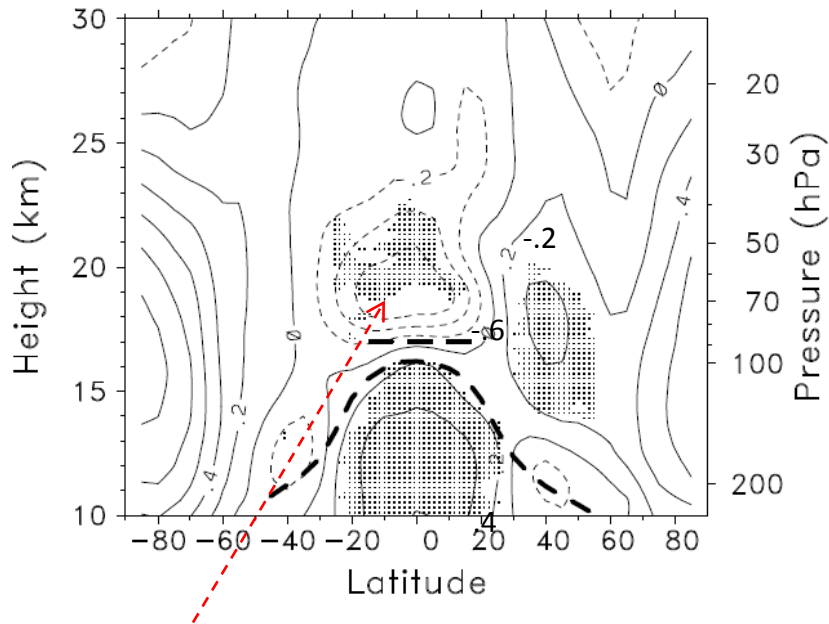
$$T = a * \text{ENSO} + b_1 * \text{QBO}_1 + b_2 * \text{QBO}_2$$

proxy time series



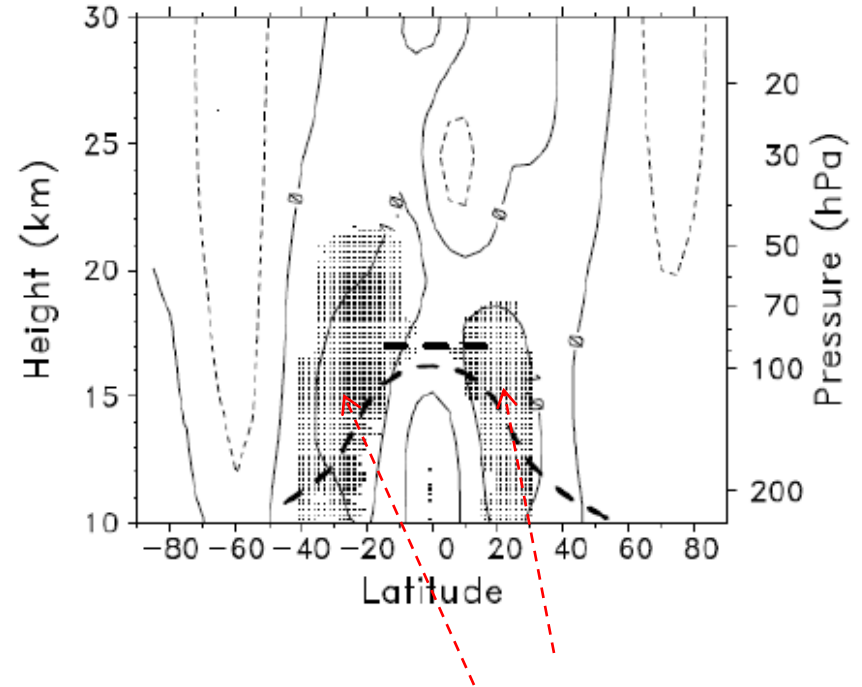
# ENSO fits

ENSO GPS temperature



stratosphere cooling  
tied to enhanced upwelling  
e.g. Calvo et al 2010

ENSO ERAi zonal wind



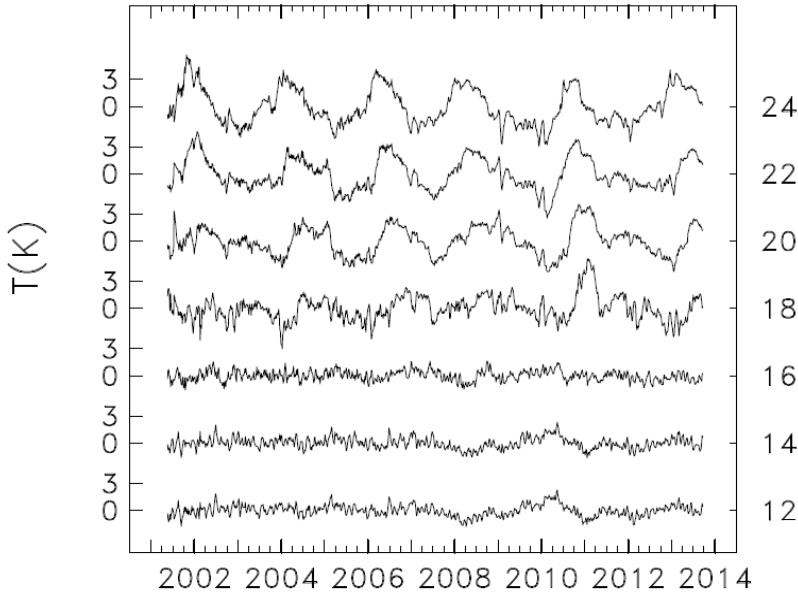
wind maxima in subtropics,  
extending into lower stratosphere



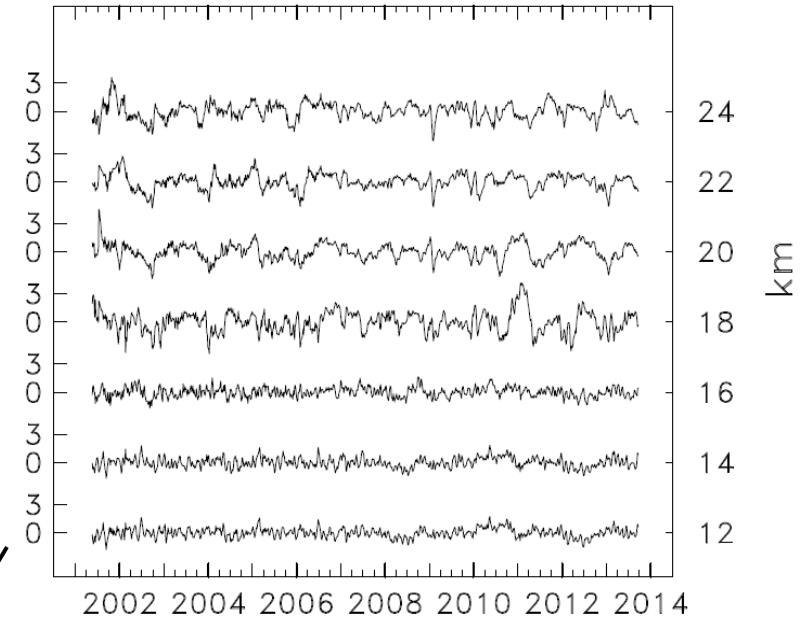
deseasonalized

remove QBO and ENSO  
(‘residual’ variability)

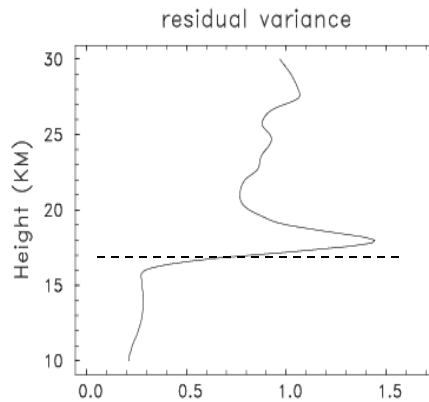
Deseasonalized anomalies



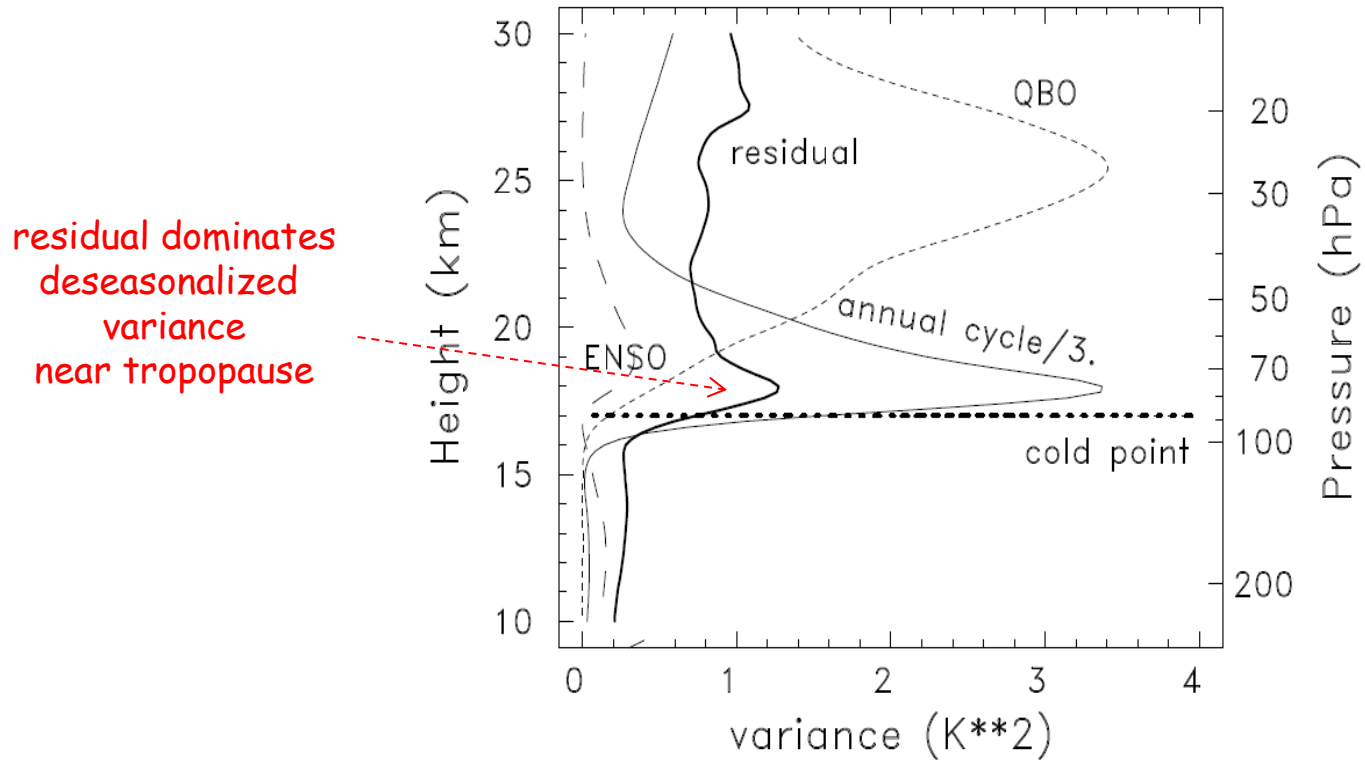
Residuals



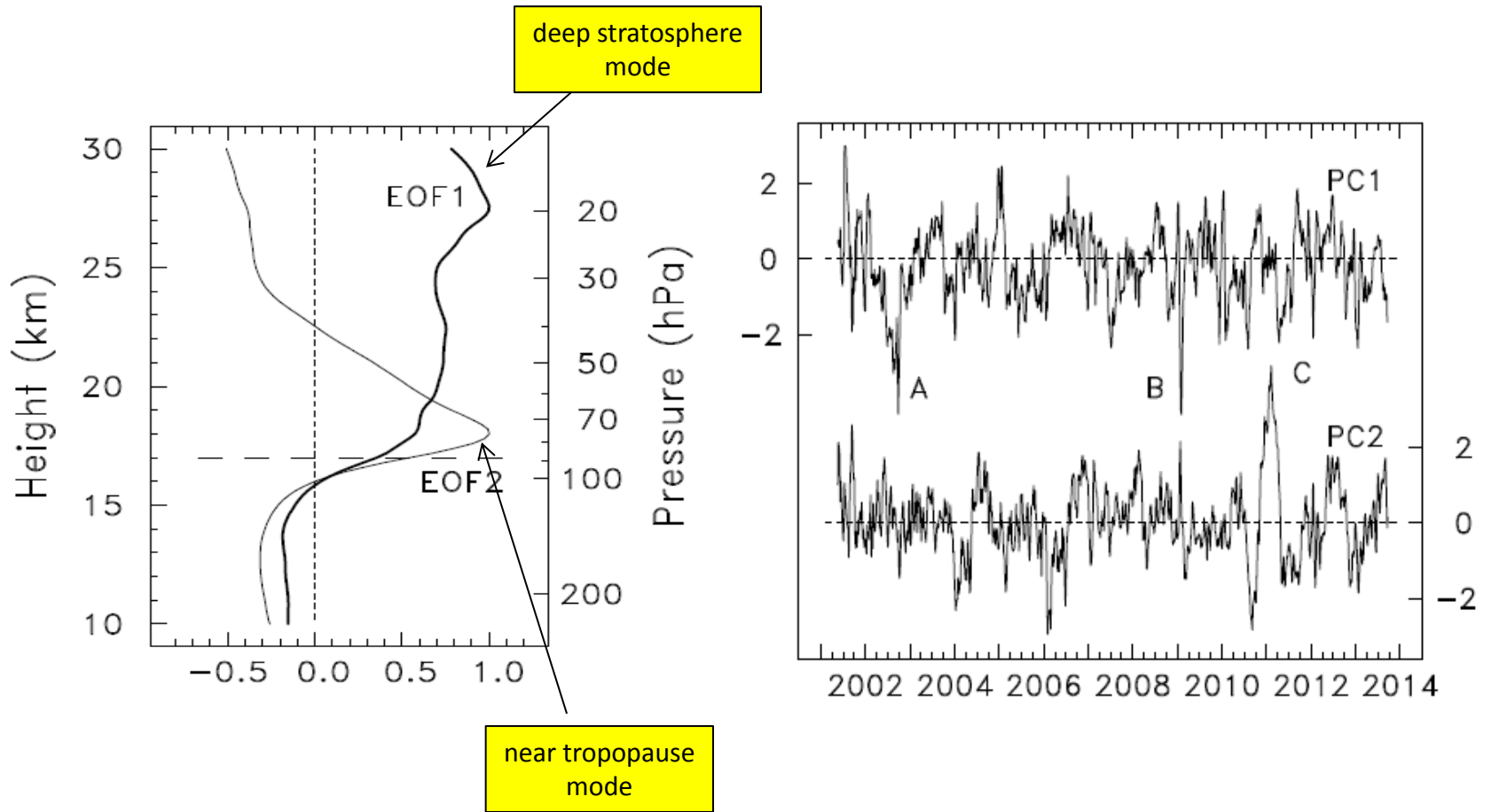
Randel and Wu,  
2014, J. Atmos. Sci.



## Components of zonal mean temperature variance

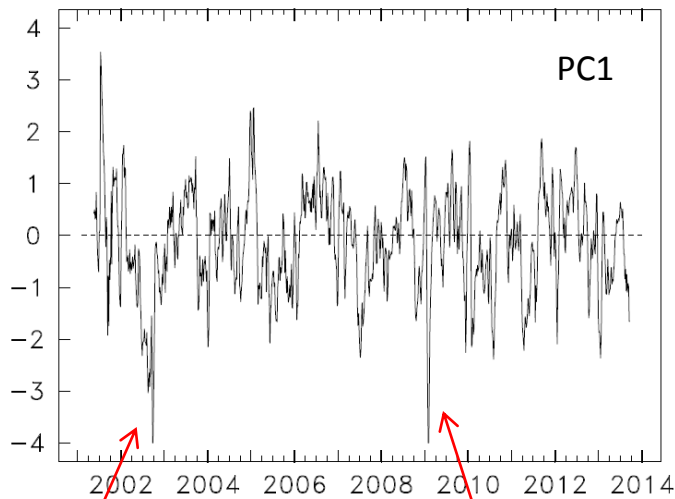


# EOF analysis of residuals



# Tropical cooling linked to stratospheric sudden warmings (SSW)

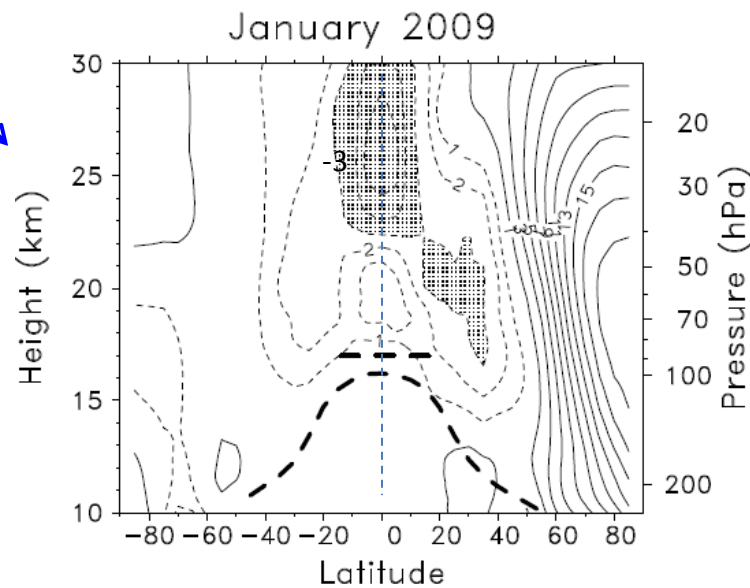
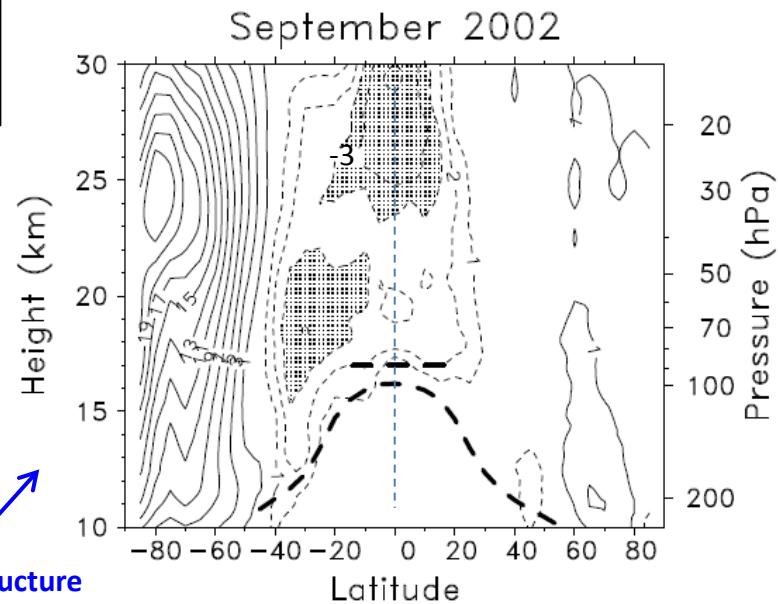
EOF1: deep stratosphere mode



SH warming  
Sept 2002

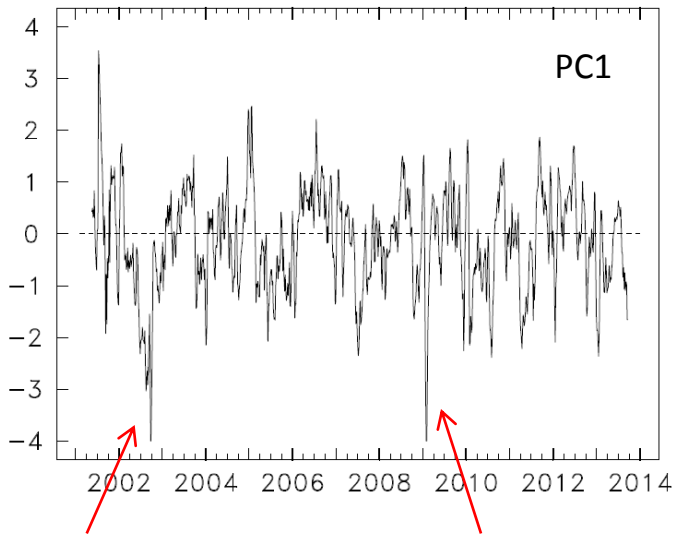
NH warming  
Jan 2009

spatial structure  
of temp anomalies



# Tropical cooling linked to stratospheric sudden warmings (SSW)

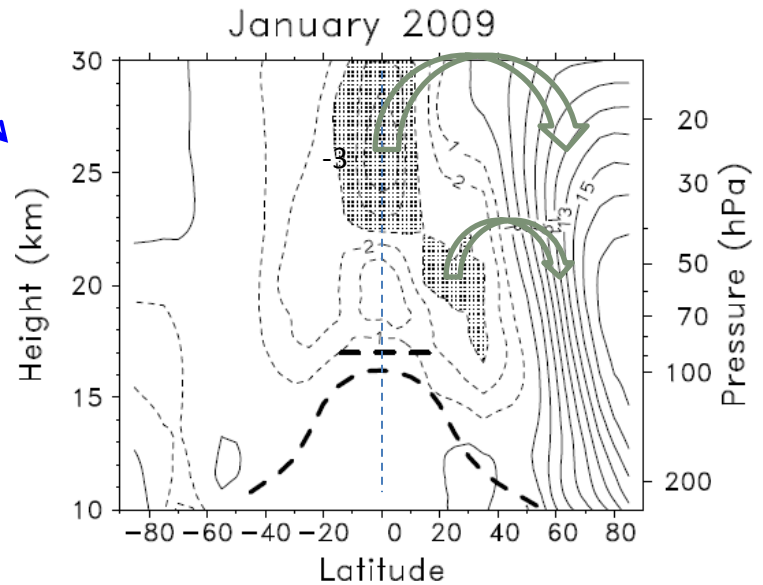
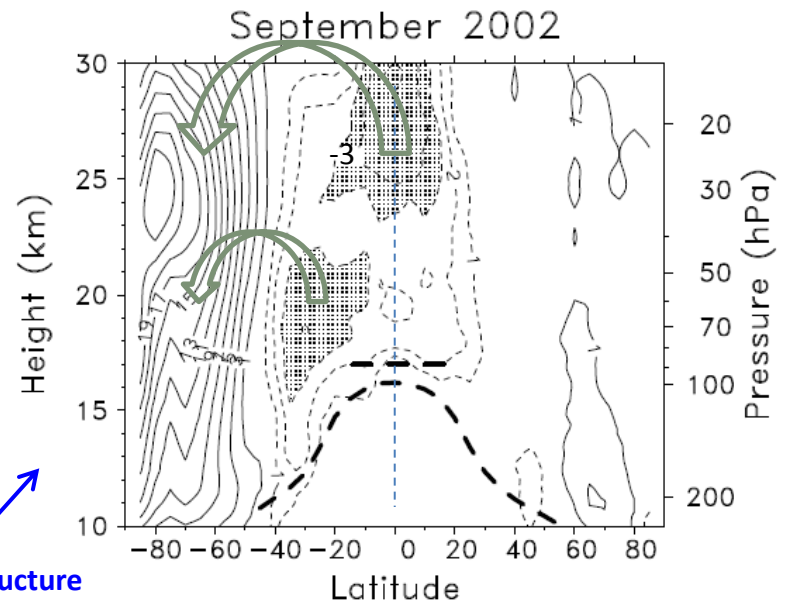
EOF1: deep stratosphere mode



SH warming  
Sept 2002

NH warming  
Jan 2009

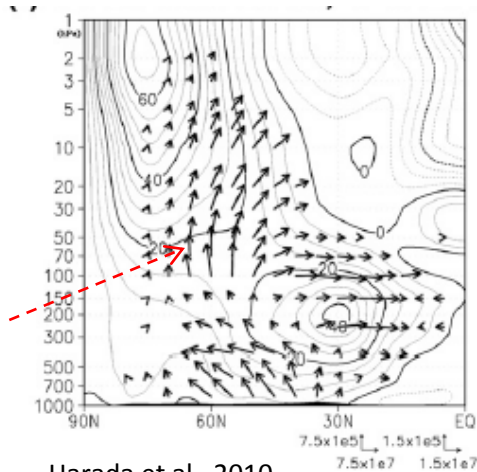
spatial structure  
of temp anomalies



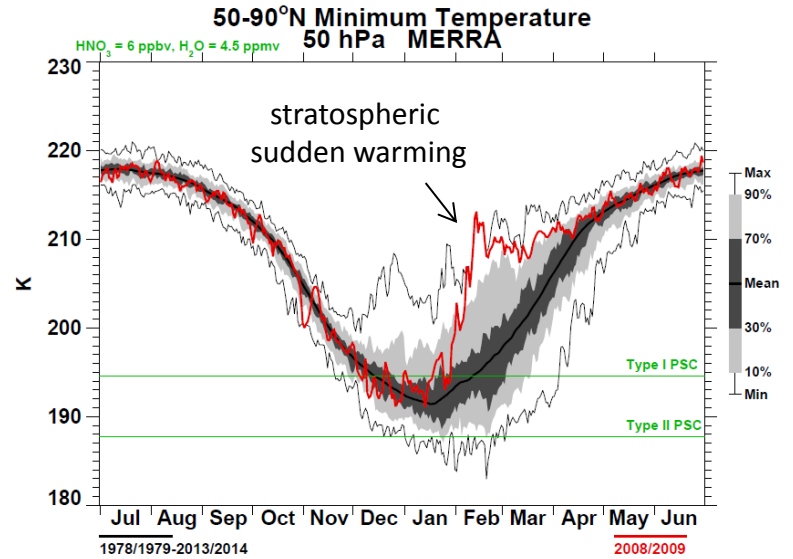
# Large stratospheric sudden warming in January 2009

## Polar stratosphere temperature

high latitude  
planetary wave  
forcing

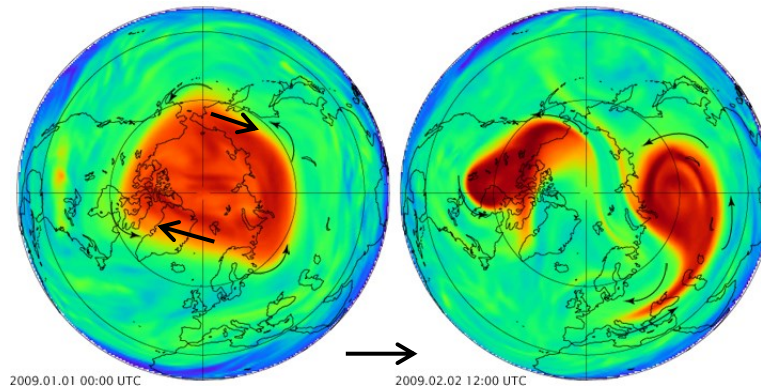


Harada et al , 2010



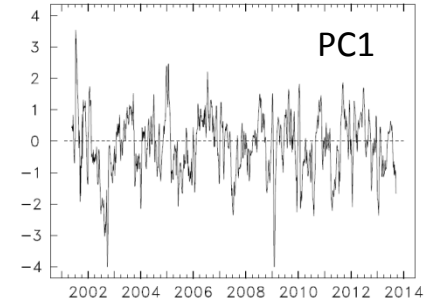
polar vortex  
near 30 km

potential vorticity



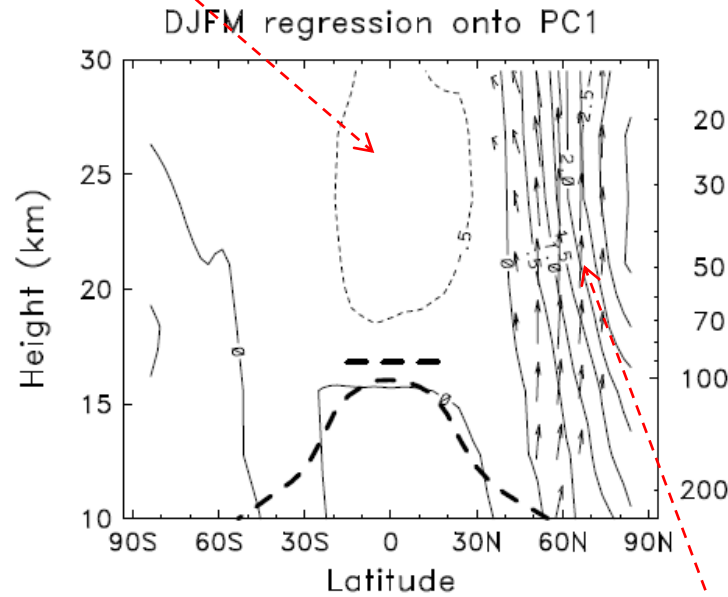
split of polar vortex

# Regression of global temperatures and EP flux onto PC1

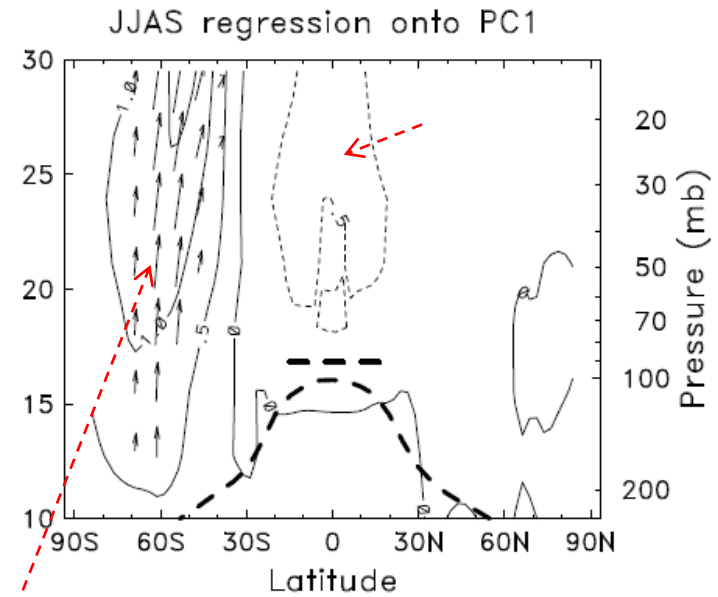


cooling in  
tropics

**NH winter**



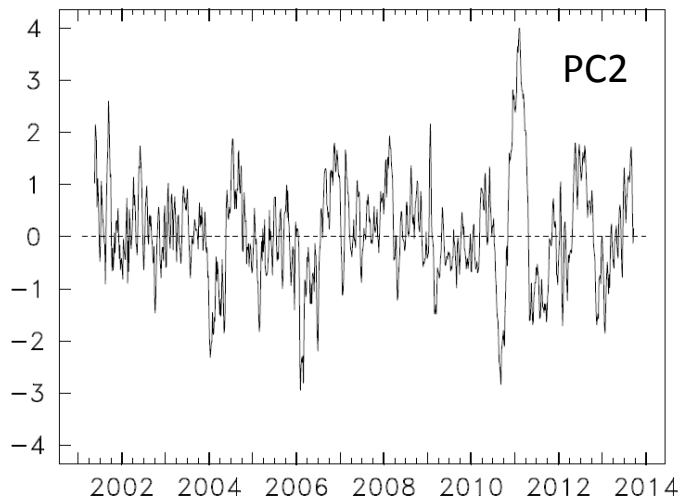
**SH winter**



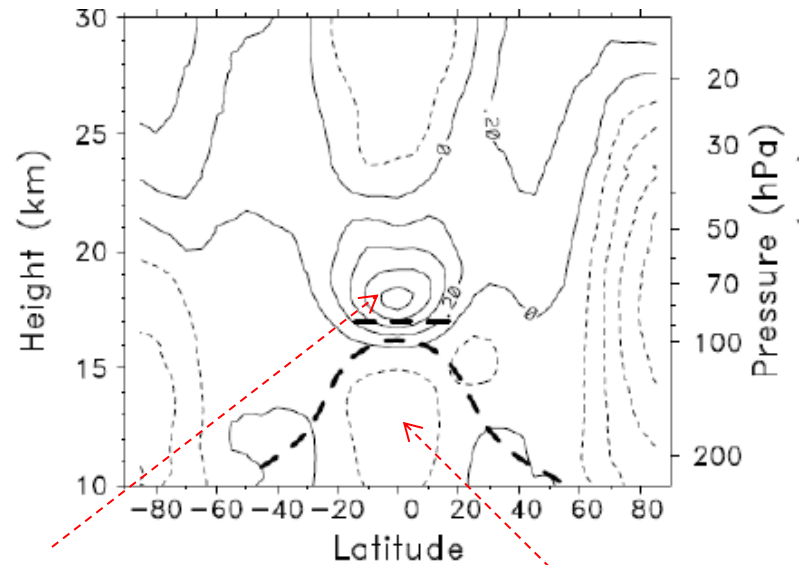
high latitude wave fluxes  
and polar warming

# Near-tropopause signal

EOF2: near-tropopause mode



temperature regression onto PC2

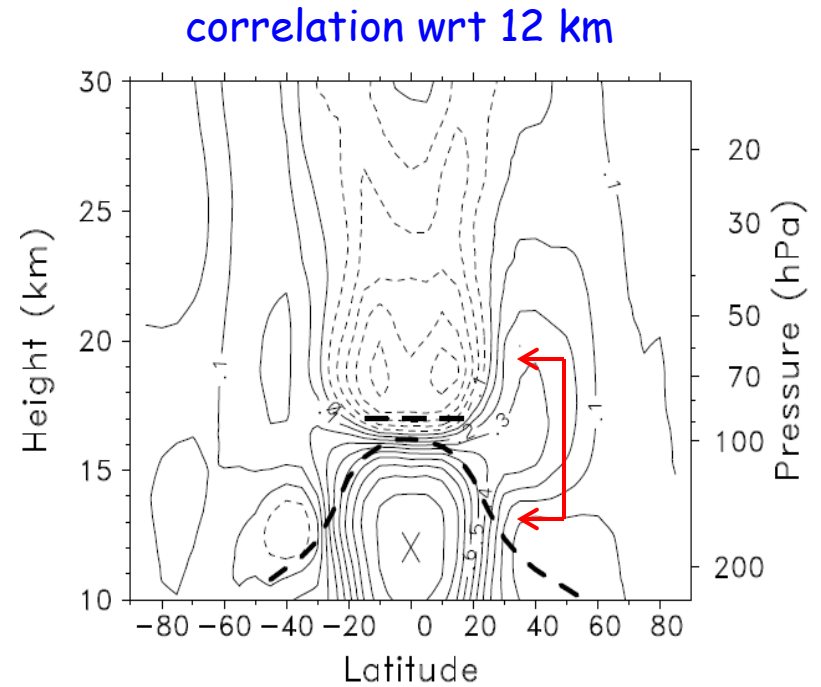
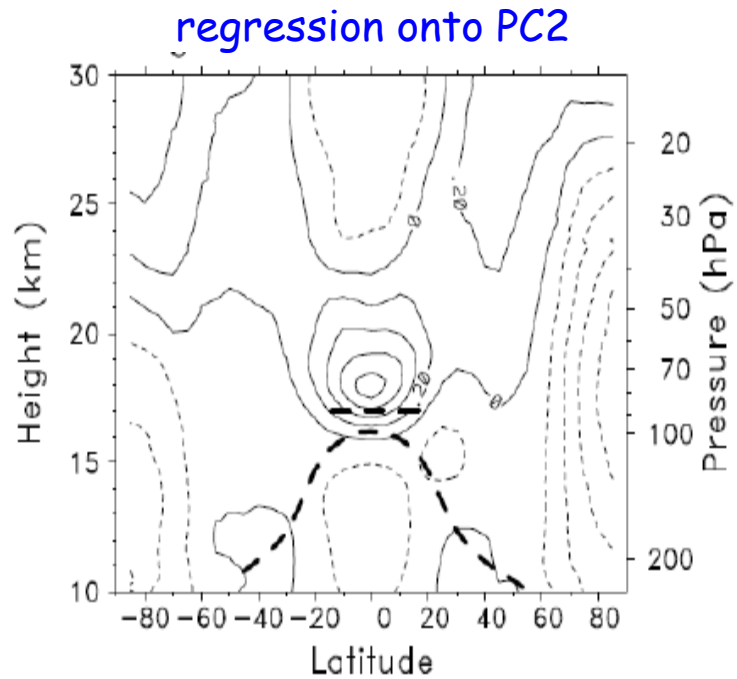


maximum in  
tropical lower  
stratosphere

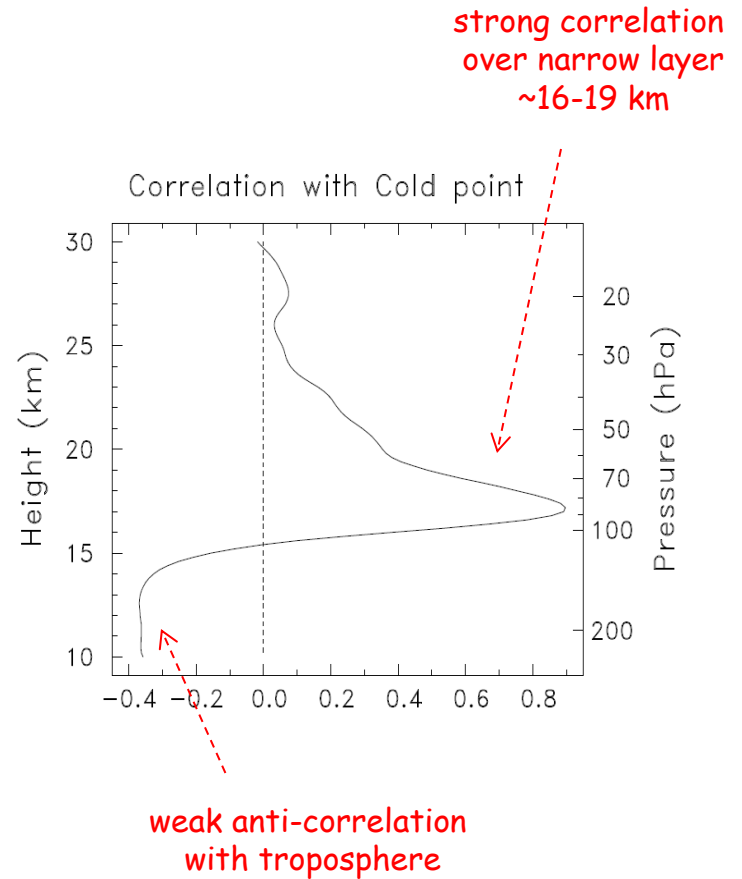
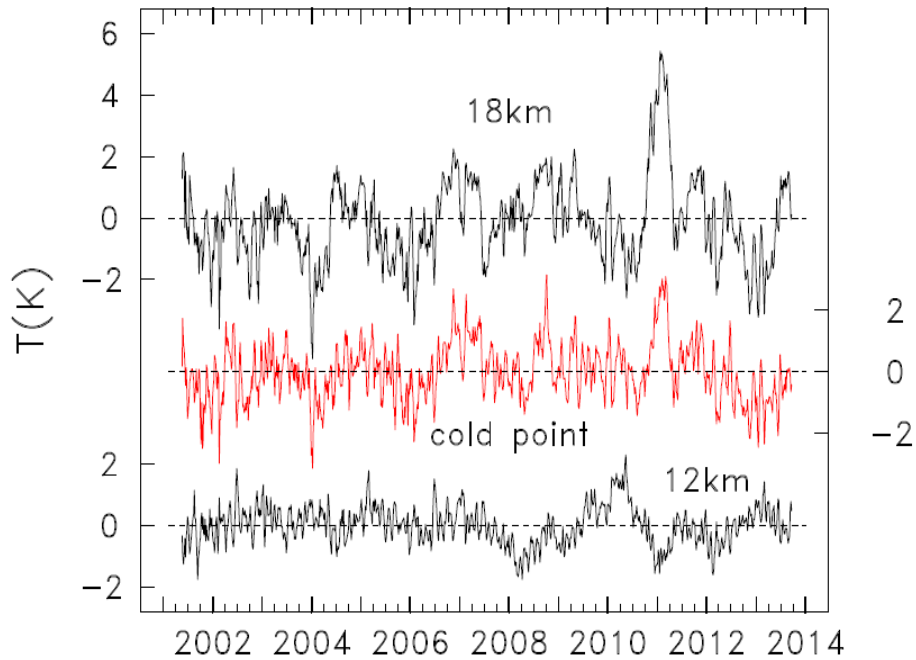
anti-correlation  
with tropical troposphere



## Near-tropopause signal: correlation maps

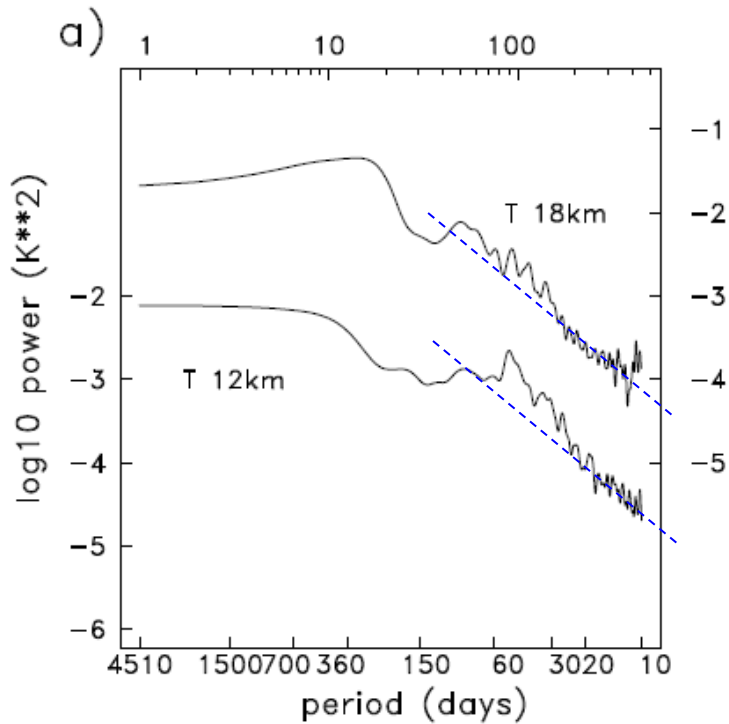


# time series of tropical temperature anomalies

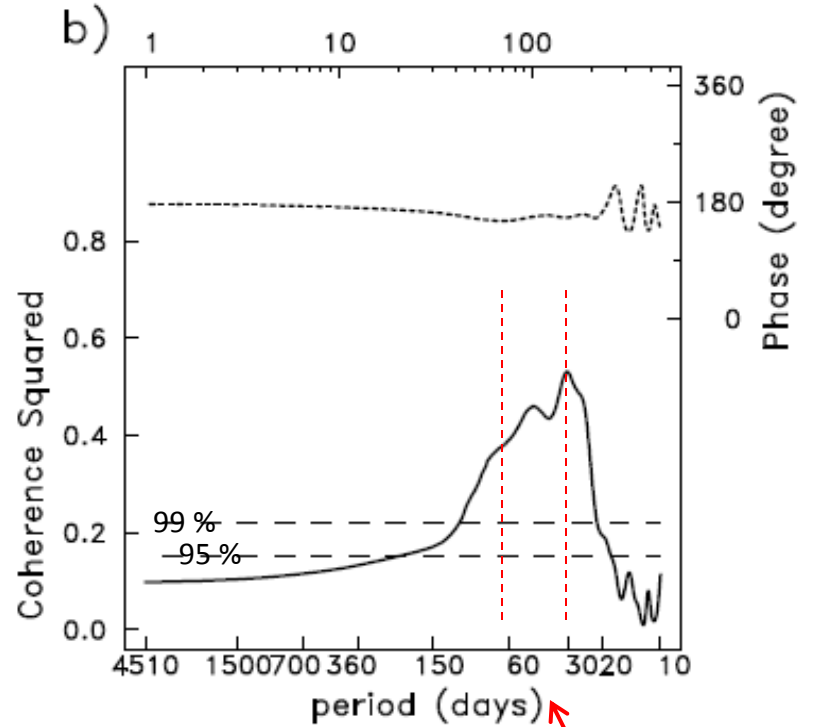


# Spectrum analysis

Power spectra for zonal mean T



coherence between 12 - 18 km



30-60 days: MJO

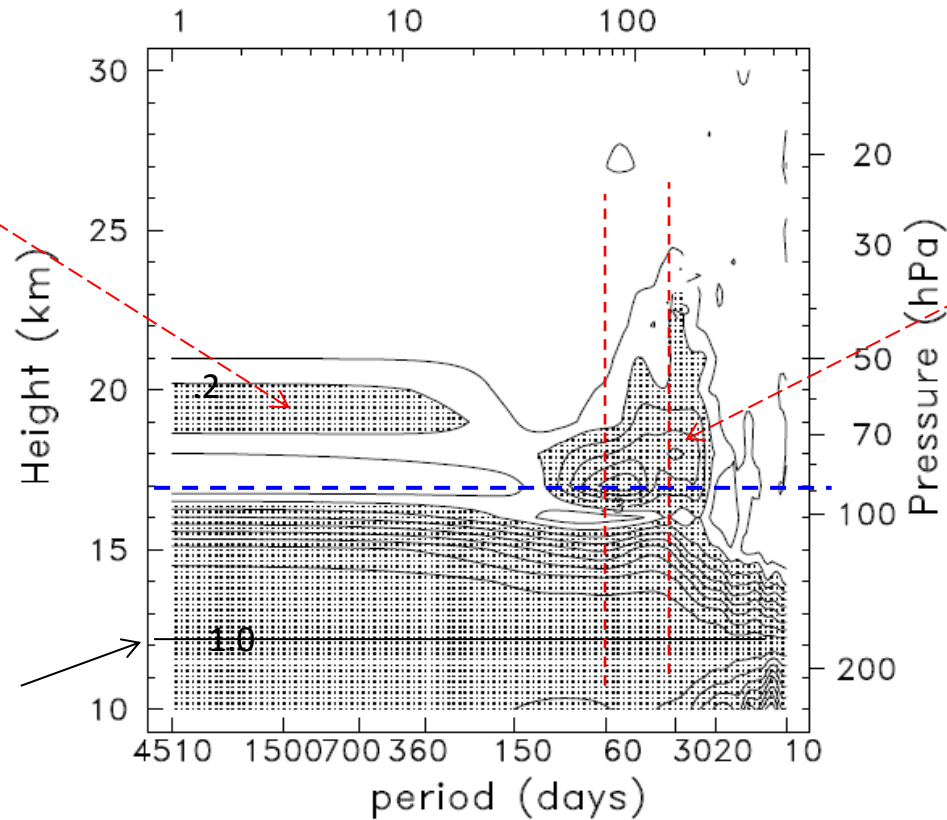
Zonal mean MJO signal: Virts and Wallace, 2014

# tropical coh<sup>2</sup> with respect to 12 km

shaded regions  
~99% significant

low frequency  
maximum in  
lower stratosphere  
(similar to ENSO)

reference  
at 12 km

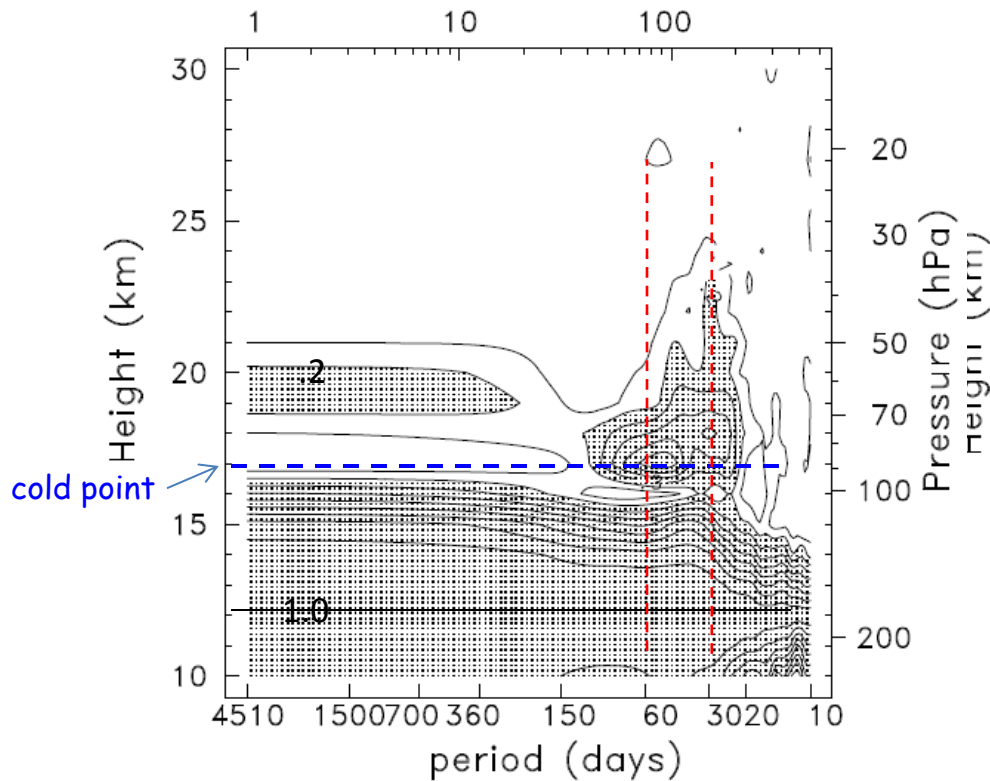


maximum near  
cold point

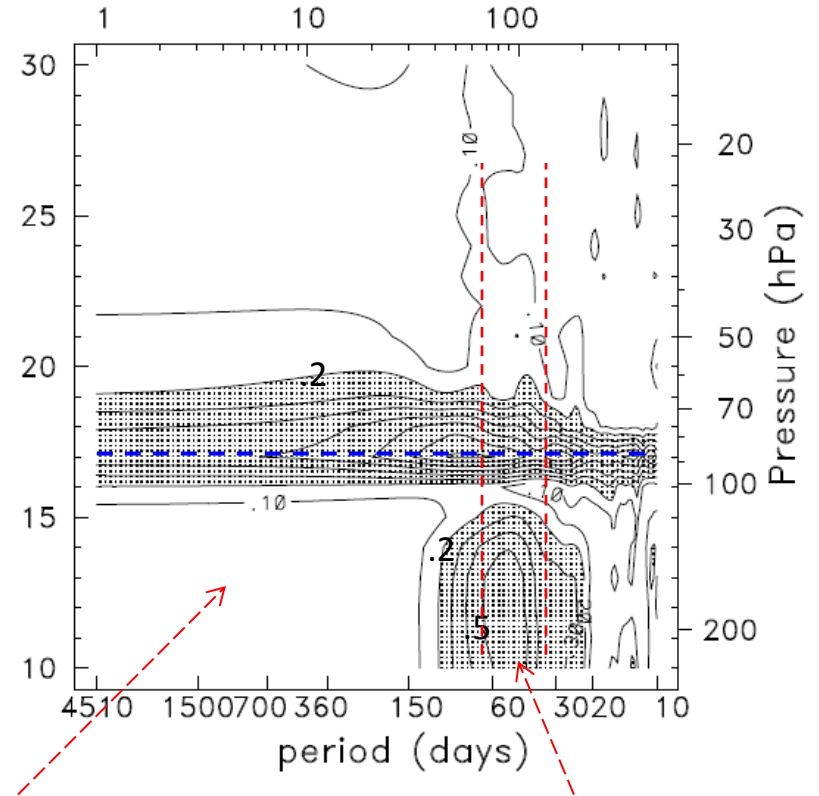
cold point  
tropopause

30-60 days: MJO

coh<sup>2</sup> with respect to 12 km



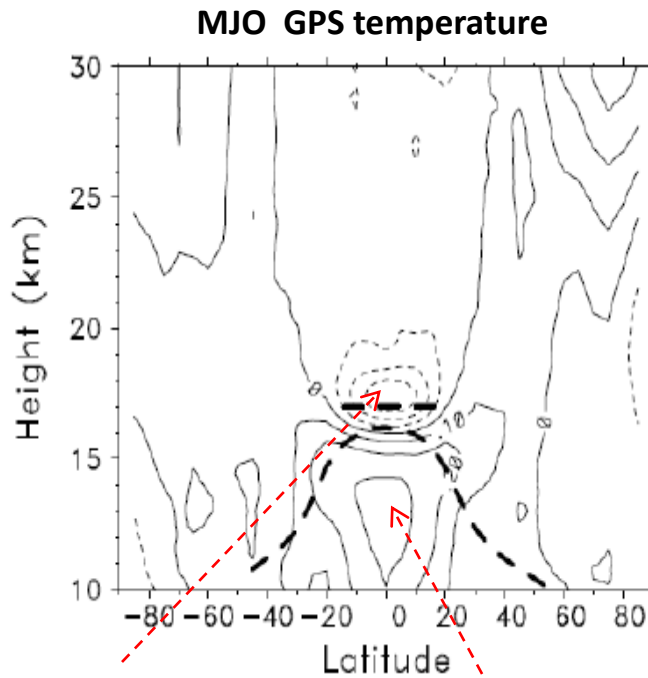
coh<sup>2</sup> with respect to the cold point



small coherence for  
seasonal to interannual  
variations

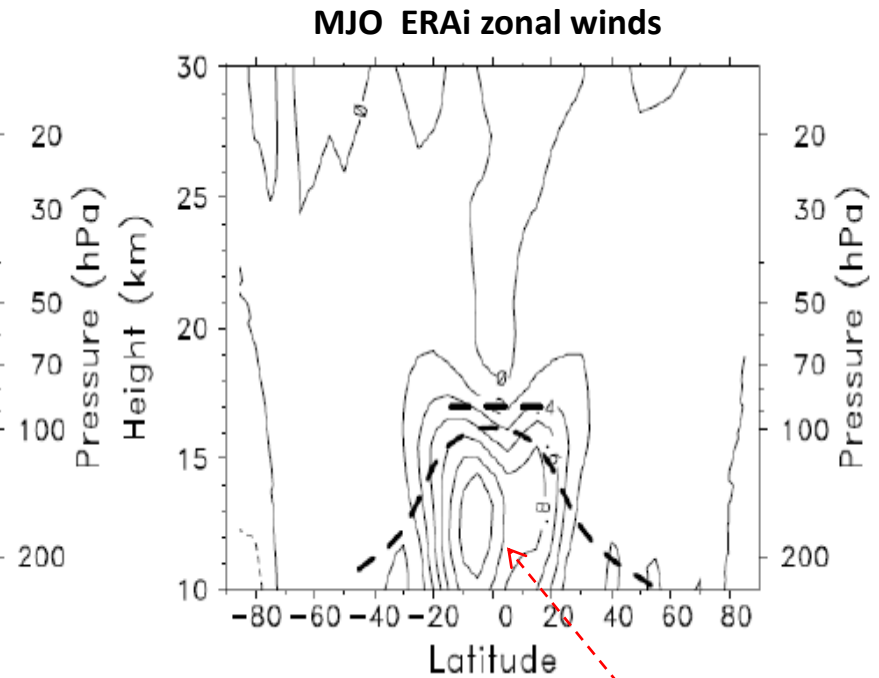
coherence with troposphere  
for MJO time scales

## Structure of zonal mean MJO (filtered 25-80 days bandpass)



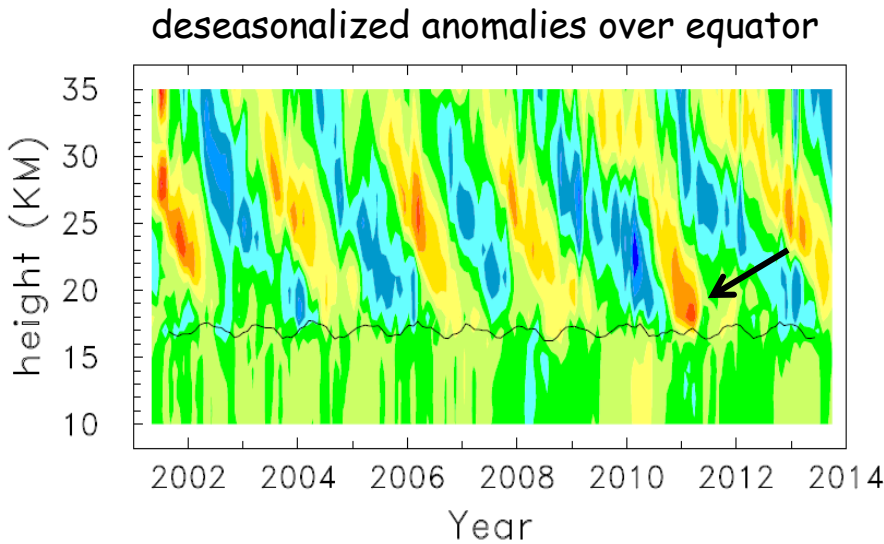
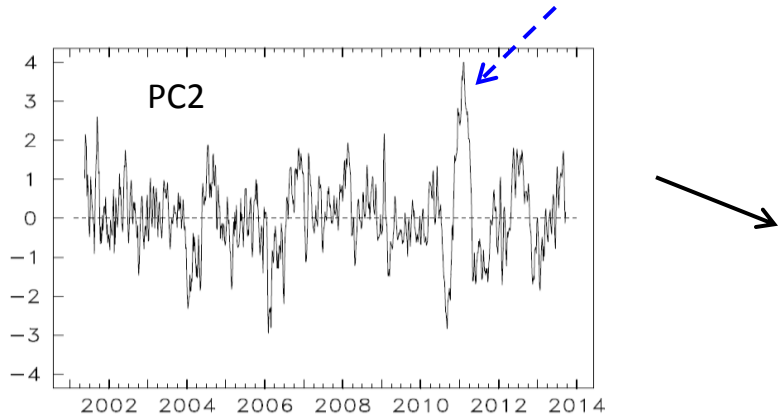
cooling near tropopause

warm troposphere

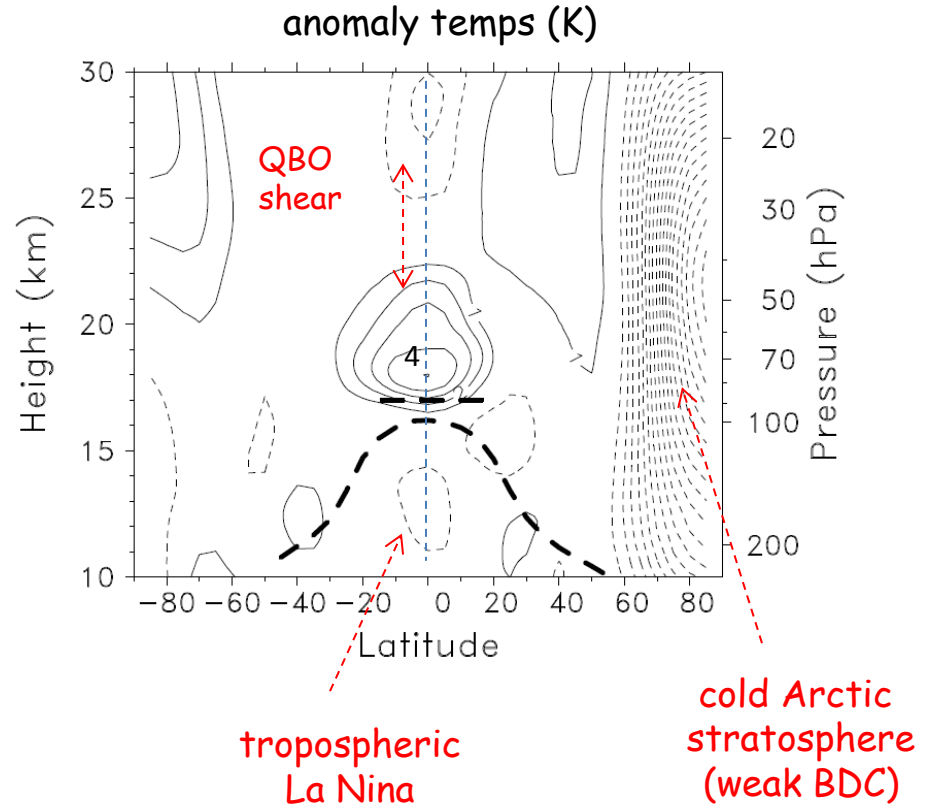


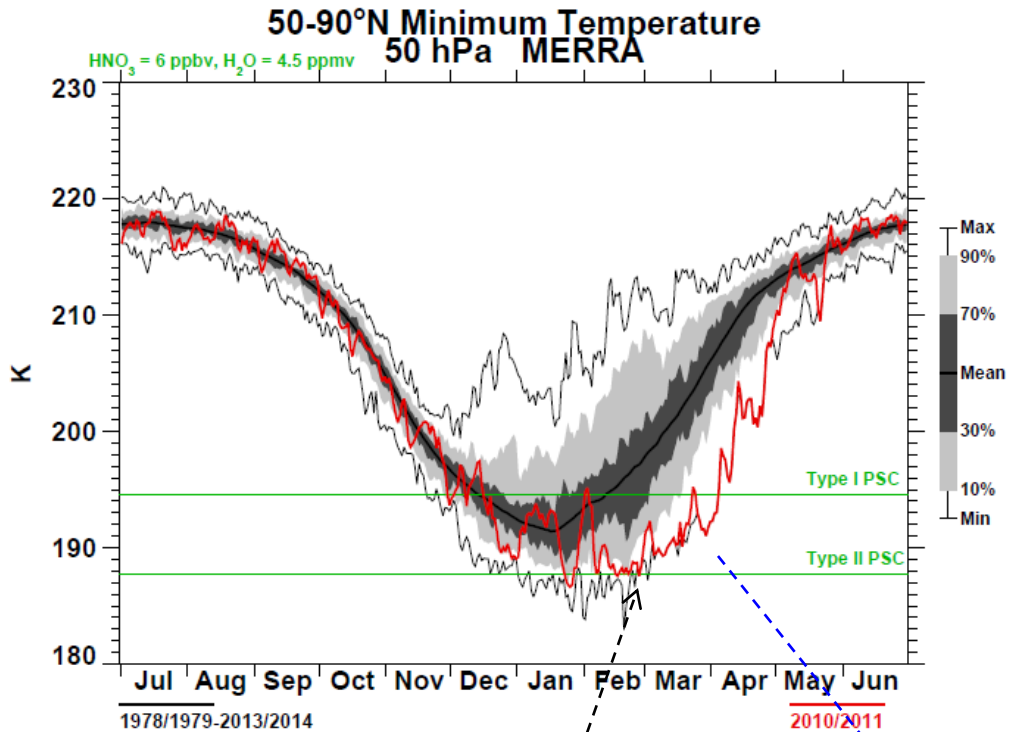
zonal winds confined to tropical troposphere

# Extreme near-tropopause event



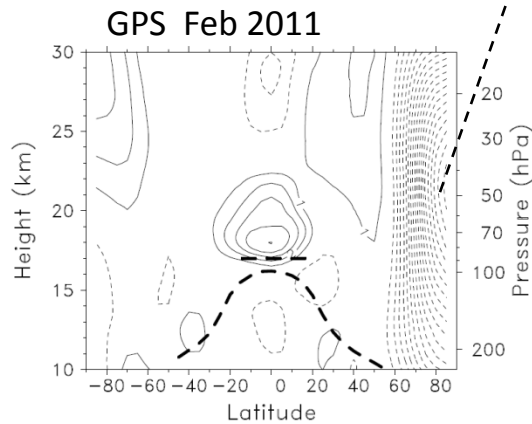
3 factors contributing to anomalous tropical temps:



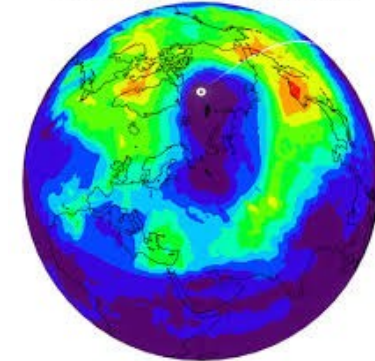


coldest Arctic  
spring in  
observational  
record  
(1979-2014)

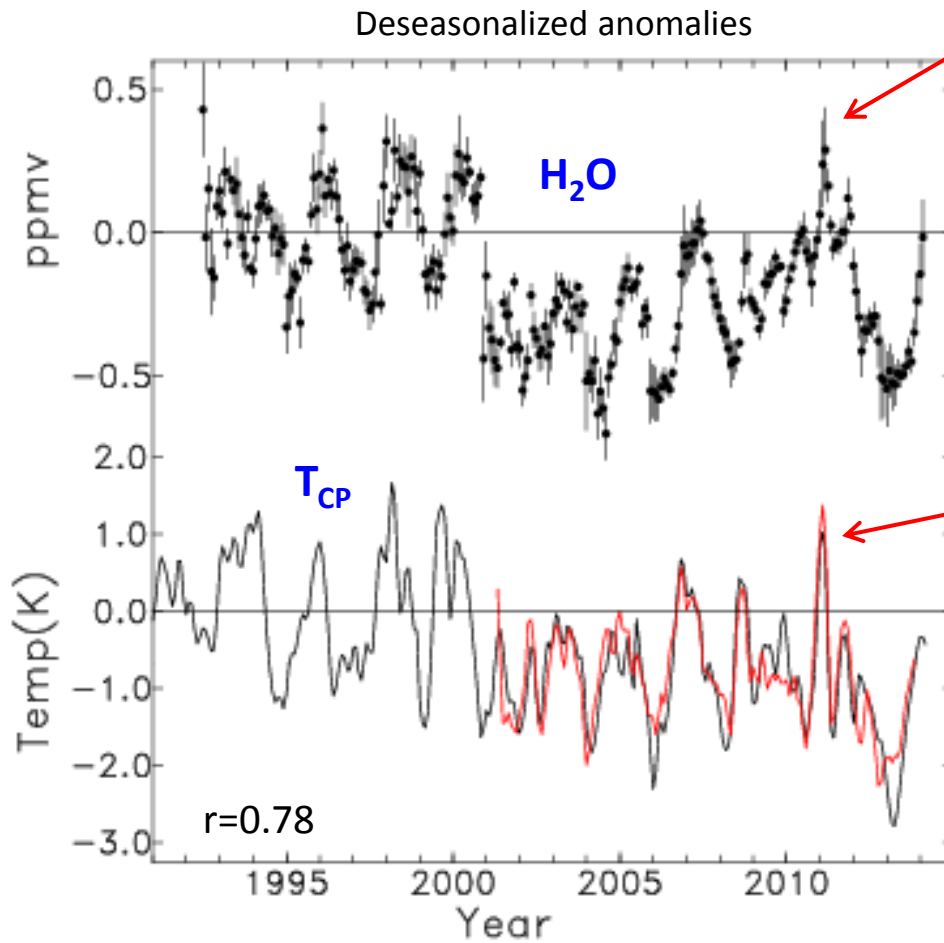
Arctic 'ozone hole'  
in spring 2011



OMI total ozone on 3-4 April 2011







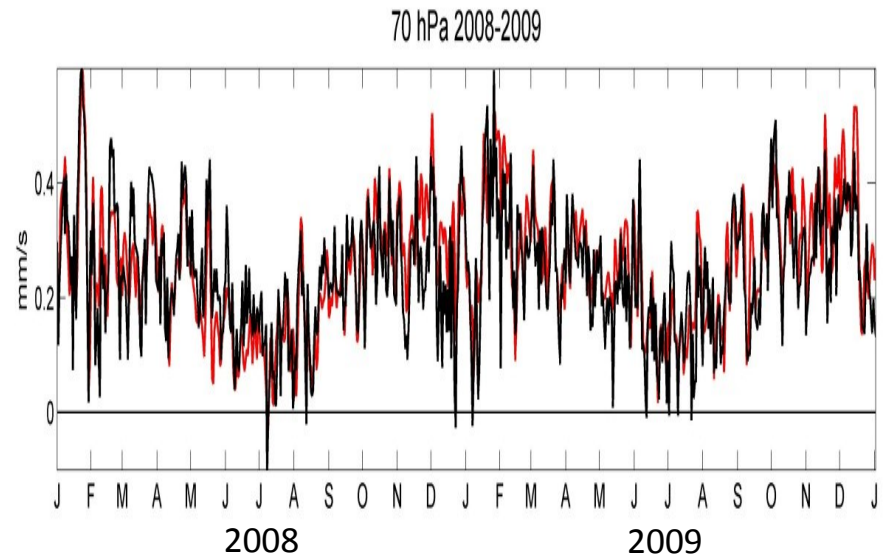
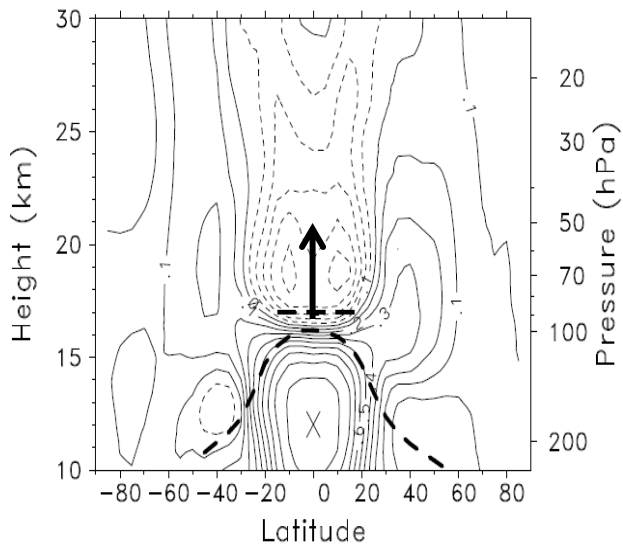
stratospheric  
water vapor response  
to warm tropopause

# Links to tropical upwelling

$$\frac{\partial \bar{T}}{\partial t} + \bar{w}^* S = -\alpha(\bar{T} - \bar{T}_e)$$

two estimates  
of upwelling:

$w_m^*$  momentum balance  
 $w_Q^*$  thermodynamic balance



Abalos et al, 2014, JAS

### 3 estimates of tropical upwelling $w^*$ from observations:

$$\bar{w}^* \equiv \bar{w} + \frac{1}{a \cos \phi} \frac{\partial}{\partial \phi} \left( \cos \phi \frac{\overline{v'T'}}{S} \right)$$

residual circulation from reanalysis  $w^*$

$$\frac{\partial \bar{T}}{\partial t} = -\bar{v}^* \frac{1}{a} \frac{\partial \bar{T}}{\partial \phi} - \bar{w}^* S + \bar{Q} - \frac{1}{e^{-z/H}} \frac{\partial}{\partial z} \left[ e^{-z/H} \left( \frac{\overline{v'T'}}{a \cdot S} \frac{\partial \bar{T}}{\partial \phi} + \overline{w'T'} \right) \right]$$

accurate radiative heating rate

thermodynamic  
balance  
 $w_Q^*$

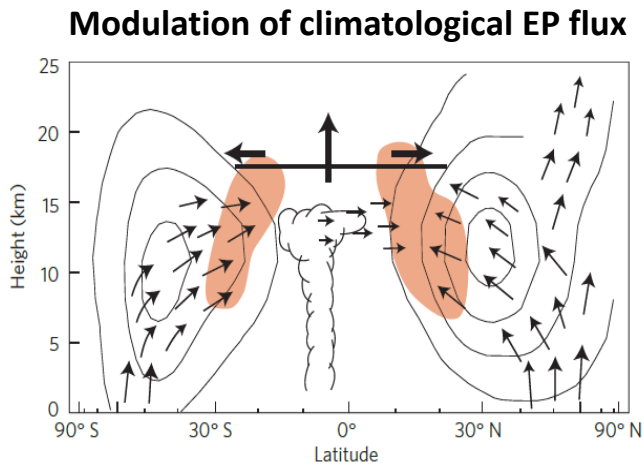
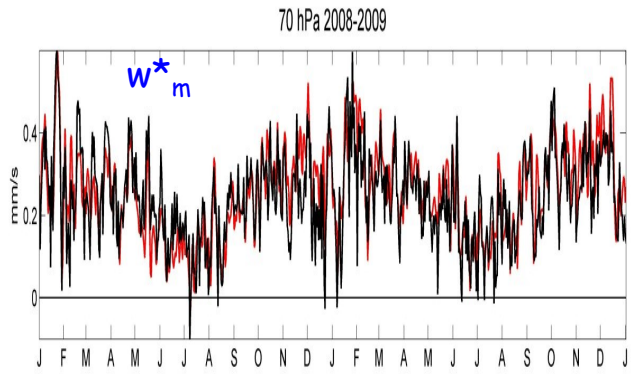
$$\langle \bar{w}_m^* \rangle(z) = \frac{-e^{z/H}}{\int_{-\phi_0}^{\phi_0} a \cos \phi d\phi} \left\{ \int_z^{\infty} \frac{e^{-z'/H} \cos \phi}{\hat{f}(\phi, z')} [DF(\phi, z') - \bar{u}_t(\phi, z')]_{\bar{m}} dz' \right\}_{-\phi_0}^{\phi_0}$$

EP flux divergence

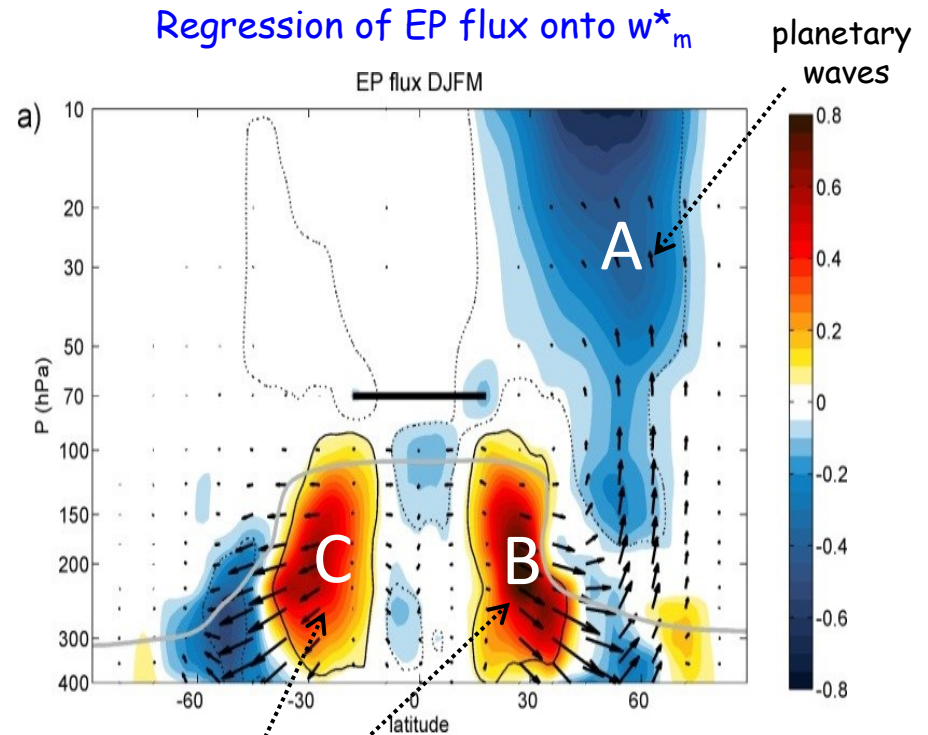
zonal wind tendencies

momentum  
balance  
 $w_m^*$

# What forces transient tropical upwelling?

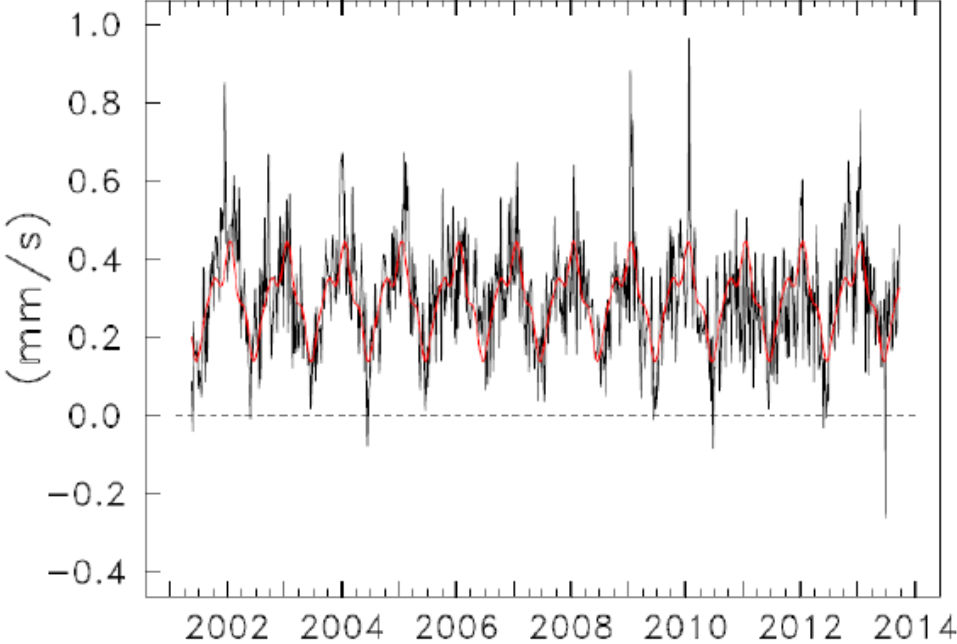


Randel and Wu, 2014, J. Atmos. Sci.



Abalos et al, 2014, JAS

ERAinterim Wm 80hPa



# Quantifying the relationship between $w^*$ and $T$ :

$$\frac{\partial \bar{T}}{\partial t} + \bar{w}^* S = -\alpha(\bar{T} - \bar{T}_e)$$

harmonic expansion

$$[\bar{T}(t), \bar{w}^*(t)] = \sum [T_\sigma, w_\sigma] \exp(i\sigma t),$$

$$T_\sigma = -w_\sigma S \frac{\alpha - i\sigma}{\alpha^2 + \sigma^2}.$$

temperature response  
to upwelling:

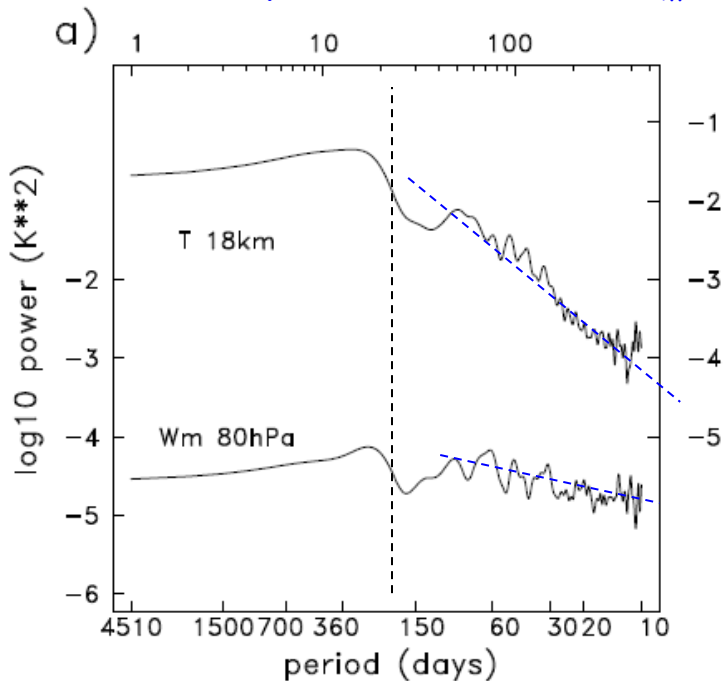
$$\sqrt{\frac{T_\sigma^2}{w_\sigma^2}} = \frac{S}{\sqrt{\alpha^2 + \sigma^2}}.$$

radiative damping  
time scale

frequency

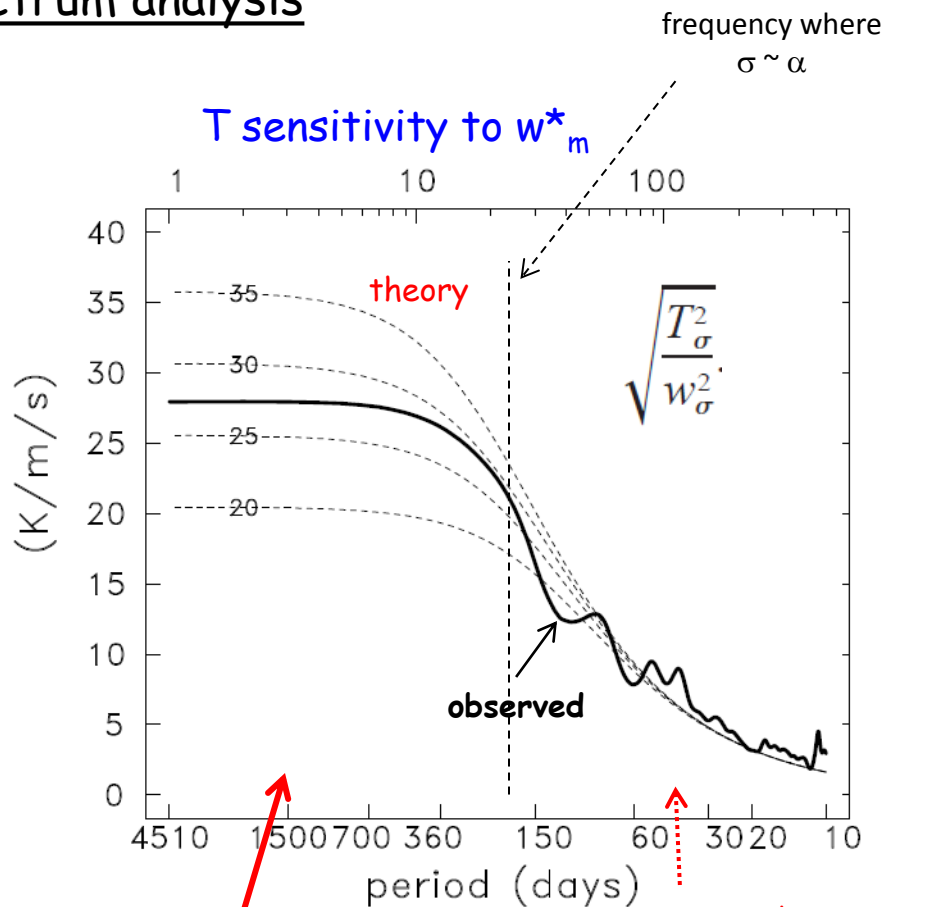
# Spectrum analysis

Power spectra for T and  $w_m^*$



$$\sqrt{\frac{T_\sigma^2}{w_\sigma^2}} = \frac{S}{\sqrt{\alpha^2 + \sigma^2}}$$

T sensitivity to  $w_m^*$

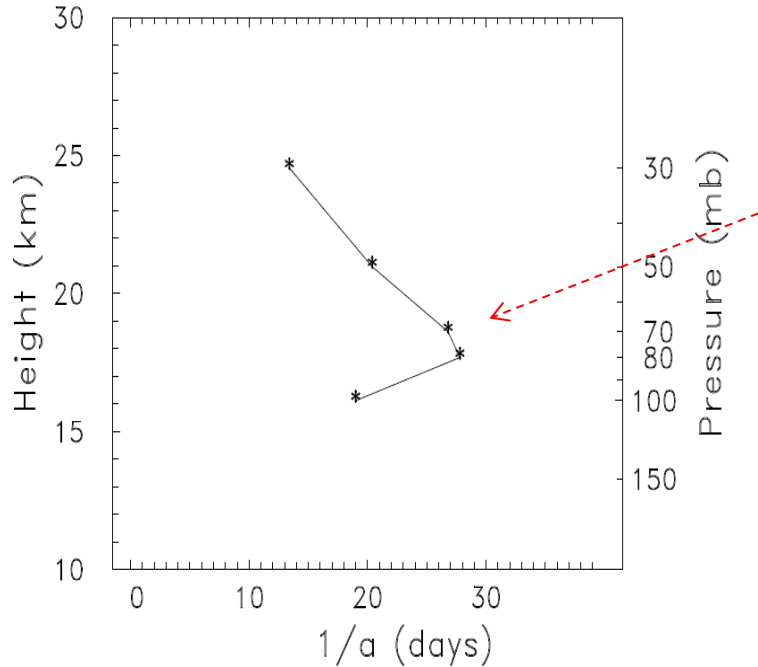


enhanced response  
at low frequencies  
(longer than 150 days)

note weak response  
for MJO periods

Radiative damping time scales derived from:

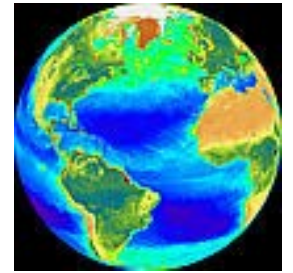
$$\sqrt{\frac{T_{\sigma}^2}{w_{\sigma}^2}} = \frac{S}{\sqrt{\alpha^2 + \sigma^2}}$$



long damping time scales (~30 days)  
in lower stratosphere

- Lower stratosphere temps especially sensitive to low frequency forcing
- Cause of enhanced annual cycle and large T variance in lower stratosphere

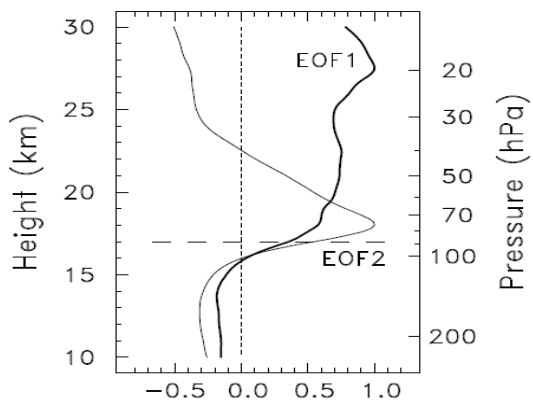




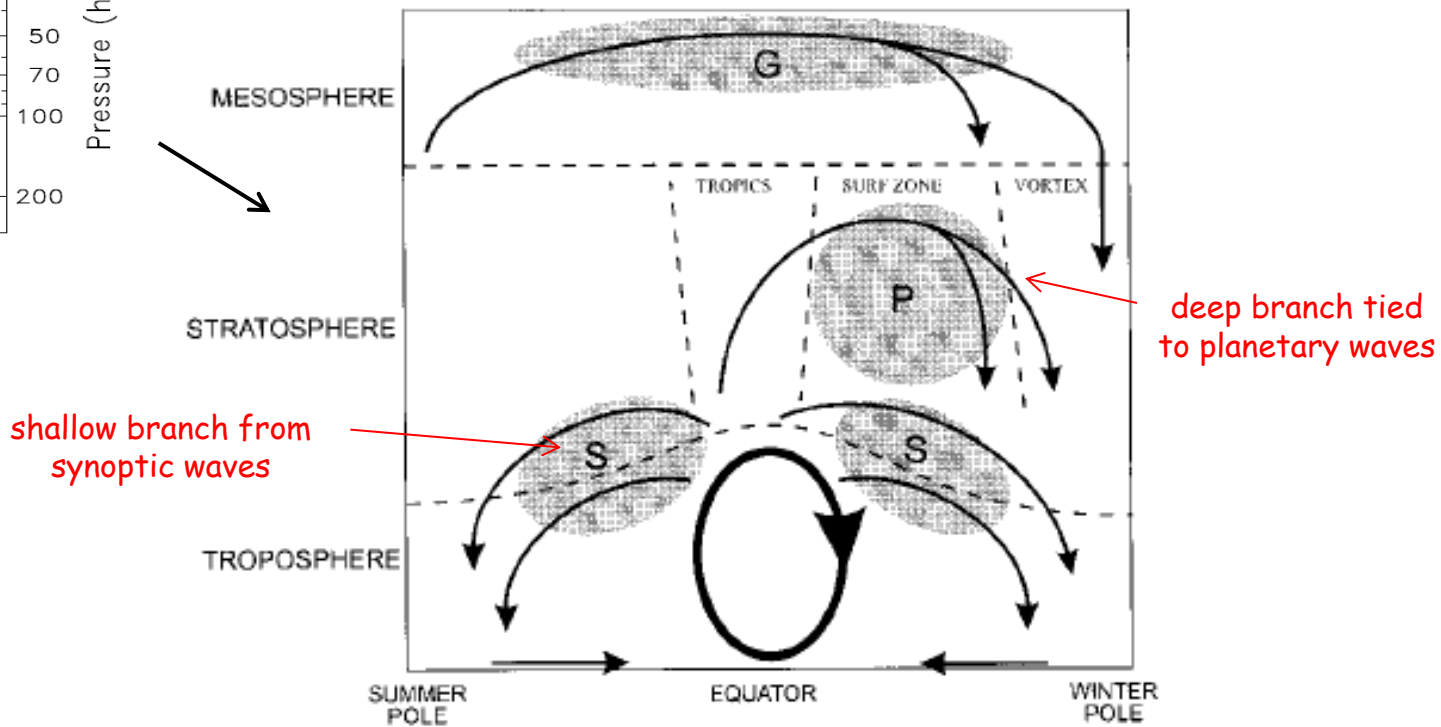
## Key points:

- Novel high vertical resolution temperature record from GPS
- Strong, coherent QBO, ENSO, SSW and MJO signals in GPS data
- 2 modes of stratospheric variability: deep, shallow branches of BDC
- Cold point T variability tied to tropopause-level upwelling
  - anti-correlated with troposphere for MJO variations
  - no correlation with troposphere for seasonal to interannual time scales
- Lower stratosphere T most sensitive to low frequency forcing

## GPS EOF patterns

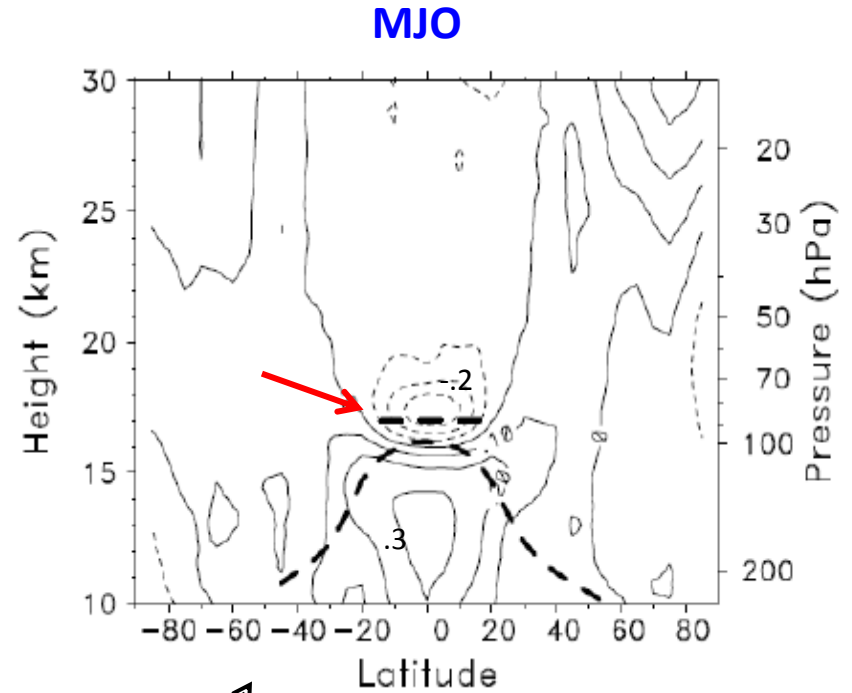
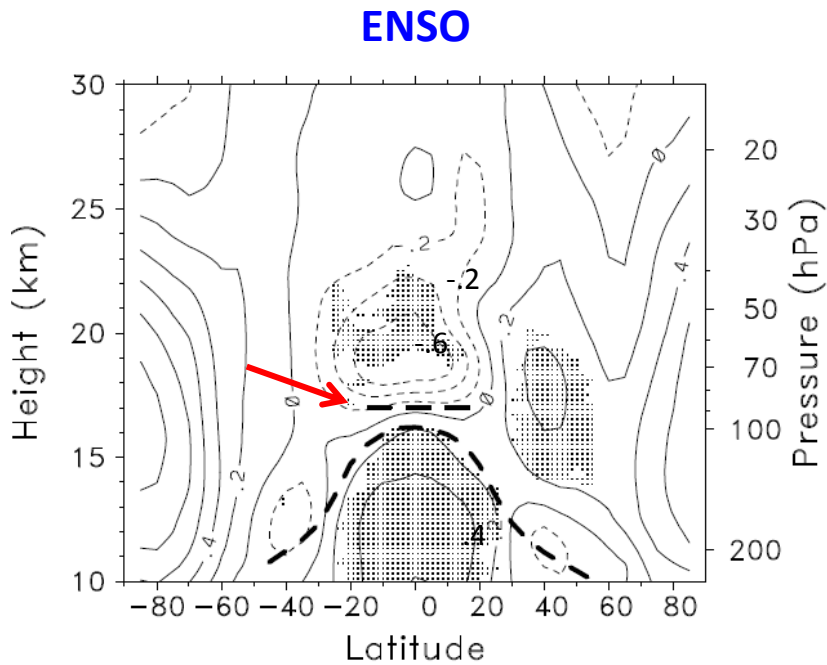


## Deep and shallow branches of Brewer-Dobson circulation



Plumb (2002); also Birner and Bonish, 2011

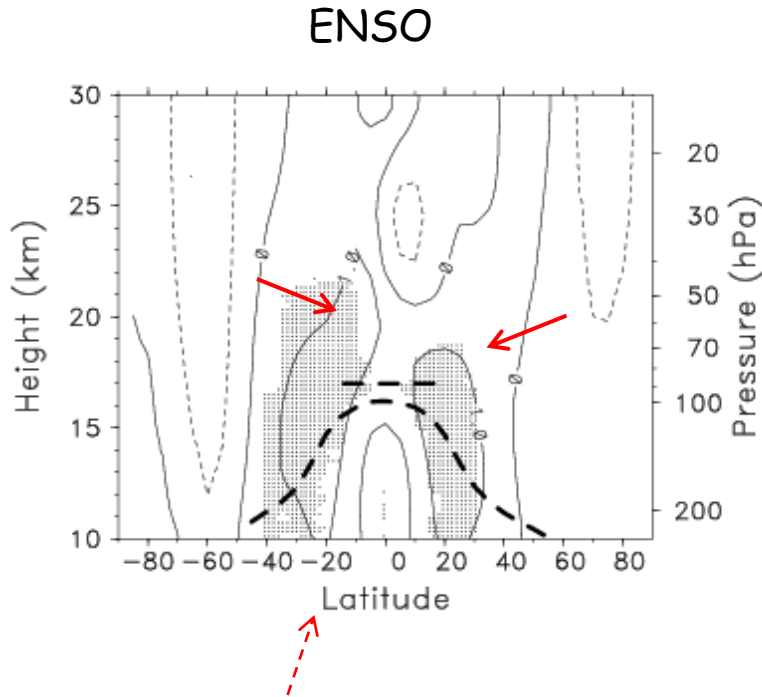
## ENSO and MJO temperature signals from GPS



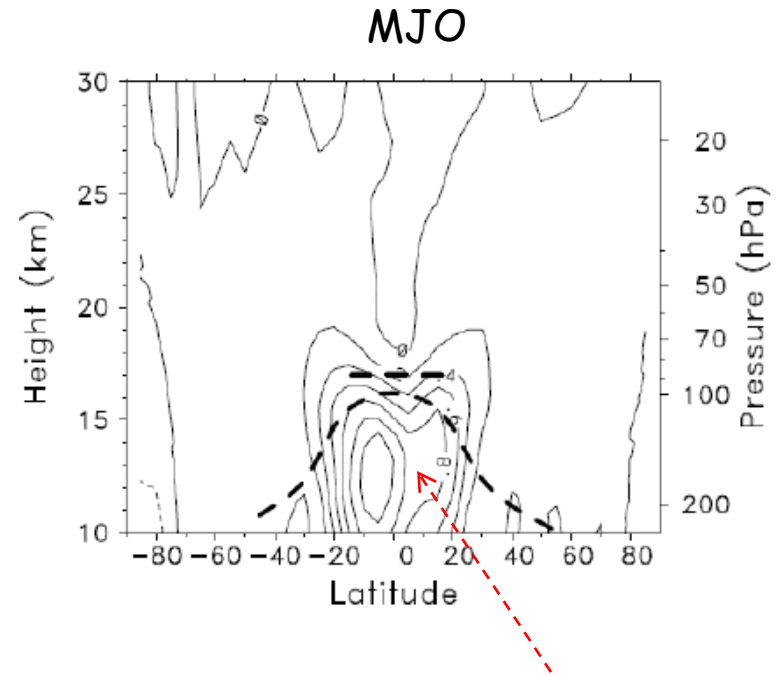
Similar spatial structure, but different vertical structure near tropopause. Why?

Why is the stratospheric upwelling signature of ENSO 'deeper' than the MJO?

Zonal wind anomalies linked to ENSO and MJO



For ENSO, zonal winds (and influence on wave driving) extends into lower stratosphere well above cold point tropopause

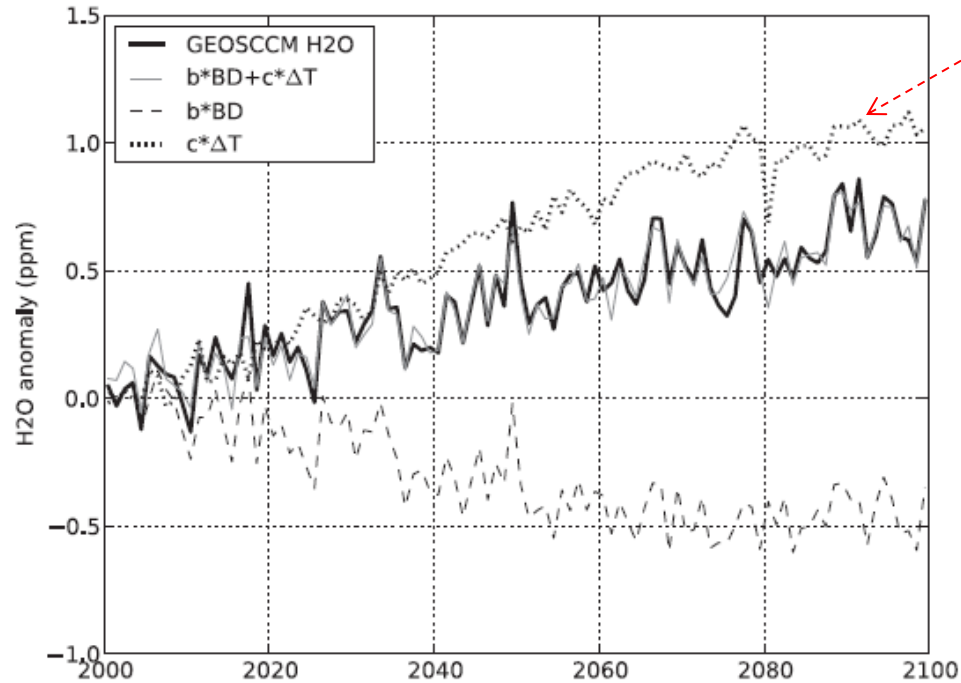


MJO confined to troposphere

# Stratospheric water vapor feedback

A. E. Dessler<sup>a,1</sup>, M. R. Schoeberl<sup>b</sup>, T. Wang<sup>a</sup>, S. M. Davis<sup>c,d</sup>, and K. H. Rosenlof<sup>f</sup>

PNAS 2013



in a global model,  
stratospheric H<sub>2</sub>O increases  
with tropospheric temperature

Fig. 2. Time series of annual-average H<sub>2</sub>O<sub>ov-entry</sub> anomalies from the GEOSCCM (black) and the reconstruction from a multivariate least-squares regression (gray) over the 21st century. The dashed and dotted lines are the BD and  $\Delta T$  terms of the regression, respectively.

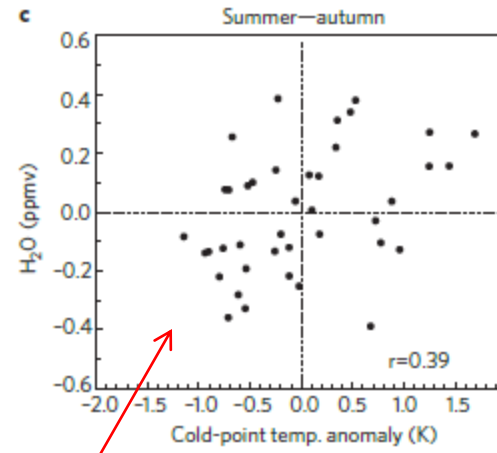
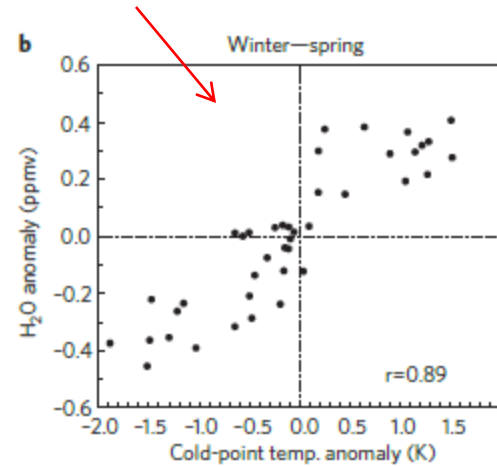
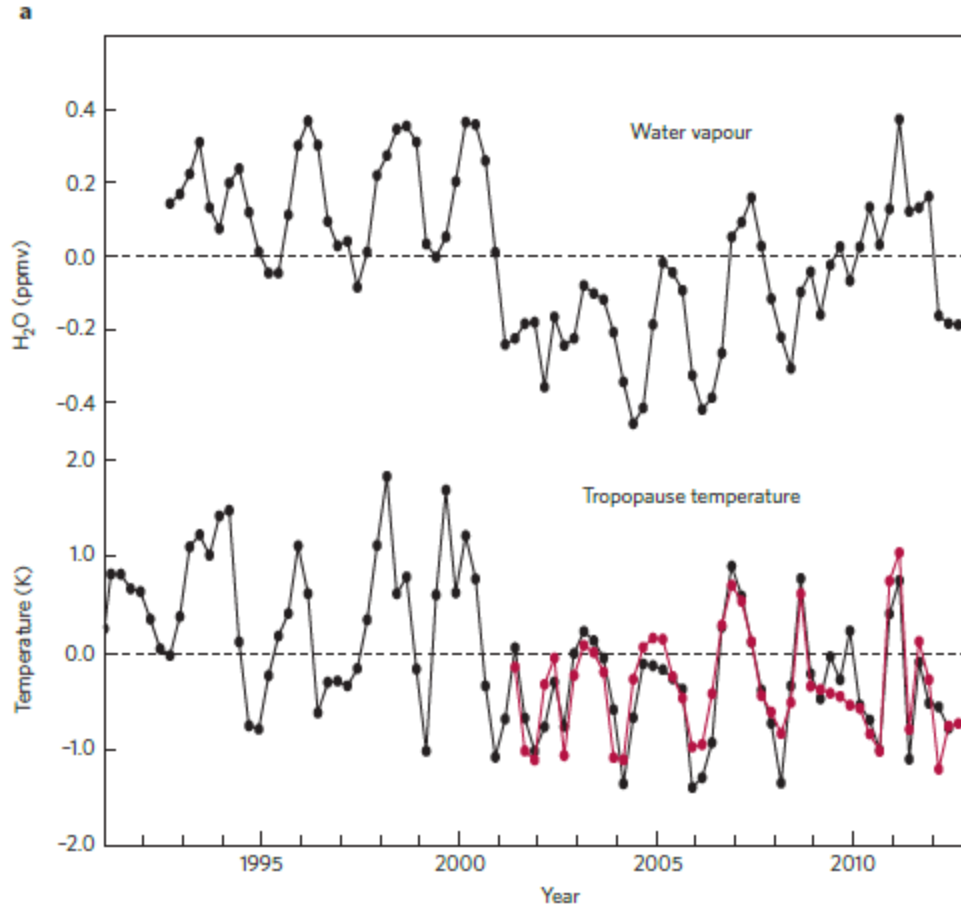
Thank you



Thank you for inviting me to FDEPS!



extremely high correlations  
during NH winter-spring

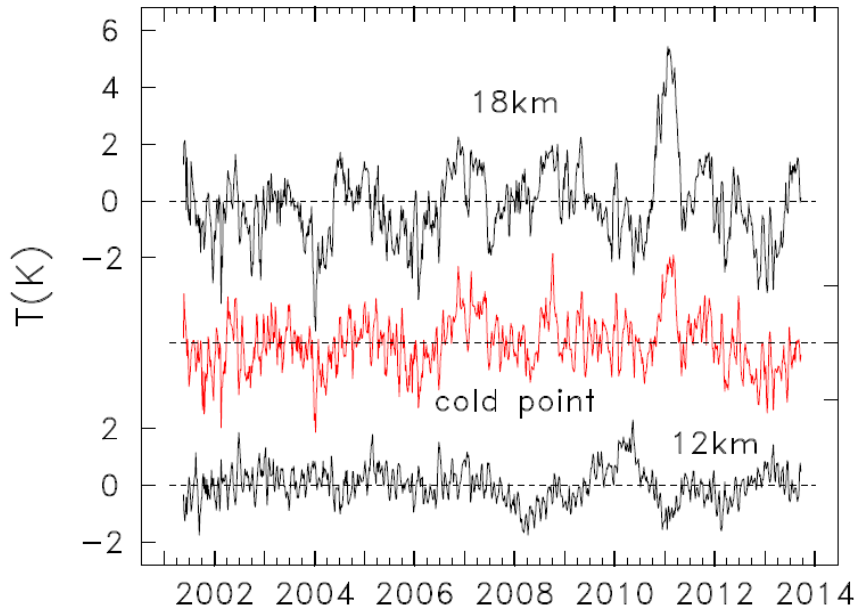


weaker correlations  
during NH summer-fall

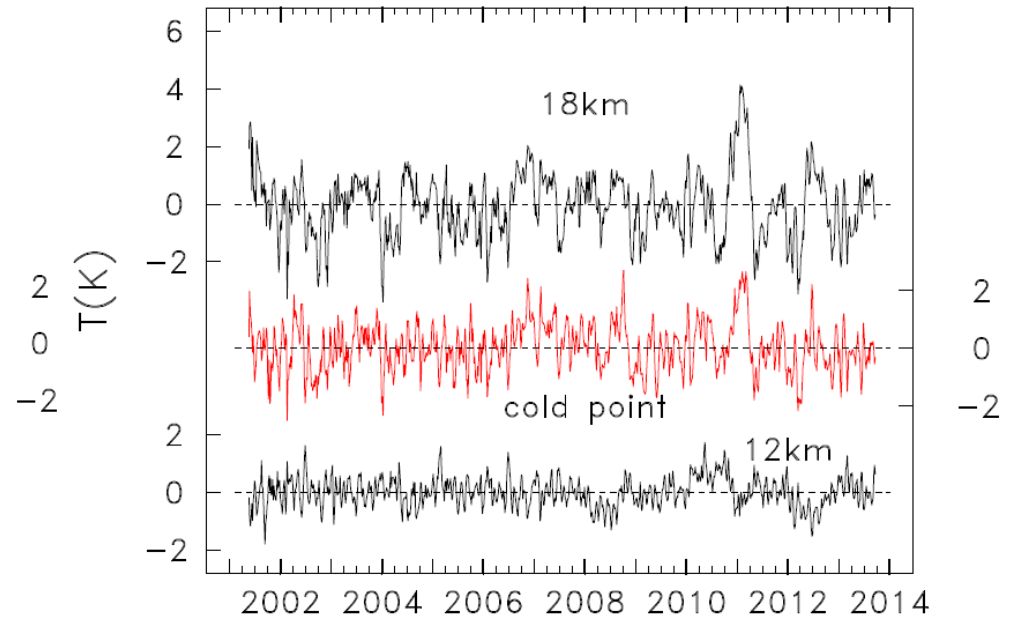


# Time series of tropical temperature residuals

deseasonalized

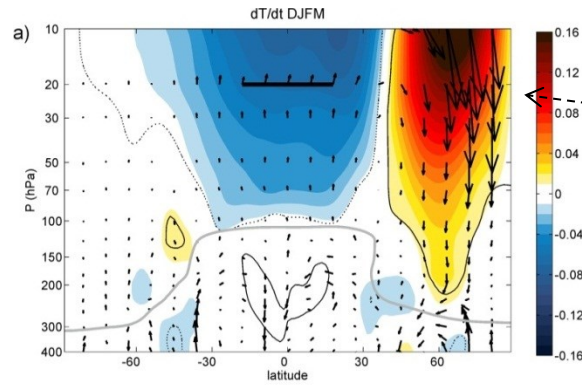


also remove QBO, ENSO



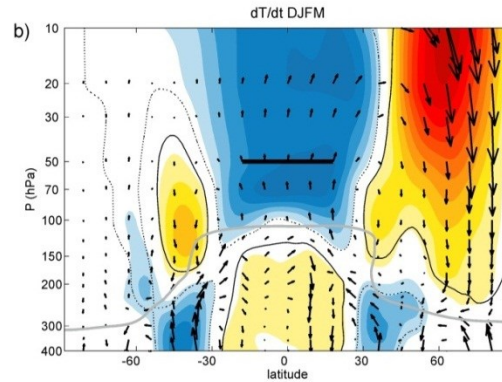
# Dependence on the reference altitude for $w^*_{m-}$

20 hPa

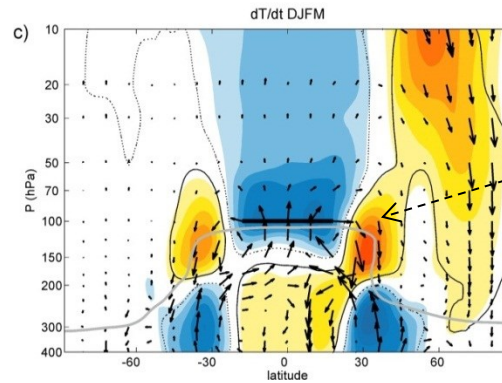


upper branch of Brewer-Dobson circulation

50 hPa



100 hPa



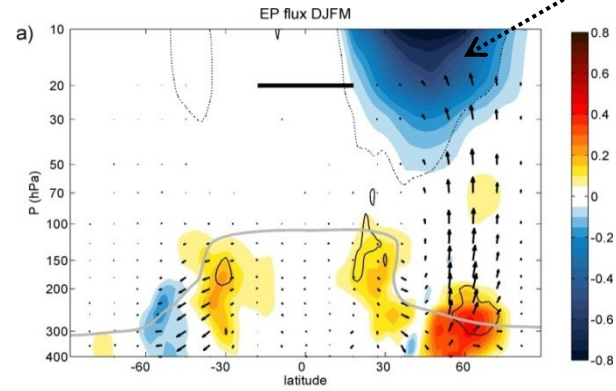
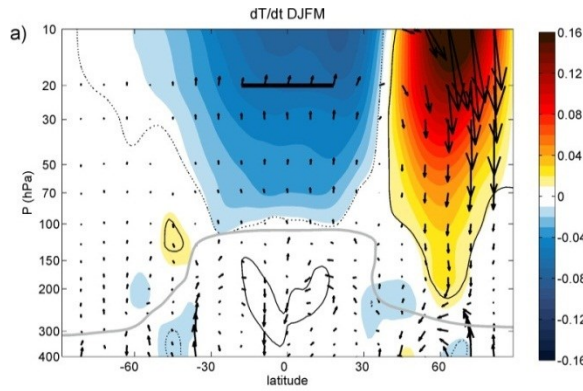
lower branch of BDC

# dT/dt and circulation

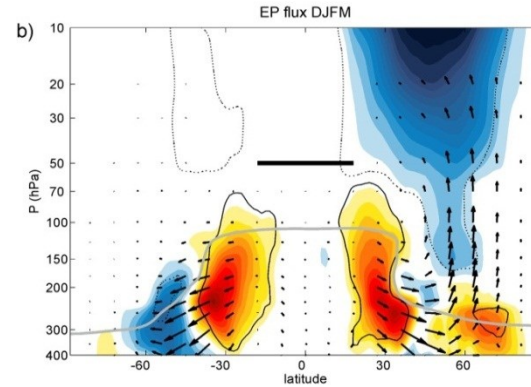
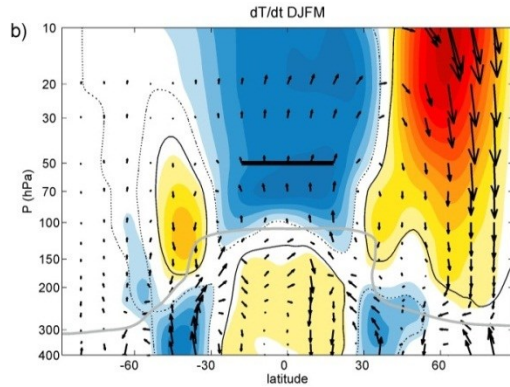
# EP fluxes

high latitude stratosphere forcing

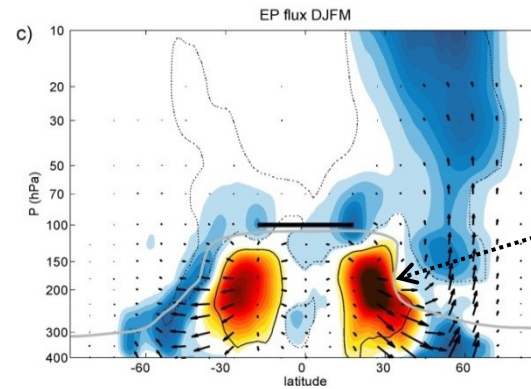
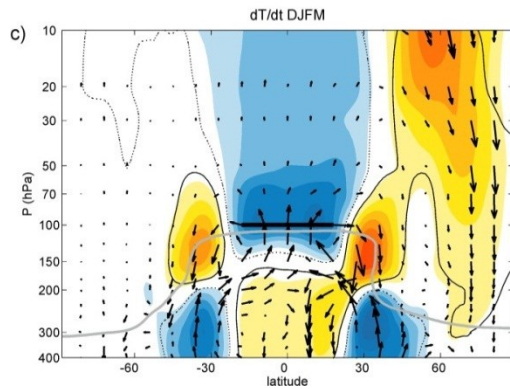
20 hPa



50 hPa



100 hPa



subtropical upper troposphere forcing

# Reference1

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